



全息原理

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Emergency of Gravity.

For Strong, weak and elector-magnetic interaction, We can use Gauge theories Based on $SU(3) \times SU(2) \times U(1)$ to describe them, and in principle, We know how to calculate.

But, For gravity. classical gravity = spacetime
quantum gravity = ???

↳ is spacetime the most basically thing?
is spacetime continuous?

1997 Maldacena:

Quantum Gravity = Field Theory.

↑
Dynamic of spacetime On a fixed space time
means no Gravity →

1967. Sakharov There are something can be explained as metric of connection in CMT.

Use Field theory to describe gravity.

Can we use lower spin particle to compose the massless 2-spin graviton?

Weinberg and Witten 1980 no-go theorem.

① A theory that allows the construction of a

Lorentz Covariant Conserved Current can't contain massless particle of spin large than $\frac{1}{2}$ With non-vanishing value of $Q = \int J^0 d^3x$

① A theory that allowed a Lorentz-Covariant Conserved stress Tensor $T_{\mu\nu}$. Can not contain massless particle of spin large than 1.

• QED = Maxwell + Dirac

$\uparrow$ $\nwarrow$ $U(1)$ J^μ so photon spin $> \frac{1}{2}$
 photon does not carry charge " 1

• In GR there is no Conserved Lorentz-Covariant $T_{\mu\nu}$, $T_{\mu\nu}$ is not conserved in GR, so in (classical) GR, we can have spin-2 massless particle.

• ② $\Rightarrow$ None of renormalizable QFTs in Minkowski Spacetime can have emergent Gravity.

$$\nabla^\mu T_{\mu\nu} = 0 \not\Rightarrow \partial_\mu T^{\mu\nu} = 0 \leftarrow \text{conserved.}$$

To prove these two theorems. We should assume that.

- The particles live in the spacetime is the original theory.

In Holographic Duality, gravity does not live in the same spacetime.

Proof: one-particle state $|\vec{k}, \sigma\rangle$, $\sigma = \pm j$ ^{helicity}
 $\hat{R}(\theta, \hat{k})$. So(3) operator. around axis $\hat{k} \equiv \frac{\vec{k}}{|\vec{k}|}$
 $\hat{R}(\theta, \hat{k}) |\vec{k}, \sigma\rangle = e^{i\sigma\theta} |\vec{k}, \sigma\rangle$ (Def of Helicity)
 $\hat{P}^\mu \equiv \int d^3x T^{0\mu} \Rightarrow \hat{P}^\mu |k, \sigma\rangle = k^\mu |k, \sigma\rangle$
 $\hat{Q} |k, \sigma\rangle = q |k, \sigma\rangle$

(i) Lorentz Symmetry.

$$\langle k, \sigma | \hat{J}^\mu |k', \sigma\rangle \xrightarrow{k \rightarrow k'} \frac{q k^\mu}{k^0} \cdot \frac{1}{(2\pi)^3} \quad \textcircled{1}$$

$$\langle k, \sigma | \hat{T}^{\mu\nu} |k', \sigma\rangle \xrightarrow{k \rightarrow k'} \frac{k^\mu k^\nu}{k^0} \cdot \frac{1}{(2\pi)^3} \quad \textcircled{2}$$

$$\text{Where } \langle k, \sigma | k', \sigma' \rangle = \delta_{\sigma\sigma'} (2\pi)^3 \delta^{(4)}(k, k')$$

To Derive ①. you just need to prove $\langle k, \sigma | J^0 |k', \sigma\rangle = \frac{q}{(2\pi)^3}$

Similarly. you can prove Eq. ②

(ii) Massless. $\Rightarrow k^2 = 0, k'^2 = 0$

$$\Rightarrow k \cdot k' < 0. \Rightarrow k+k' \text{ is time-like}$$

So you can choose a frame that $\vec{k} + \vec{k}' = 0$

$$k = (E, 0, 0, E), \quad k' = (E, 0, 0, -E)$$

(iii) Under a Rotation around $\hat{z}$.

$$\hat{R}_z(\theta) |k, \sigma = +j\rangle = e^{i \cdot j \cdot \theta} |k, j\rangle$$

$$\hat{R}_z(\theta) |k', \sigma = +j\rangle = e^{-i \cdot j \cdot \theta} |k', j\rangle$$

Because $\vec{k}, \vec{k}'$ have opposite directions

$$\begin{aligned}
 \text{Consider } & \langle k', j | \hat{R}^{-1}(\theta) J^\mu \hat{R}(\theta) | k, j \rangle \\
 &= e^{2ij\theta} \langle k', j | J^\mu | k, j \rangle \\
 &= \Lambda^\mu_\nu(\theta) \langle k', j | J^\nu | k, j \rangle
 \end{aligned}$$

$$\text{But } \Lambda^\mu_\nu(\theta) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta & 0 \\ 0 & \sin\theta & \cos\theta & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\Rightarrow \Lambda^\mu_\nu(\theta) \text{ has eigenvalue } e^{2ij\theta}$$

$$\text{But } \Lambda^\mu_\nu(\theta) \text{ can only has eigenvalue } e^{\pm i\theta}, 1$$

$$\text{So } j = 0, \frac{1}{2}, \text{ so } j \neq 0 \Rightarrow j = 0, \frac{1}{2}.$$

$$\text{Similarly. } e^{2ij\theta} \langle k', j | T^{\mu\nu} | k, j \rangle$$

$$= \Lambda^\mu_\lambda \Lambda^\nu_\rho \langle k', j | T^{\lambda\rho} | k, j \rangle$$

$$\Rightarrow j \leq 1$$

Classical Black Hole

Important scales.

- $m_p = \sqrt{\frac{\hbar c}{G_N}} \approx 1.2 \times 10^{19} \text{ GeV}/c^2$
- $l_p = \sqrt{\frac{\hbar G_N}{c^3}} \approx 1.6 \times 10^{-33} \text{ cm}$

Strength of Gravity.

- For Electro-Magnetic, Compton Wavelength: $\lambda_c = \frac{\hbar}{mc}$
this tell us to what extend we can call a single particle, the effective strength of EM:

$$\Rightarrow \lambda_e = \frac{V(r_e)}{mc^2} = \frac{e^2}{\hbar c} = \alpha = \frac{1}{137}, \text{ this is the coupling const of QED}$$

- For Gravity $V = -\frac{G_N m^2}{r}$
 $\lambda_g \equiv \frac{V(r_e)}{mc^2} = \frac{G_N m^2}{\hbar/mc} \cdot \frac{1}{mc^2} = \frac{G_N m^2}{\hbar c} = \frac{m^2}{m_p^2} = \frac{l_p^2}{r_e^2}$
 $m \ll m_p \Rightarrow \lambda_g \ll 1 \text{ for } e^- \Rightarrow \frac{\lambda_g}{\lambda_e} \sim 10^{-43}$

Gravity really weak!

Schwarzschild Radius

$$\frac{G_N m m'}{r_s} \sim m' c^2 \Rightarrow r_s \sim \frac{G_N m}{c^2}$$

At r_s , the classical Gravity should be replaced by Einstein Gravity

From the analysis of GR $r_s = \frac{2G_N M}{c^2}$ is the size of a black hole.

Remarkable thing: Black Hole can Have Quantum Effect
Manifest at Macroscopic level at length scales $O(r_s)$, Although
 $m \ll m_p$

Without Gravity: $|\vec{p}| \rightarrow \infty$, length scale $\rightarrow 0$

With Gravity: when $E_{cm} \gg m_p$. r_s dominate since
 $r_s \propto E$. So $|\vec{p}| \uparrow$. $r_s \uparrow$ length scale $\uparrow$. So Planck
length is the minimal length scale one can probe
Because the E too large. you create a black
Hole

Various Regimes of gravity.

- classical gravity: $\hbar \rightarrow 0$, G_N finite.
- QFT in a fixed spacetime: $\hbar$ finite $G_N \rightarrow 0$
(perturbative Quantum Gravity)
- Quantum Gravity: $G_N, \hbar$ finite.
- Semi-classical Regime of Quantum Gravity:
Keep $\hbar$ finite, expand in G_N (around $G_N=0$)
AdS/CFT

Schwarzs Metric. ($\Lambda = 0$)

$$ds^2 = -f dt^2 + \frac{1}{f} dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2)$$

$$f = 1 - \frac{2GM}{r} = 1 - \frac{r_s}{r}$$

event horizon: $r = r_s$, where $g_{tt} = 0$, $g_{rr} = \infty$

When $r < r_s$, f switches sign

Some simple facts:

① time-reversal invariant.

- so the Schwarzs Metric only can describe the stabilized Black Hole after collapse
this is the mathematical idealization of the BH.

② There is no spacetime singularity at $r = r_s$.
this is the coordinate singularity

③ $r = r_s$ is a null hypersurface: have null geodesic.

④ The horizon is a surface of infinite redshift.

Consider an observer O_h at $r = r_h \approx r_s$.

- O_∞ at $r = \infty$

At $r = \infty$ $ds^2 = -dt^2 + dr^2 + \dots$ (A.F.S.)

t : proper time of O_∞

At $r=r_h$, $ds^2 = -f(r_h)dt^2 + \dots$

$$d\tau = \sqrt{f(r_h)} dt = \sqrt{1 - \frac{r_s}{r_h}} dt.$$

As $r_h \rightarrow r_s \Rightarrow d\tau/dt \rightarrow 0$. Compare to the time at $r=\infty$ the time at $r \approx r_s$ becomes infinitely slow

$$E_\infty = E_h f^{1/2}(r_h). \text{ i.e. for any finite } E_h, E_\infty \rightarrow 0$$

as $r_h \rightarrow r_s$, so infinitely redshift. Any event at O_h has no energy by the view of O_∞ .

④ It takes a "free-fall" traveler a finite proper time to reach the horizon, but, infinite Schwarzschild time "Frozen at the horizon"

⑤ Once inside the horizon, a traveler can not send signals to outside, nor can escape.

⑥ Area of a spatial section:

$$A_h = 4\pi r_s^2 = 16\pi G_N^2 M^2$$

Surface gravity: (Defined by the acceleration of a stationary observer at the horizon as measured at infinity.

$$\kappa = \frac{1}{2} f'(r_s) = \frac{1}{2r_s} = \frac{1}{4G_N M}$$

Causal Structure of Black Hole

Consider the region near the horizon, $r \approx r_s$
 $f(r) = f'(r_s)(r-r_s) + \dots$

Consider the proper distance ρ from the horizon
$$d\rho = \frac{dr}{\sqrt{f}} \underset{\text{near } r_s}{=} \frac{dr}{\sqrt{f'(r_s)(r-r_s)}} + \dots$$

$$\Rightarrow \rho = \frac{2}{\sqrt{f'(r_s)}} \sqrt{r-r_s} + \dots \Rightarrow f(r) = \underbrace{\left[\frac{1}{2} f'(r_s) \right]^2}_{\kappa^2} \rho^2$$

$\uparrow$ measured by observer at horizon

Integral constant is $\rho(r_s) = 0$

$$\Rightarrow ds^2 = -\kappa^2 \rho^2 dt^2 + d\rho^2 + r_s^2 d\Omega_2^2 + \mathcal{O}(r-r_s)$$

So we transform to the reference frame of the observer at the horizon (stationary)

Define: $\eta \equiv \kappa t \equiv \frac{t}{2r_s}$

$$\Rightarrow ds^2 \approx -\rho^2 d\eta^2 + d\rho^2 + r_s^2 d\Omega_2^2$$

(1+1)d Minkowski spacetime
(In Rindler Form)

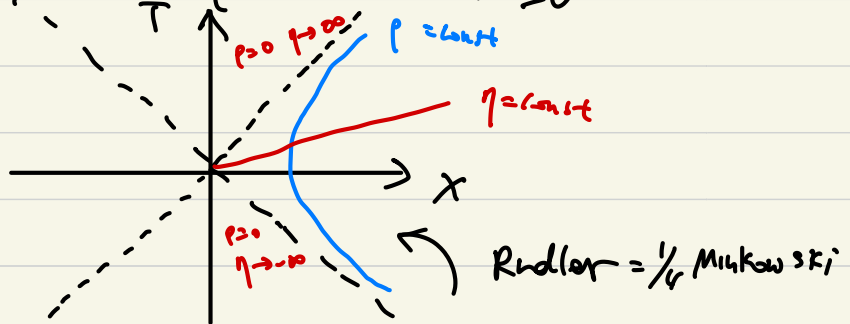
S^2 with Radius r_s

So At Horizon the spacetime of Schwarzs spacetime is (1+1)d Rindler $\times S^2$

Minkowski $ds^2 = dT^2 + dX^2$

Introduce $X = \rho \cosh \eta$, $T = \rho \sinh \eta$

$\Rightarrow ds^2 = -\rho^2 d\eta^2 + d\rho^2$ So Rindler $\sim$ Minkowski
 But Rindler spacetime has Event Horizon, It
 only cover $\frac{1}{4}$ of Minkowski spacetime
 Because. $X^2 - T^2 = \rho^2$ and $X \geq 0$



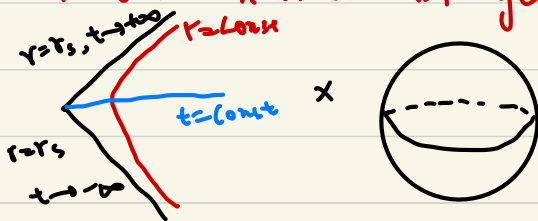
Accelerating observer can only see a part of Our Universe

$\Rightarrow \rho = 0 \Rightarrow X = \pm T$

⌞ on the horizon (not near, exactly at)

So BH Horizon corresponds to $X = \pm T$

$\Rightarrow$ Near-horizon BH geometry = Rindler $\times S^2$



1) An observer at $r = \text{const} \iff \rho = \text{const}$ in Rindler. So although he stay in Schwarz spacetime, he actually accelerating in Minkowski spacetime patch.

$$\rightarrow a = \frac{1}{\rho} = \frac{1}{2} \sqrt{f(r_s)} \times \frac{1}{\sqrt{1-r_s}} \quad a^\mu = U^\nu \nabla_\nu U^\mu, \quad U^\mu = \frac{dx^\mu}{d\tau}$$

$\uparrow$ viewed by himself, K is the a viewed by infinity

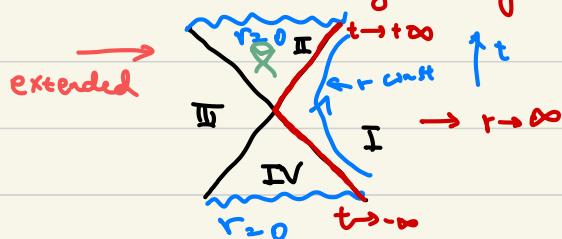
$$\Rightarrow a_\infty = a(r) f^{\frac{1}{2}}(r) = K$$

2) free-fall observer near the BH horizon also free-fall in M_2 , so it is the inertial frame in M_2

3) Rindler spacetime is singular at $\rho=0$, like $r=r_s$ it's the coordinate singular, But $\exists$ coordinate we can extend Rindler spacetime to Minkowski spacetime and remove this singularity.

Similarly, by changing to suitable coordinate (Kruskal) one can extend the Schwarz Geometry to full regions.

Remove the singularity at $r=r_s$ time $\leftrightarrow$ space



(a) Fall into BH, $I \rightarrow II$

(b) $IV \xrightleftharpoons[\text{reverse}]{\text{time}} II$, for real

BH III and IV do not exist

(c) $r=0$ is the BH singularity

Black Hole Temperature

In QFT, to describe a system at finite T , we need go to Euclidean $t \rightarrow -i\tau$ $\tau \sim \tau + i\beta$, $\beta = \frac{1}{T}$
Conversely, if $t \rightarrow -i\tau$, τ is periodic then, this system is Finite Temperature.

For BH, $t \rightarrow -i\tau \Rightarrow ds_E^2 = f d\tau^2 + \frac{1}{f} dr^2 + r^2 d\Omega^2$
Near the horizon. $ds_E^2 = \rho^2 \kappa^2 d\tau^2 + d\rho^2 + r_s^2 d\Omega^2$
Introduce $\theta = \kappa \tau \Rightarrow ds_E^2 = \rho^2 d\theta^2 + d\rho^2 + r_s^2 d\Omega^2$
This metric has a conical singularity at $\rho=0$
unless $\theta \sim \theta + 2\pi$, since the horizon non-singular in the Lorentz picture, it should not be singular in Euclidean. $\Rightarrow \theta \sim \theta + 2\pi \Rightarrow \tau \sim \tau + \frac{2\pi}{\kappa}$
↖ β

$\Rightarrow \beta = \frac{2\pi}{\kappa} \Rightarrow T = \frac{\kappa}{2\pi}$ Recall $t = -i\tau$, τ is the proper time of $r = \infty$ observer so $r = \infty$ observer will feel temperature at

$$T_\infty = \frac{\hbar \kappa}{2\pi} = \frac{\hbar}{4\pi r_s} = \frac{\hbar}{8\pi G M}$$

For an observer at some r (Stationary! at r ! not falling in)

$$dt_{loc} = f^{\frac{1}{2}}(r) dt \Rightarrow T_{loc}(t) = T_\infty f^{-\frac{1}{2}}(r)$$

at r_s $T \rightarrow \infty$

Similar. For Rindler spacetime

$$ds^2 = -\rho^2 d\eta^2 + d\rho^2 \xrightarrow{\eta = i\theta} \rho^2 d\theta^2 + d\rho^2$$

$\Rightarrow \theta \sim \theta + 2\pi$, For Rindler observer at constant ρ proper time. $dt_{loc} = \rho d\eta \Rightarrow dt_{loc} = \rho d\theta$

$$T_{loc} \sim T_{loc} + \frac{\hbar}{2\pi\rho}$$

$$T_{loc}(\rho) = \frac{\hbar}{2\pi\rho} = \frac{\hbar}{2\pi} \cdot a_{loc} \quad (a_{loc} = \frac{1}{\rho})$$

Unruh Effect! 

Physics interpretation of Temperature

Consider QFT in BH spacetime. The Vacuum state obtained via the analytic continuation procedure from Euclidean Signature is a thermal equilibrium state at temperature (depends on the proper time w.r.t. the observer)

①: The choice of Vacuum for a QFT in a curved spacetime is not unique. It's observer dependent. So. When we use the Wick Rotation to

Calculate the Temperature. We make a special choice of the Vacuum:

For BH: "Hartle - Hawking" Vacuum

For Rindler: Minkowski Vacuum reduced to the Rindler patch

② If for a BH, we take τ to be uncompact.

Wick Rotation back to Lorenz.

$\Rightarrow$ Schwarzschild (Boulware) Vacuum

This is the Vacuum that one would get by doing Canonical quantization in a BH in terms of t

For Rindler: θ uncompact $\Rightarrow$ Rindler Vacuum.

Can also be obtained by canonical quantization

③ In Schwarzschild Vacuum, the corresponding Euclidean manifold is singular at $r=r_s$.

$\Rightarrow$ physical observables are also singular at r_s

so H-H Vacuum is more physical than S Vacuum

Physical Origin of the Temperature

Two Equivalent Ways to describe statistical mechanics

① $\langle O \rangle = \frac{1}{Z} \text{Tr}(e^{\beta H} O)$

② Umezawa 1960's

consider double copy of the same system

$$\mathcal{H} = \mathcal{H}_1 \otimes \mathcal{H}_2$$

$$|\Psi\rangle = \frac{1}{\sqrt{Z}} \sum_n e^{-\beta E_n/2} |n_1\rangle \otimes |n_2\rangle \in \mathcal{H}$$

For any observable O_1 in $\mathcal{H}_1$,

$$\langle \Psi | O_1 | \Psi \rangle = \frac{1}{Z} \sum_n e^{-\beta E_n} \langle n | O_1 | n \rangle = \langle O_1 \rangle$$

$$\begin{aligned} \text{Tr}_2(|\Psi\rangle\langle\Psi|) &= \frac{1}{Z} \sum_n e^{-\beta E_n} |n\rangle_1 \langle n| \\ &= \rho_1 \end{aligned}$$

From pure state to mixture state

Temperature arises due to our ignorance of System 2. $\mathcal{H}_2$

For Harmonic Oscillators, $|\Psi\rangle = \frac{1}{\sqrt{Z}} \exp\left[-\frac{\omega\beta}{2} a^\dagger a^\dagger\right] |0\rangle_1 |0\rangle_2$

Squeeze state $\rightarrow$

Define $b_1 |\Psi\rangle = b_2 |\Psi\rangle = 0$

$$\Rightarrow b_1 = \cosh\theta a_1 - \sinh\theta a_2^\dagger \quad \text{Bogoliubov Transformation}$$

$$b_2 = \cosh\theta a_2 - \sinh\theta a_1^\dagger$$

$$\cosh\theta = \frac{1}{\sqrt{1-e^{-\beta\omega}}}, \quad \sinh\theta = e^{-\frac{1}{2}\beta\omega} \cosh\theta.$$

$|0\rangle_1 \otimes |0\rangle_2$ is Vacuum for a_1, a_2

$|\Psi\rangle$ is Vacuum for b_1, b_2

Schödinger representation of QFT.

configuration space : $\phi(\vec{x}, t=0) = \phi(\vec{x})$ on the spatial slice

Hilbert space : $\mathcal{H} = \{ \Psi[\phi(\vec{x})] \}$, functional

$$\langle \phi_2(\vec{x}), t_2 | \phi_1(\vec{x}), t_1 \rangle = \int_{\substack{\phi(\vec{x}, t_1) = \phi_1(\vec{x}) \\ \phi(\vec{x}, t_2) = \phi_2(\vec{x})}} \mathcal{D}\phi \, e^{iS[\phi]}$$

Vacuum wave functional $\phi(\vec{x}, t_E=0) = \phi(\vec{x})$

$$\langle \phi(\vec{x}) | 0 \rangle = \Psi_0[\phi(\vec{x})] = \int_{t_E < 0} \mathcal{D}\phi(\vec{x}, t_E) \, e^{-S_E[\phi]}$$

$\uparrow$ $t_2=0$ $\uparrow$ $t_1=-\infty$

$t_E := \text{Im}t$ Integrate for all $t_E < 0$ why?

$|0\rangle$ means no boundary at t_1 . $(1+\epsilon)H$ trick (srednicki)

$H \rightarrow (1-i\epsilon)H$ then:

$$|\phi'(\vec{x}), t\rangle = e^{iHt} |\phi'(\vec{x})\rangle \quad \leftarrow \text{Heisenberg } t=0 \text{ config space}$$

$$\stackrel{t \rightarrow -\infty}{\sim} \langle 0 | \phi'(\vec{x}) \rangle | 0 \rangle$$

$$\Rightarrow |0\rangle = \lim_{t \rightarrow -\infty} \int d\phi'(\vec{x}) |\phi'(\vec{x}), t\rangle$$

$$\begin{aligned} \Rightarrow \langle \phi(\vec{x}) | 0 \rangle &= \int d\phi' \langle \phi(\vec{x}), t=0 | \phi'(\vec{x}), -\infty \rangle \\ &\quad \phi(\vec{x}, t=0) = \phi(\vec{x}) \\ &= \int d\phi' \int \mathcal{D}\phi \quad e^{iS(\phi)} \quad \uparrow \\ &\quad \phi(\vec{x}, t=-\infty) = \phi' \quad = \int_{-\infty}^0 dt \mathcal{L} \\ &= \int_{\phi(\vec{x}, t=0) = \phi(\vec{x})} \mathcal{D}\phi \quad e^{iS(\phi)} \quad \leftarrow H \rightarrow (1-i\epsilon)H \end{aligned}$$

So $|0\rangle$ means no boundary

Quantum mechanics, analogy

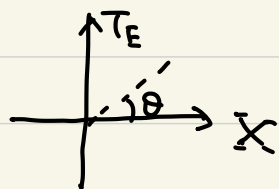
$$\langle \chi | 0 \rangle = \chi_0(x) = \int \mathcal{D}\chi(t_E) e^{-S_E[\chi]} \quad \uparrow \int_{-\infty}^{t_E=0} dt_E \mathcal{L}$$

Come back to a QFT in Rindler space time.

$$ds^2 = -dt^2 + dx^2 \stackrel{1/4}{=} -r^2 d\eta^2 + r^2$$

Mink can see } and {, but Rndl can only see the {
 $|0\rangle_M$

$T \mapsto iT_E, \eta \mapsto i\theta$. With $\theta \sim \theta + 2\pi$.



When you going to Euclidean. Signature
 $Mink = Rndler = \mathbb{R}^2$. !

$\Rightarrow$ Euclidean observables are identicle. ①

For Mink: back to Lorentzian.

② Euclidean functions $\Rightarrow$ correlation function in Mink

①+② For Rndler $\Rightarrow$ correlation function in Mink Vacuum
 but for observables restricted

Back to Lorentzian: to Rndler patch.

$\mathcal{H}_{Rndler} = \{ \Psi(\phi_R) \}$ ϕ_R is $\phi(X>0, T=0)$
 Right $\rightarrow$ Restrict to Rndler patch.

Hamiltonian: $H_R \Rightarrow$ spectrum is $|n\rangle_R$.

$|0\rangle_R$ called Rndler Vacuum.

Warning. H_R is Lorentzian. quantized by η . not by periodic
 $\theta \sim \theta + 2\pi$.

$\mathcal{H}_{Mink} = \{ \Psi[\phi(x)] \}$. $H_M, |n\rangle_M, |0\rangle_M$.

$\Psi_0[\phi(x)] = \langle \phi(x) | 0 \rangle_M := \Psi_0[\phi_L(x), \phi_R(x)]$

$\phi_L(x), \phi_R(x) \Rightarrow \mathcal{H}_{Mink} = \mathcal{H}_L^{Rnd} \oplus \mathcal{H}_R^{Rnd}$

①+② tell us $\mathcal{H}_R$ and $\mathcal{H}_M$ both have the same Ψ . but
 for Rndler should be Restricted to $\phi_R = \phi$

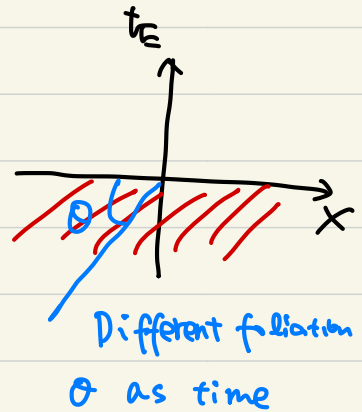
$$\phi(x, t_E=0) = \phi(x)$$

$$\mathbb{I}_0[\phi(x)] = \int_{t_E < 0} \mathcal{D}\phi(t_E, x) e^{-S_E}$$

$$\phi(\theta=0, \rho) = \phi_R(x)$$

$$= \int \mathcal{D}\phi(\theta, \rho) e^{-S_E}$$

$$\phi(\theta=-\pi, \rho) = \phi_L(x)$$



$$= \langle \phi_R(x), \theta = -\pi | \phi_L(x), \theta = 0 \rangle$$

$$= \langle \phi_R | e^{-i(-i\pi)H_R} | \phi_L \rangle$$

↑ imaginary time evolution

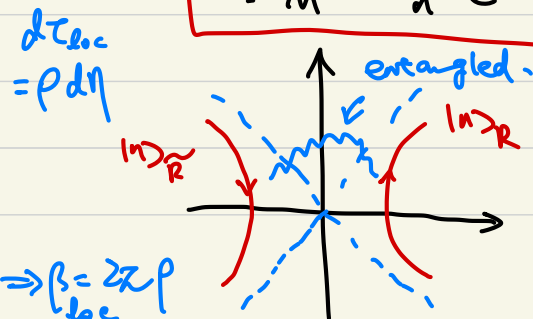
$$= \sum_n e^{-\pi E_n} \chi_n[\phi_R] \chi_n^*[\phi_L]$$

$$\chi_n[\phi] := \langle \phi | n \rangle_R \in \mathcal{H}_R$$

$$\chi_n^*[\phi] \in \widetilde{\mathcal{H}}_R, \mathcal{H}_R \text{ with opposite direction.}$$

$$|0\rangle_M = \sum_n e^{-\pi E_n} |n\rangle_{R_{nd}} \otimes |n\rangle_{\widetilde{R}_{nd}}$$

← full with particles



trace out left Rndler

$$\text{Tr}_L(|0\rangle_M \langle 0|) = \rho_{Rnd} = \frac{1}{Z_{Rnd}} e^{-2\pi H_R}$$

$$\Rightarrow \beta = 2\pi. (\text{associate with } \eta)$$

① $|0\rangle_M$ is invariant under $H_R^{(R)} - H_R^{(L)}$

come from left patch running in opposite direction

Invariant means $e^{-i\eta(H_R^{(L)} - H_R^{(R)})} |0\rangle_M = |0\rangle_M$

as generator. $\eta \mapsto -\eta$ in opposite direction

Geometrically, η translation is a boost in (X, T) Minkowski coordinate. so H_R generator a boost $\Rightarrow |0\rangle_M$ is invariant under boosts

tips: Recall $X = \rho \cosh \eta$ $T = \rho \sinh \eta$ so $\eta \mapsto \eta + \delta\eta$

means boost in X, T . η is the rapidity.

② If we expand ϕ_R in terms of a complete set of modes in Right patch:

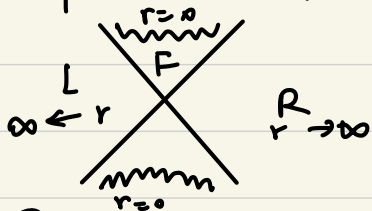
$$\phi_R = \sum_j (a_j^R u_j + a_j^{R\dagger} u_j^*) , \quad a_j^R |0\rangle_R = 0$$

Similarly do this on ϕ_L .

$$|0\rangle_M = \frac{1}{\sqrt{Z_{\text{ind}}}} \prod_j \exp\left(e^{-\pi\omega_j} a_{jR}^\dagger a_{jL}^\dagger\right) |0\rangle_R \otimes |0\rangle_L$$

Usually Minkowski creation and annihilation are related to a_{jR} and a_{jL} by Bogoliubov transformation just as the harmonic oscillator example. (See Curved spacetime QFT textbook)

③ All the discussion generalizes in complete parallel to Schwarzschild spacetime



$|0\rangle_{HH}$ is the Entangled state between L and R.

④ BH formed by gravitational collapse only have R and F regions. You don't have Left Region'. Left Patch is the Kaluza Extension Mathematically. So this patch doesn't work.

So we need a different explanation for T_{BH} of "Real" Black Hole. Local observer can not feel difference between L+R and R alone so we can use this method to explain temperature observed by local observer.

Further Example: Kerr-Newman BH.

Generally, spherical BH metrics:

$$ds^2 = -f(r)dt^2 + \frac{1}{h(r)}dr^2 + \dots$$

Horizon: $r = r_0$ $f(r_0) = h(r_0) = 0$

near Horizon

introduce $dp = \frac{dr}{\sqrt{h(r)}} \Rightarrow p(r) = \frac{2}{\sqrt{h'(r_0)}} \sqrt{r-r_0} + \dots$
 $f(r) = f'(r_0)(r-r_0) + \dots \sim \frac{1}{4} p^2 h'(r_0) f'(r_0)$

the near Horizon geometry is Rindler $\subset$ Minko. $t \mapsto -i\tau$
 $\Rightarrow ds^2 = p^2 \left(\frac{\sqrt{h'(r_0) f'(r_0)}}{2} d\tau \right)^2 + dp^2 + \dots$ It will be revisited later

like we did before, compare this metric to $dp^2 + p^2 d\theta^2$

$$d\theta = \frac{\sqrt{h'(r_0) f'(r_0)}}{2} d\tau, \quad \theta \sim \theta + 2\pi \Rightarrow \tau \sim \tau + \frac{4\pi}{\sqrt{h'(r_0) f'(r_0)}}$$

Periodic in space time means finite temperature QFT.

$$T_H = \frac{\sqrt{h'(r_0) f'(r_0)}}{4\pi}$$

Apply this formulae to Kerr metric:

$$ds^2 = -\frac{p^2 \Delta}{\Sigma} dt^2 + \frac{\Sigma}{p^2} \sin^2 \theta (d\phi - \omega dt)^2 + \frac{p^2}{\Delta} dr^2 + p^2 d\theta^2$$

where : $p^2 = r^2 + a^2 \cos^2 \theta$, $\Delta = r^2 + a^2 + \alpha^2 - 2Mr$, $a \equiv \frac{J}{M}$

$$\Sigma = (r^2 + a^2)^2 - a^2 \Delta \sin^2 \theta, \quad \omega = \frac{a}{\Sigma} (r^2 + a^2 - \Delta)$$

$$G_N := 1.$$

two Horizon: $r_{\pm} = M \pm \sqrt{M^2 - a^2 - \alpha^2}$, We now only consider r_+

temperature: $T_H = \frac{2(r_+ - M)}{A}$

(outer) Horizon Area: $A = 4\pi(r_+^2 + a^2)$ attention, the induced

metric is $r = \frac{\Sigma}{p^2} \sin^2 \theta dp^2 + p^2 d\theta^2$, $A = \int d\theta d\phi \sqrt{r}$. You maybe naive think $A = 4\pi r^2$. This is NOT the spherical coordinate.

Angular velocity: $\Omega := \left. \frac{d\phi}{dt} \right|_{ds=dr=d\theta=0} = \omega(r_+) = \frac{4\pi a}{A}$
 $r_+ > 0 \Rightarrow M^2 > a^2 + Q^2$ if the inequality is saturated
 two horizons are merging to each other.

$$r_+ = r_- = M$$

We call the BH as **extremal** BH

$T = 0$, $S = \frac{A}{4} = \pi(M^2 + a^2) \neq 0$ (entropy will be introduced in next section). Now consider its near

horizon geometry. Consider a simpler case. $a=0, r_0=M=Q$

$$ds^2 = -\frac{(r-Q)^2}{r^2} dt^2 + \frac{r^2}{(r-Q)^2} dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2)$$

$$\simeq -\frac{r^2}{Q^2} d\tau^2 + \frac{Q^2}{r^2} d\xi^2 + Q^2(d\theta^2 + \sin^2\theta d\phi^2) + \dots$$

$\underbrace{\hspace{15em}}_{\text{AdS}_2}$
 $\times$
 $\underbrace{\hspace{15em}}_{S^2}$

Where $r-Q := \lambda\xi$, $\tau := \lambda t$, $\lambda \rightarrow 0$

So for extremal BH, the near horizon geometry is

$\text{AdS}_2 \times S^2$, not $\text{RnD}_2 \times S^2 \subset \text{Mink}_2 \times S^2$. Which we discussed for Schwarzschild BH.

Black Hole Thermodynamics

BH Not only has temperature, it also obeys thermodynamics.

$$T_{BH} = \frac{\hbar \kappa}{2\pi} = \frac{\hbar}{8\pi G_N M}$$

$\frac{ds}{dE} \approx \frac{1}{T(E)} = \frac{8\pi G_N M}{\hbar} \quad (E=M)$ δ is just a definition at now.

$$\Rightarrow S(E) = \frac{4\pi G_N E^2}{\hbar} = \frac{4\pi r_s^2}{4\hbar G_N} = \frac{A_{BH}}{4\hbar G_N}$$

$$M \uparrow \Rightarrow T_{BH} \downarrow \Rightarrow C_{BH} = \frac{\partial E}{\partial T} < 0$$

Interlude: General Black Hole

No hair theorem: a stationary, asymptotically flat black hole is fully characterized by its mass, angular momentum and conserved gauge charges (e.g. electric charge in string theory R-R charge)

Star collapse $\rightarrow$ BH All features of star will be lost

Four laws of BH mechanics

0-th law: surface gravity κ is constant over the horizon

1st law: $dM = \frac{\kappa}{8\pi G_N} dA + \underbrace{\omega}_{\substack{\uparrow \\ \text{angular frequency}}} dJ + \underbrace{\Phi}_{\substack{\uparrow \\ \text{electrical potential (gauge)}}} dQ$

$\downarrow T ds$

2nd law: Horizon area never decreases

$$\Delta S \geq 0$$

3rd law: Surface gravity κ cannot be reduced to zero in a finite number of procedures

$$\kappa > 0$$

These laws and the formulae of T_{BH} and S_{BH} are not only for Schwarchild Hole. but also every BHs. and they can be deduced by classical GR. Historically, before Hawking's discovery of Black Hole radiation, Bekenstein think. the thermodynamics is more fundamental, so He guess BH should be a thermodynamics object and proved if $S_{BH} \propto A$, then $\Delta S_{tot} \geq 0$. $S_{tot} = S_{BH} + S_{matter}$ so When you throw an object into BH, the total entropy will not decrease because BH is a thermodynamic object and has entropy. Hawking Radiation prove that BH is Really a thermodynamic object.

Example: Kerr-Newman BH does obey these 3 laws.

1) Few pages ago, we found expressions for A , κ , S and T now, use da , dM , dQ to express their differential:

$$dS = \frac{4\pi(r_+ - M)}{A} (r_+ dM + a da + \frac{r_+}{r_+ - M} (M dM - a da - Q dQ))$$

$$dJ = a dM + M da$$

It's straight forward to obtain: 1st Law

$$T dS + \Phi dQ + \kappa dJ = \frac{4\pi}{A} (r_+^2 + a^2) dM = dM$$

2) the proof of 2nd and 3rd law need more technical steps, I

will not show it here, but we can image a simpler case to explain the 3rd law. Consider KN-BH with $J=0$, $M > Q$, we can put in particle with charge-mass ratio $\frac{q}{m} > 1$ to make Q to be more closed to M , i.e., $T \rightarrow 0$. In order for a charged particle to be able to fall into the BH, Coulomb Force should be less than Gravitational Force, so $Q \leq M$:

$$1 < \frac{q}{m} \leq \frac{M}{Q}$$

Assume we were close to extremality to begin with and expand $\frac{Q}{M}$ near 1

$$\text{next step } \Rightarrow \frac{Q'}{M'} = \frac{Q+q}{M+m} = \frac{Q}{M} \cdot \frac{1 + \frac{M}{Q} \frac{q}{m}}{1 + \frac{m}{M}} = 1 - \left(1 - \frac{Q}{M}\right) \frac{1 - \frac{m}{M}}{1 + \frac{m}{M}} + \dots$$

For any $\frac{m}{M} < 1$, $\frac{Q}{M} < 1$, we obtain $\frac{Q'}{M'}$ also less than 1.

So we can not cool the BH by finite steps (3rd law)

1) Does BH entropy have a statistical interpretation?

so BH should have micro states

$$N \sim e^S = e^{\frac{A_{BH}}{4\pi G_N}}$$

2) Information loss paradox: During Hawking Radiation Information lost. because Radiation is information-free

Holographic principle.

Consider isolated system in AFS (Asymptotic Flat spacetime) with mass E and entropy S_0 .

A : area of the sphere that enclosed the system

M_A : mass of the BH with horizon area A .

$\Rightarrow E \leq M_A$, because the system don't collapse to a black hole yet

Add $M_A - E$ amount of Energy to the system (keeping A fixed). Then we create a Black Hole

$$S_{BH} \geq S_0 + S' \quad (\text{2nd law})$$

$\uparrow$ Entropy of added energy.

$$\Rightarrow S_0 \leq S_{BH} = \frac{A}{4\hbar G_N}$$

$\Rightarrow$ Maximal Entropy inside a region bounded by area A is given by:

$$S \leq \frac{A}{4\hbar G_N}$$

Von-Neuman Entropy:

$$S = -\text{Tr} \rho \ln \rho$$

For finite N -dimensional Hilbert space.

$$S_{\max} = \log N. \quad (\rho = \frac{1}{N} \mathbb{1})$$

$$\Rightarrow \log N \leq \frac{A}{4\hbar G_N} \Rightarrow N \leq \exp\left(\frac{A}{4\hbar G_N}\right)$$

N is the effective dimension of the system.

even for harmonic oscillator, $\dim \mathcal{H} = \infty$
 but for a system with finite number of degrees of freedom, **Below** some energy scale, the $\mathcal{H}_{\text{eff}}$ is always finite dimension.

In typical physical systems:

$$\begin{aligned} \# \text{ of d.o.f.} &\sim \log N_{\text{eff}} \\ &\leq \frac{A}{4\hbar G_N} \end{aligned}$$

But # of d.o.f is proportional to the **Volume**.
not Area! so this bound is certainly violated
in non-gravitational systems.

Because only with gravity things will
collapse to a black hole. So we can't
create a huge mass in a fixed Area.

**Quantum Gravity leads to a huge reduction of
of d.o.f.**

so in quantum gravity. a region of boundary
area A can be fully described by #d.o.f.
no more than:

$$\# \text{ d.o.f} \leq \frac{A}{4\pi G_N} = \frac{A}{4l_p^2}.$$

This is the Holographic principle. it tells you
that in Quantum Gravity. Information is encoded on
the surface not in the volume.

Large N matrix theories.

Consider $SU(N)$ YM theory

$$\mathcal{L} = \frac{1}{4g_{YM}^2} \text{Tr} F_{\mu\nu} F^{\mu\nu}$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu - [A_\mu, A_\nu]$$

$$A_\mu = A_\mu^a T^a, \quad T^a \in \mathfrak{su}(N), \quad \text{a matrix.}$$

take $N \rightarrow \infty$ limit, do $\frac{1}{N}$ expansion.

$SU(\infty) \longleftrightarrow$ string theory Srednicki

Consider more simpler scalar matrix field theory

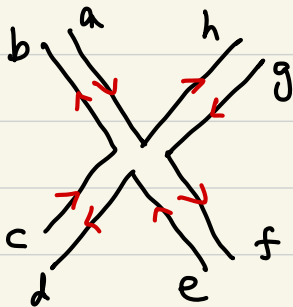
$$\mathcal{L} = -\frac{1}{g^2} \text{Tr} \left[\frac{1}{2} \partial_\mu \Phi \partial^\mu \Phi + \frac{1}{4} \Phi^4 \right]$$

$$\Phi(x) = \Phi^a_b(x), \quad (\Phi^a_b)^* := \Phi^b_a \in U(N)$$

$U(N)$ is the global symmetry. We don't introduce gauge field to make it local.

Feynman Rules: Doubleline notation.

$$\begin{array}{c} a \\ \text{---} \end{array} \begin{array}{c} \text{---} \\ b \end{array} \begin{array}{c} \text{---} \\ c \end{array} \begin{array}{c} d \\ \text{---} \end{array} = \frac{g^2 \delta^a_d \delta^c_b}{p^2} \sim \frac{g^2}{p^2} \xrightarrow{\text{up to low indices}}$$

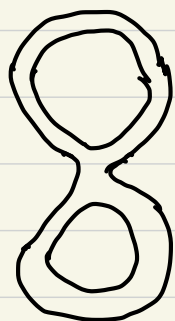


$$= \frac{1}{g^2} \delta_b^c \delta_d^e \delta_f^g \delta_h^a \sim \frac{1}{g^2}$$

Vacuum energy.

← planar

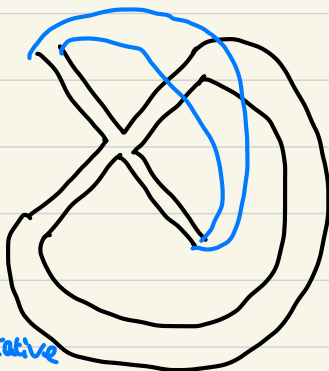
← non-planar.



↓
 $N^2 g^2$

because
matrices
non-commutative
↓

$\neq$



↓
 $N g^2$

every loop
⇒ Trace Matrix $\times \oint_C$
⇒ N .

We omit the momenta integrals

You can always draw non-planar diagram on a genus g surface without crossing. Assume we have done.

General formulae:

Power of $N = \#$ of faces

⇒ For Vacuum diagram:

$g^{2(E-V)} \cdot \frac{\chi F}{g^4 F}$

$$\mathcal{L}_{\text{loop}} \sim (g^2)^E \cdot (g^{-2})^V \cdot N^F$$

$$\sim g^{2(E-V-F)} \cdot g^{2F} \cdot N^F$$

$$\sim g^{-2\chi} \cdot (g^2 N)^F$$

⇒ $\boxed{\lambda^{L-1} N^{\chi}}$

$L = E - V + 1$
↑
the # of loops

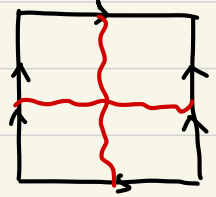
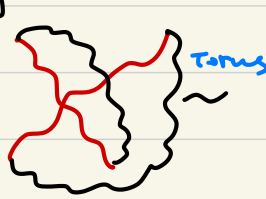
Large N . and keeping $g^2 N = \text{const} := \lambda$

sphere $\chi=2$ Torus $\chi=0$... 't Hooft Limit

Each diagram can be considered as the partition of the surface into polygons



partition
on sphere



As before, we know. this to diagram is different
for non-Abelian Gauge Theory
that's why $\chi = F + V - E = 2 - 2g$.

$SU(\infty) =$  $+ \quad$  $+ \quad$  $+ \dots$

N^2

Recall
 $Z = e^{iW}$

$\log Z_0 = N^2 (C_0 + C_1 \lambda' + C_2 \lambda'^2 + \dots) + N^0 (\dots) + \dots$

N^0 $\rightarrow$ counting the # of Loops N^{-2} planar

N^0 non-planar

expand by Topology!

Actually, this series is convergent.

W is the sum of all

not just an asymptotic expansion.

Connected diagrams. $Z = \int D\psi D\bar{\psi} D A_\mu$ is the Vacuum Energy, only
Connected diagrams have non-trivial contribution for LSZ formulae.

Although we use ϕ^4 theory to be the example. But actually for any Lagrangian of matrix valued fields:

$$\mathcal{L} = \frac{N}{\lambda} \text{Tr}(\dots)$$

$$\Rightarrow \log Z = \sum_{g=0}^{\infty} N^{2-2g} f_g(\lambda)$$

Topological

• Why orientable surface? because $\rightleftarrows$, $SU(N)$ is hermitian matrix, lower and up indices are in different foots. So if we consider $SO(N)$, we need to use unorientable surface to describe its t'Hooft limit.

• observables in gauge theory are more limited, operator don't must need to preserve global symmetry. but they must need to invariant under gauge symmetry. So the observables are:

$$\text{Tr}(\mathbb{I}^2), \text{Tr} F^{\mu\nu} F_{\mu\nu}, \dots \quad (\text{single-trace}, \partial_n)$$

so multi-trace operator can be denoted by

$$:\partial_1 \partial_2:, :\partial_1 \partial_2 \partial_3:, \dots \quad \text{such as}$$

$$\text{Tr}(\mathbb{I}^2) \text{Tr}(F^{\mu\nu} F_{\mu\nu}) \dots$$

As before. We want to expand $\langle \partial_1 \partial_2 \dots \partial_n \rangle_c$ by $1/N$. not only satisfied by $\langle \mathcal{O} \rangle_c = \log Z$.

Consider $Z[J_1 \dots J_n] = \int \mathcal{D}A \mathcal{D}\bar{\psi} \exp[iS_0 + i \int J_i(x) \psi(x)]$
 is the generator.

$$\langle O_1 \dots O_n \rangle_c = \frac{1}{i^n} \frac{\delta^n \log Z}{\delta J_1(x_1) \dots \delta J_n(x_n)}$$

★ missing part in textbooks

Trick $Z \mapsto \int \mathcal{D}A \mathcal{D}\bar{\psi} \exp[iS_0 + iN \int J_i \psi_i dx]$ ← i seff

$$\langle O_1 \dots O_n \rangle_c = \frac{1}{i^n} \frac{\delta^n \log Z}{\delta J_1(x_1) \dots \delta J_n(x_n)} \cdot \frac{1}{N^n}$$

because $S_0 \sim N \text{Tr}[\dots]$ $S_0 \text{ seff} \sim N \text{Tr}[\dots]$

$$\Rightarrow \log Z[J_1 \dots J_n] = \sum_{g=0}^{\infty} N^{2-2g} f_g(\lambda) \quad \uparrow \text{vacuum-like.}$$

$$\Rightarrow \langle O_1 \dots O_n \rangle_c \sim \sum_{g=0}^{\infty} N^{2-2g-n} f_n^{(g)} \sim N^{2-n} \left(1 + \mathcal{O}\left(\frac{1}{N^2}\right) \right)$$

planar ↑ non-planar

Physics interpretation.

$$\langle O_i O_j \rangle_c \sim \mathcal{O}(1) \xrightarrow{\text{diagonalize}} \langle O_i O_j \rangle \sim \delta_{ij} \quad \text{diagonalized}$$

$$\langle O_i O_i O_j O_j \rangle_c \sim \mathcal{O}\left(\frac{1}{N}\right) \xrightarrow{N \rightarrow \infty} 0$$

$$\langle :O_1 O_2: :O_1 O_2: \rangle \xrightarrow{\text{Wick}} \langle :O_1 O_2: :O_1 O_2: \rangle_c + \langle O_1 O_1 \rangle_c \langle O_2 O_2 \rangle_c$$

connected and disconnected

So we can interpret $O_i |0\rangle$ as a single particle

In QCD we call $:O_i O_j: |0\rangle$ as a 2-particle
 then glueball states $:O_1 \dots O_n: |0\rangle$ as a n-particle

fluctuations of "glueballs" suppressed. suppose $\langle O_i \rangle \neq 0$

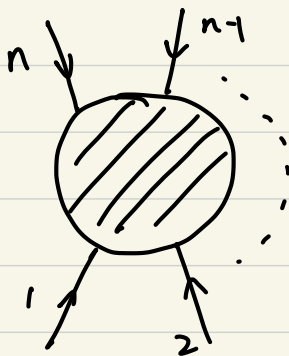
$$\sigma_{O_i} = \langle O_i^2 \rangle - \langle O_i \rangle^2 = \langle O_i^2 \rangle_c \sim \mathcal{O}(N^0)$$

But $\langle O_i \rangle \sim \mathcal{O}(N^1)$ ($\langle O_i \rangle_c = \langle O_i \rangle$)

so $\frac{\sqrt{\sigma_{O_i}}}{\langle O_i \rangle} \sim \frac{1}{N} \rightarrow 0$

and $\langle O_1 O_2 \rangle = \langle O_1 \rangle \langle O_2 \rangle + \langle O_1 O_2 \rangle_c$
 $\stackrel{N \rightarrow \infty}{\sim} \langle O_1 \rangle \langle O_2 \rangle$ $\sim \mathcal{O}(N^2)$ $\sim \mathcal{O}(N^0)$

so in large N limit there is no Quantum fluctuation. It's just a classical theory



$$\sim \langle O_1(x_1) \dots O_n(x_n) \rangle_c$$

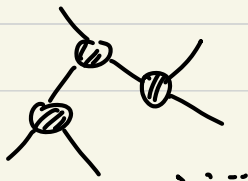
as "scattering amplitudes" of n "glue balls", then to leading order in $N \rightarrow \infty$, the scatterings are classical.

Meanings only involve tree level scatterings

like BCFW, we can use three-vertex to obtain tree-diagrams.



$\Rightarrow$



3-vertex "coupling constant" is $1/N$. then A_n involves $n-2$ such 3-vertices. $\Rightarrow A_n \sim N^{2-n}$

this is exactly we have obtained before.

you can also include higher order vertices like

$$X \sim N^{-2}, \quad \star \sim N^{-3}.$$

But include them doesn't change the large- N conclusion, because they can be degenerate to 3-vertex.

$$X \sim \text{diagram} \sim N^{-1} \cdot N^{-1} \sim N^{-2}$$

$$\star \sim X \sim N^{-2} \cdot N^{-1} \sim N^{-3}$$

so the underlying is that $\langle \mathcal{O}_1 \dots \mathcal{O}_n \rangle \sim N^{2-n}$

About intermediate states: To leading order in N .

in any correlation functions, there are only one-particle intermediate state.

$$\begin{aligned} \text{e.g. } \langle \mathcal{O}_1 \mathcal{O}_2 \mathcal{O}_3 \rangle &= \sum_i \overset{\sim \mathcal{O}(1)}{\langle \mathcal{O}_1 \mathcal{O}_i \rangle} \overset{\sim \mathcal{O}(N^{-1})}{\langle \mathcal{O}_i \mathcal{O}_2 \mathcal{O}_3 \rangle} \\ &+ \sum_{ij} \overset{\sim \mathcal{O}(N^{-1})}{\langle \mathcal{O}_1 : \mathcal{O}_i \mathcal{O}_j : \rangle} \overset{\sim \mathcal{O}(N^{-2})}{\langle : \mathcal{O}_i \mathcal{O}_j : \mathcal{O}_2 \mathcal{O}_3 \rangle} \\ &+ \dots \end{aligned}$$

$\Rightarrow$ Leading order $\mathcal{O}(\frac{1}{N}) \leftarrow$ one-particle intermediate

state's contribution.

$$\text{diagram} = \text{diagram} + \text{diagram} + \dots$$

so, actually, it is the collary of any loop diagrams are suppressed

All in all. $SU(\infty)$ is a Classical theory at leading $\frac{1}{N}$ -order. A classical theory of glue-balls.

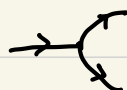
$$\begin{array}{ccc} \text{gauge theory} & = & \text{glue ball theory} \\ N \rightarrow \infty, \hbar \sim O(1) & & \hbar \rightarrow 0 \end{array}$$

Large N expansion $\longleftrightarrow$ semi-classical expansion $\hbar \sim \frac{1}{N}$

Gauge/string Duality.

QFT: Worldline formalism, the first Quantization approach

$$x(\tau) \rightarrow \int \mathcal{D}X^\mu e^{iS_{\text{particle}}}, \quad S_{\text{particle}} = m \int d\tau$$

 gives you the interactions, need to add by hand

*ps: second Quantization approach. we don't quantize the Quantum motion $x^\mu(\tau)$, but denote them as parameters of Quantum field. eg. $A^\mu(x)$.

String: Generalization of this first Quantization approach

$$S_{\text{string}} = T \int_{\Sigma} dA_{\text{world-sheet}} \quad (\text{Nambu-Goto})$$

$\uparrow$
 $\frac{1}{2\pi\alpha'}$

$\nwarrow$ Vacuum energy
 $Z_{\text{string}} = \sum_{\text{all closed 2d surfaces}} e^{-S_N G}$
 $\nearrow$ Euclidean signature

* Consider closed string
later we will consider open string

$$= \sum_{h=0}^{\infty} e^{-\lambda \chi} \sum_{\text{surface with genus } h} e^{-S_N G} \quad g_s := e^{-\lambda}$$

$\uparrow$
Weight for different topology.

$$\begin{array}{c} \text{Sphere} \\ \mathcal{O}(g_s^{-2}) \end{array} + \begin{array}{c} \text{One handle} \\ \mathcal{O}(g_s^0) \end{array} + \begin{array}{c} \text{Two handles} \\ \mathcal{O}(g_s^2) \end{array} + \dots$$

Summing over topology automatically includes interactions of strings, we don't need to add by hand.

Basic string vertices (pants decomposition)

$$\text{Y-vertex} \sim \text{trivalent vertex} \sim g_s^{-1} \sim e^{-\lambda} : \text{string coupling}$$

Now include external strings.

$\chi = 2 - 2h + b$, b is the # of boundaries. (external strings)

$$A_n^{\text{string}} = \sum_{h=0} g_s^{n-2+2h} F_n^{(h)}$$

identical mathematical structure with large N expansion

$$e^\lambda = g_s \longleftrightarrow \frac{1}{N}$$

external strings $\longleftrightarrow$ glue-ball single-trace operators

Sum over world-sheet topology $\longleftrightarrow$ sum over double-line Feynman diagram

$$f_n^{(h)} = \sum_{\substack{\text{all Feynman diagrams} \\ \text{with genus } h}} A = \sum_{\substack{\text{Sum over all} \\ \text{possible triangulations} \\ \text{of a surface}}} A$$

$\sum_{\substack{\text{Sum over all} \\ \text{2d surfaces with} \\ \text{genus } h}} A \leftarrow \uparrow \uparrow$ all 2d surfaces can be triangulized.

the key is "Feynman diagram is a partition of 2d surface" what we said before.

Geometric picture $\Downarrow$

$$F_n^{(h)} = \sum_{\substack{\text{Sum over all} \\ \text{2d surfaces with} \\ \text{genus } h}} \int \mathcal{D}X e^{-S_{NG}}.$$

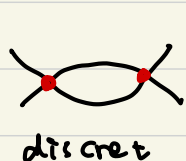
Can be gauge fixing to fix $(g-f)$ by F-P ghost.

$\Downarrow \int \mathcal{D}g_{ab} / \text{diff way!}$

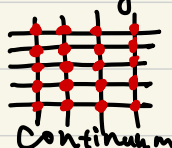
All the above clues tell us the large N gauge theory is the string theory, glue-ball states behave like string states. Single-trace operator as vertex operator

But how to connect Feynman diagram amplitudes with $e^{-S_{\text{string}}}$? Which type of string theory does it correspond to

Because string theory is a continuum theory. But Large N theory is related to triangulations of surfaces. so it's a discrete theory, so we expect the geometric picture of G only manifest in strong coupling limit, i.e. $\lambda \rightarrow \infty$ or Consider Feynman diagrams with many vertices



$\Rightarrow$
 $\lambda \rightarrow \infty$



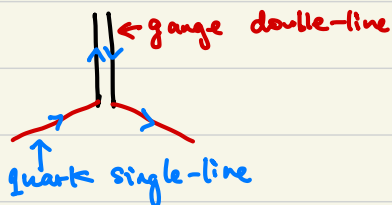
recall $A_{TM} \sim \lambda^{2-1} N^x$

so $\lambda \rightarrow \infty$ means diagram

with so many loops (vertices) dominant

Actually, for large N theory of Random Matrix Theory (Quantum Mechanics version of TM theory). One could go pretty far in relating them to some low-dimensional string theory. (hep-th/9108019)

Generalization with quarks.



$N \rightarrow \infty$
 $\rightsquigarrow$

Vacuum Feynman diagram is corresponding to 2d surfaces

with boundary ← quark loops

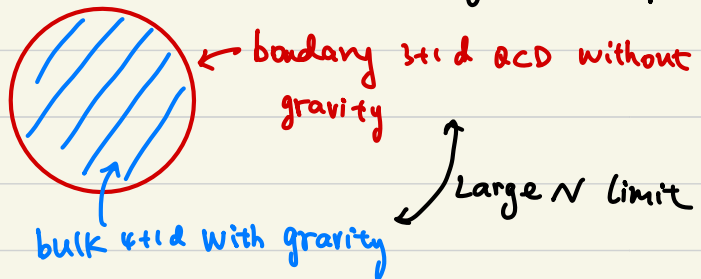
$$\updownarrow \frac{1}{N} \sim g_s$$

string theory include both open string and closed string

Generalizations of gauge groups.

gauge group in Large $N \leftrightarrow$ Chan-Paton in string theory
 $so(N), Sp(N) \Rightarrow$ **unoriented . string theory**

QCD doesn't include gravity, but string theory does.
so if we want to connect a non-gravity theory to a gravity theory. we need to use holographic principle



To make a consistent string theory in $D \neq 10, 26$. we need to discard the Weyl gauge symmetry. Then we don't need $D=10, 26$ to cancel the Weyl (a.k.a. conformal) anomaly. This is realized by **Liouville string theory**

Now, suppose we consider CFT, not just QCD then

Large N CFT in $Mink_d \xleftrightarrow{\frac{1}{N} \sim g_s}$ string theory in AdS_{d+1}

Why AdS ? string theory should also conserve the d dim.

Poincaré symmetry. So $Mink_d$ should be a sub-manifold

$$ds^2 = a(z)^2 (dz^2 + \eta_{\mu\nu} dx^\mu dx^\nu)$$

CFT has scale invariance: $x^\mu \mapsto \lambda x^\mu$

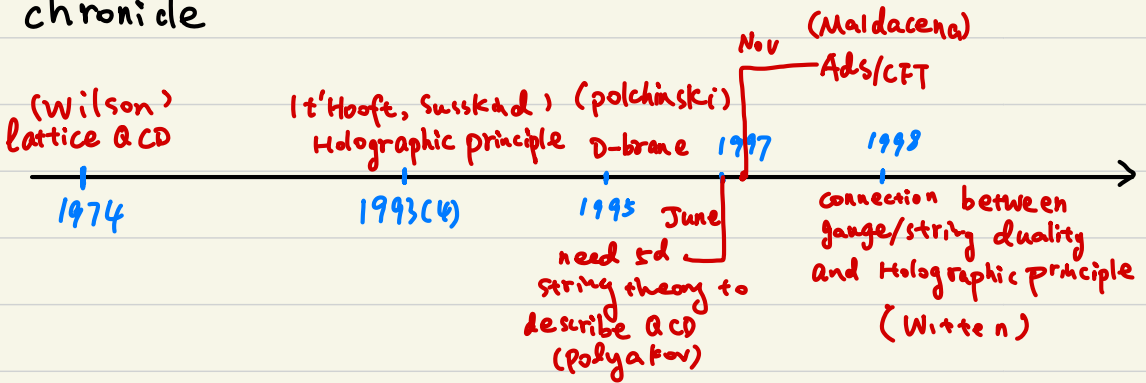
$d+1$ dim string should also preserve it, so $a(\lambda z) \sim \frac{1}{\lambda} a(z)$

$$\Rightarrow a(z)^2 = \frac{R^2}{z^2}$$

$$ds^2 = \frac{R^2}{z^2} (dz^2 + \eta_{\mu\nu} dx^\mu dx^\nu)$$

this space-time is AdS_{d+1} .

chronicle



String and D-brane

$$Z = \int \mathcal{D}x^a \mathcal{D}X^\mu e^{iS_P[x, X]} \quad \left(\frac{1}{\text{diff} \times \text{weyl}} \text{ has been omitted} \right)$$

↑ we don't fix gauge now

$$S_P[x, X] = -\frac{1}{4\pi\alpha'} \int d\sigma^2 \sqrt{-\gamma} \gamma^{ab}(\tau, \sigma) \partial_a X^\mu \partial_b X^\nu g_{\mu\nu}(x)$$

String theory can be considered a 2d "gravity" coupled to

D free scalar fields on the worldsheet

Consider $g_{\mu\nu} = \eta_{\mu\nu}$. i.e. the background is $Mink_D$.

Symmetry: global: Poincaré (target space time)

(first class) gauge: diff $\times$ weyl (worldsheet space time)

$\Rightarrow$ another term consistent with these symmetry

$$S_{\text{Euler}} = \frac{\lambda}{4\pi} \int d^2\sigma \sqrt{-\gamma} R \quad \lambda := \langle \phi \rangle \text{ a scalar.}$$

↑ total derivative

But "total derivative" is a local description, actually it has global topological effect

$$\text{In 2D: } \chi = \frac{1}{4\pi} \int d^2\sigma \sqrt{\gamma} R = 2 - 2 \cdot \text{genus.}$$

$$\text{In Euclidean path integral. } e^{-S_{\text{Euler}}} = e^{-\lambda \chi}$$

String spectrum.

Light-cone Quantization

We will use the constraints to fix gauge first, and then quantization

$$\frac{\delta S}{\delta \gamma_{ab}} = 0 \Rightarrow T_{ab} = \partial_a X^\mu \partial_b X_\mu - \frac{1}{2} \gamma_{ab} \gamma^{cd} \partial_c X^\mu \partial_d X_\mu = 0$$

$$\frac{\delta S}{\delta X^\mu} = 0 \Rightarrow \partial_a (\sqrt{-\gamma} \gamma^{ab} \partial_b X^\mu) = 0$$

boundary condition for open string

$$\delta X^\mu \gamma^{\sigma b} \partial_b X_\mu \big|_{\sigma=0,2} = 0$$

$$\text{Dirichlet: } \delta X^\mu \big|_{\sigma=0,2} = 0$$

$$\text{Neuman: } \partial^\sigma X_\mu \big|_{\sigma=0,2} = 0$$

Fix diff x Weyl gauge symmetry. Using isothermal

$$\text{coordinate: } \gamma_{ab} = \eta_{ab}$$

$$\text{E.o.m of } X^\mu \text{ becomes: } \partial_\sigma^2 X^\mu - \partial_\tau^2 X^\mu = 0$$

$$\dots \dots \gamma_{ab} \text{ becomes: } T_{00} = T_{11} = \frac{1}{2} [(\partial_\tau X)^2 + (\partial_\sigma X)^2] = 0$$

$$\text{Virasoro Constraints} \rightarrow T_{01} = T_{10} = \partial_\tau X \cdot \partial_\sigma X = 0$$

$$\text{boundary condition: } \gamma^{\sigma b} \partial_b X^\mu = 0 \Leftrightarrow \partial_\sigma X^\mu = 0$$

solution of e.o.m: (ignore the Virasoro constraints)

$$X^\mu(\sigma, \tau) = X^\mu + v^\mu \tau + X_R^\mu(\tau - \sigma) + X_L^\mu(\tau + \sigma)$$

closed string. X_L, X_R are independent function

$$\text{open string: } X_L'(\tau) = X_R'(\tau), \quad X_L'(\tau - \pi) = X_R'(\tau - \pi)$$

(Neuman)

$$\Rightarrow X_L = X_R \text{ is periodic in } 2\pi$$

After fix $\gamma_{ab} = \eta_{ab}$, there are still other residual

gauge freedom. Introduce $\sigma^\pm := \frac{1}{\sqrt{2}}(\tau \pm \sigma)$

Worldsheet Metric : $ds^2 = -d\tau^2 + d\sigma^2 = -2d\sigma^+ d\sigma^-$, this

metric is preserved by : $\sigma^\pm \rightarrow f^\pm(\sigma^\pm)$ - diff

$$ds^2 \rightarrow \tilde{ds}^2 = -2f^{+'}(\sigma^+) f^{-'}(\sigma^-) d\sigma^+ d\sigma^-$$

$\tilde{ds}^2 \stackrel{\text{Weyl}}{\sim} ds^2$. So it's conformal invariant.

Under this transformation

$$\tilde{\tau} = \frac{f^+(\tau+\sigma) + g^+(\tau-\sigma)}{\sqrt{2}}$$

obviously $\partial^2 \tilde{\tau} = 0$, has the same form as the classical solution of X^μ . So we can choose

$$\tau = \frac{X^+}{v^+}, \quad X^\pm := \frac{X^0 \pm X^1}{\sqrt{2}} \quad \uparrow$$

if $\partial^2 \tilde{\tau} \neq 0$ we can not do that, but it is.

to fix the gauge, which called **light-cone gauge**

Virasoro constraints become :

$$2v^+ \partial_\tau X^- = (\partial_\tau X^i)^2 + (\partial_\sigma X^i)^2 \quad i \neq 0, 1$$

$$v^+ \partial_\tau X^- = \partial_\tau X^i \partial_\sigma X^i$$

So X^- can be fully solved in terms of $X^i(\sigma, \tau)$

As we said before X^+ is fully fixed by $X^+ = v^+ \tau$

So the independent X^μ are X^i We cut off

2 . d.o-f, we only need to consider D-2 free

$X^+ = p^+ \tau$ has no oscillating terms, only a zero mode.

Scalar fields, and because $(\partial X^0)^2$ has wrong kinematic term sign, naively we think this system will be unstable. But now we can omit X^0 by using constraints and gauge fixing. Then light-cone gauge (partly) solve the problem of unitarity at quantum level. But in light-cone gauge. Lorentz symmetry is not manifest, remaining $SO(D-2)$ symmetry.

Mode expansion.

$$X_L^\mu(\sigma+\tau) = i\sqrt{\frac{\alpha'}{2}} \sum_{n \neq 0} \frac{\alpha_n^\mu}{n} e^{-in(\tau+\sigma)}$$

$$\alpha_n^\mu = \bar{\alpha}_{-n}^\mu$$

$$X_R^\mu(\tau-\sigma) = i\sqrt{\frac{\alpha'}{2}} \sum_{n \neq 0} \frac{\tilde{\alpha}_n^\mu}{n} e^{-in(\tau-\sigma)}$$

$$\tilde{\alpha}_n^\mu = \bar{\alpha}_{-n}^\mu$$

for open string, $\alpha_n^\mu = \tilde{\alpha}_n^\mu$, Left and right mode are not independent. Center of Mass Motion of the string is given by the zero mode:

$$X^\mu + U^\mu \tau = \frac{1}{2\pi} \int_0^{2\pi} d\sigma X^\mu(\sigma, \tau)$$

Using $2U^+ \partial_\tau X^- = (\partial_\tau X^i)^2 + (\partial_\sigma X^i)^2$ and $U^+ \partial_\sigma X^- = \partial_\tau X^i \partial_\sigma X^i$ to solve the mode of X^- : U^- , α_n^- and $\tilde{\alpha}_n^-$

for closed string:

$$2V^+V^- = V_i^2 + 2\alpha' \sum_{n \neq 0} (\alpha_{-n}^i \alpha_n^i + \tilde{\alpha}_{-n}^i \tilde{\alpha}_n^i)$$

$$\sum_{n \neq 0} \alpha_{-n}^i \alpha_n^i = \sum_{n \neq 0} \tilde{\alpha}_{-n}^i \tilde{\alpha}_n^i \quad (\text{level matching condition})$$

for open string :

$$2V^+V^- = V_i^2 + 2\alpha' \sum_{n \neq 0} \alpha_{-n}^i \alpha_n^i$$

And no level matching condition.

Translation symmetry Noether current $j_a^\mu = \frac{1}{2\pi\alpha'} \partial_a X^\mu$

$\Rightarrow p^\mu = \int_0^{2\pi} d\sigma j_\tau^\mu$ is the conserve current, momentum

For open string : $V^\mu = 2\alpha' p^\mu$

$$\underline{2p^+p^- - p_i^2} = \frac{1}{2\alpha'} \sum_{n \neq 0} \alpha_{-n}^i \alpha_n^i$$

$-p^2 = m^2$

$$\Rightarrow M_{\text{open}}^2 = \frac{1}{2\alpha'} \sum_{n \neq 0} \alpha_{-n}^i \alpha_n^i$$

For closed string : $V^\mu = \alpha' p^\mu$

$$\Rightarrow M_{\text{closed}}^2 = \frac{1}{2\alpha'} \sum_{n \neq 0} [\alpha_{-n}^i \alpha_n^i + \tilde{\alpha}_{-n}^i \tilde{\alpha}_n^i]$$

Now, quantize α^i (i.e. X^i), the canonical world sheet momentum density is $\pi^i \equiv j_\tau^i = \frac{1}{2\alpha'} \partial_\tau X^i$, impose

canonical quantization condition

$$[X^i(\sigma, \tau), X^j(\sigma', \tau)] = 0, \quad [\pi^i(\sigma, \tau), \pi^j(\sigma', \tau)] = 0$$

$$[X^i(\sigma, \tau), \pi^j(\sigma', \tau)] = i\delta^{ij}\delta(\sigma - \sigma')$$

$$\Rightarrow [x^i, p^j] = i \delta^{ij}$$

$$[\alpha_m^i, \alpha_n^j] = [\tilde{\alpha}_m^i, \tilde{\alpha}_n^j] = m \delta^{ij} \delta_{m,-n}$$

$$\left. \begin{array}{l} \text{Creation operator: } \frac{1}{\sqrt{m}} \alpha_m^i \\ \text{annihilation operator: } \frac{1}{\sqrt{m}} \alpha_{-m}^i \end{array} \right\} m > 0$$

Spectrum:

$N=0$ Vacuum

$$\alpha_m^i |0, p\rangle = \tilde{\alpha}_m^i |0, p\rangle = 0 \quad \text{for all } i, m.$$

$N>0$ excited states

$$\alpha_{-m_1}^{i_1} \alpha_{-m_2}^{i_2} \dots \alpha_{-n_1}^{j_1} \alpha_{-n_2}^{j_2} \dots |0, p\rangle$$

(open string only α_{-n}^i)

$$\text{Oscillator number: } \alpha_{-m}^i \alpha_m^i = m N_m^i, \text{ no summation.}$$

Mass-shell conditions:

$$M_{\text{open}}^2 = \frac{1}{\alpha'} \sum_{i=2}^{D-1} \sum_{m=1}^{\infty} m N_m^i + a_0$$

a_0 is the summation of zero point energy of oscillators. it comes from $[\alpha_m, \alpha_n] \neq 0$, each oscillator contributes $\frac{1}{2}\omega$, ω is the frequency. In present, $\omega = m$. so :

$$a_0 = \frac{1}{\alpha'} \sum_{i=2}^{D-1} \sum_{m=1}^{\infty} \frac{1}{2} \cdot m$$

For closed string:

$$M_{\text{closed}}^2 = \frac{2}{\alpha'} \sum_{i=2}^{D-2} \sum_{m=1}^{\infty} (m N_m^i + m \tilde{N}_m^i) + \alpha'_0$$

$$\alpha'_0 = \frac{2}{\alpha'} \sum_{i=2}^{D-2} \sum_{m=1}^{\infty} \left(\overset{\text{Left}}{\downarrow} \frac{1}{2} + \overset{\text{Right}}{\downarrow} \frac{1}{2} \right) \cdot m$$

Riemann - zeta regularization, $\zeta(-1) = -\frac{1}{12}$

$$\Rightarrow \alpha_{\text{open}} = -\frac{D-2}{24} \frac{1}{\alpha'}, \quad \alpha_{\text{close}} = -\frac{D-2}{24} \frac{4}{\alpha'}$$

open string spectrum.

T. Tachyon: $|0, p^\mu\rangle$, $M^2 = -\frac{D-2}{24} \frac{1}{\alpha'}$

A_μ Vector Bosons: $\alpha_{-1}^i |0, p^\mu\rangle$, $M^2 = \frac{1}{\alpha'} \left(1 - \frac{D-2}{24}\right)$

In light-cone gauge, Lorentz Symmetry is hidden, To recover it, $SO(D-2)$ Vector Bosons should be massless

$$\boxed{D=26}$$

Otherwise, the Lorentz symmetry will be lost in quantum level

Higher excitations: all massive, with spacing by $\frac{1}{\alpha'}$:

$$\alpha_{-1}^i \alpha_{-1}^i |0, p^\mu\rangle, \alpha_{-2}^i |0, p^\mu\rangle \quad \text{Regge slope} \uparrow$$

closed string spectrum

T. Tachyon: $|0, p^\mu\rangle$, $M^2 = -\frac{4}{\alpha'} \frac{D-2}{24}$

Graviton $g_{\mu\nu}$ $\oplus$ Dilaton ϕ $\oplus$ B-field $B^{\mu\nu}$: $\alpha_{-1}^i \tilde{\alpha}_{-1}^i |0, p^\mu\rangle$, $M^2 = \frac{26-D}{6\alpha'}$

Again, closed string can only live in $D=26$ likes open string. Higher modes are all massive.

Low Energy EFT:

$$S_{\text{LEE}} = \frac{1}{16\pi G_N} \int d^4x \sqrt{-g} e^{-2\phi} [R - 4(\partial\phi)^2 + \frac{1}{2}H^2], \quad H_{\mu\nu\lambda} = \partial_{[\mu} B_{\nu\lambda]}$$

Einstein Gravity: $M_{\text{Pl}} \propto G_N$. $M_{\text{Pl}}^4 \propto g_s^2 \Rightarrow G_N \propto g_s^2$

But this theory is non-renormalizable, In string theory, all loop diagrams are finite.

$$\Rightarrow S_{\text{LEE}} \sim \frac{1}{g_s^2} \int e^{-2\Phi} R \Rightarrow g_s = e^{\langle \Phi \rangle} \quad \Phi \text{ is moduli parameter}$$

Everything is in some sense determined!

moduli stabilization problem

Superstring (worldsheet susy. RNS formalism)

$$S_{\text{super}} = -\frac{1}{4\pi\alpha'} \int d^2\sigma (\partial_a X^\mu \partial_a X_\mu + i \bar{\psi} \gamma^a \partial_a \psi)$$

one loop unitary $\Rightarrow$ GSO projection $\Rightarrow$ Quantization without tachyon

type IIA: $h_{\mu\nu}, B_{\mu\nu}, \phi, A_\mu, C_{\mu\nu\lambda} + \text{fermions}$

II B: $\dots, \chi, C_{\mu\nu}, C_{\mu\nu\rho} + \text{fermions}$

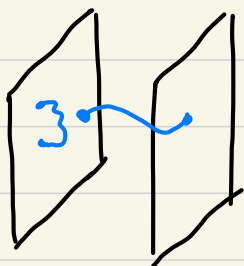
R-R forms and their difference in A/B theory are very important for discussion on D-brane

Strength of open string interaction.

$$g_s \sim g_o^2$$

D-brane

D is for Dirichlet boundary condition.



For Dirichlet BC:

end points of open string should
on D-brans

$SO(9, 1) \times \mathbb{R}^{9,1}$ symmetries are broken down by D-brane

$$SO(9, 1) \times \mathbb{R}^{9,1} \rightarrow \mathbb{R}^p \times SO^+(1, p) \times SO(D-1-p)$$

D_p branes should be considered as non-perturbative
topological defect in string theory.

Note: X^0 cannot have Dirichlet BC. But for
Euclidean Spacetime, we can even impose
Dirichlet BC on X^0 . We obtain D-1 brane

String can also end on
D-brans with different
dimensions



Open String Spectrum on D_p -brans.

$$\mu = (\alpha, a) \quad \alpha = 0, 1, \dots, p(N), \quad a = p+1, \dots, D-1(D)$$

As before: $X^a(\sigma, \tau) = x^a + 2\alpha' p^a \tau + \sum_{n \neq 0} \frac{\alpha_n^a}{n} e^{-in\tau} \cos n\sigma$

For the Dirichlet BC the most general solution is:

$$X^a(\sigma, \tau) = x^a + 2\alpha' p^a \tau + X_R^a(\tau - \sigma) + X_L^a(\tau + \sigma)$$

Using the BC: $X^a(0, \tau) = X^a(\pi, \tau) = b^a$

$$\Rightarrow X^a(\sigma, \tau) = b^a + \sum_{n \neq 0} \frac{\alpha_n^a}{n} e^{-in\tau} \sin n\sigma$$

The only difference between N and D BC is that $p^a = 0$, but the mass shell condition unchanged.

excitation states are still:

$$\alpha_{-n_1}^{\mu_1}, \alpha_{-n_2}^{\mu_2} \dots \alpha_{-n_i}^{\mu_i} |0; p^a\rangle, M^2 = \frac{1}{2\alpha'}(N-1) + \frac{1}{2\alpha'} \sum_a (b_i^a - b_j^a)^2$$

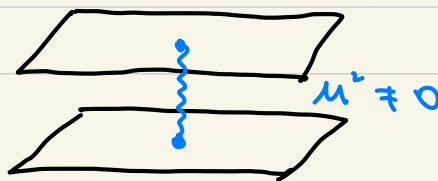
★ But they are particles in (p+1)-dimensional world volume of D-branes, and fall into representations of $(SO^+(1, p) \ltimes T^p) \times SO(p-p-1)$

In light-cone gauge, $\alpha = +, -, i$, the massless

States are

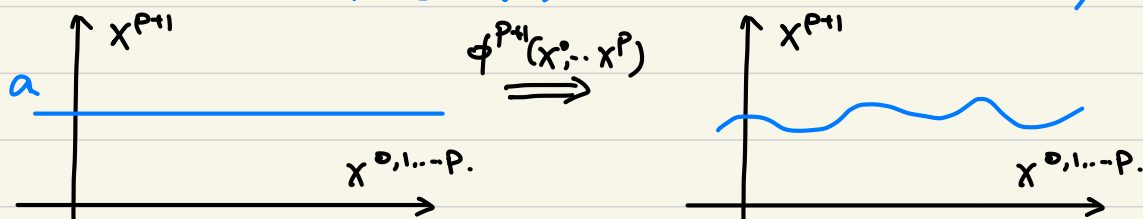
$$\alpha_{-i}^i |0, p^a\rangle, \alpha_{-1}^a |0, p^a\rangle$$

Respect to world volume Poincaré group, the former is vector field, the latter is scalar field
And two endpoints of string should end on same D-brane



d.o.f of scalar fields = transverse directions.

They can be interpreted as fluctuations of the D-brane in transverse directions (collective coordinates)



Curved P-brane means non-trivial scalar field excitations. so at world volume level.

having ϕ^a excitation modifies the Dirichlet B.c. So D-brane should be considered as a fundamental object in string theory, not just as a rigid B.c. This can also be seen by low energy EFT.

(Chern-Simons term should be added)

$$S_{DBI} = -T_P \int d^{P+1}x \sqrt{-|G_{\alpha\beta} + 2\pi\alpha' F_{\alpha\beta}|}, \quad G_{\alpha\beta} = \partial_\alpha X^\mu \partial_\beta X^\nu g_{\mu\nu}$$

$$X^a = b^a + \Xi^a \Rightarrow S_{LBE} = -T_P \int d^{P+1}x \left(1 + \frac{1}{4} F_{\alpha\beta} F^{\alpha\beta} + \frac{1}{2} \partial^\alpha \Xi^a \partial_\alpha \Xi^a + \dots \right)$$

$$\Xi^a = \Xi^a(t) \Rightarrow S_{LBE} \sim - \int dt M_{0p} \left(1 + \frac{1}{2} \dot{\Xi}^a \dot{\Xi}^a + \dots \right), \quad M_{0p} := T_P V_P$$

so Ξ^a can be interpreted as center of mass position of D-brane when there is no fluctuation. **D-brane has life!**

Tension of a D-brane

mass of a D-brane = Vacuum energy of open strings living on it



$$\mu_{pp} = T_p V_p = E_{\text{vacuum}}$$

$$= \sum \text{Vacuum diagram of open strings.}$$

$$= \sum \text{all 2-dim surfaces with at least one boundaries, but no external open strings}$$

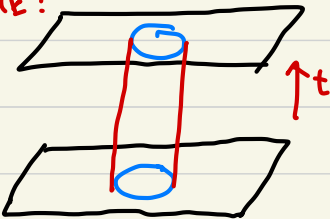
$$= \text{disk} + \text{annulus} + \dots$$

NOTE: there is no vertex operator on the boundaries
For weak coupling $g_s \ll 1$

$$T_p = \frac{1}{g_s (2\pi)^{p+1/2}}$$

which can be derived from type IIA $\xleftrightarrow{\text{duality}}$ IIB

NOTE:

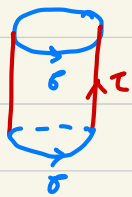


The Leading interaction between two D-brane. associate to Graviton and dilaton.

this cylinder topology gives g_s^0 order and T_p

comes from D-brane mass, so the gravitational force between two D-branes $\sim G_N T_p^2$, we said before $G_N \sim g_s^2$, so this diagram tells us $T_p \sim \frac{1}{g_s}$ the proportional coefficient is derived by using string duality.

Alternatively, you can also consider the cylinder as an open string diagram



=



Channel Duality.

Tree level in closed string

//

1-loop level in open string
Gauge Theory

Remark:

Q: Why modes describing motions of D-branes appear as massless modes?

A: D-branes break the translation symmetry. ϕ^a is the Goldstone bosons which are massless.

Multiple coincidental D-branes.

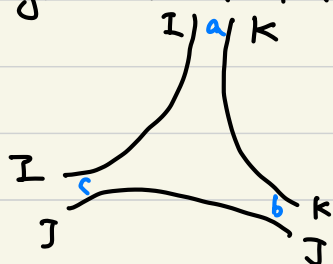


there are 4 type open string ending on D-branes

$1 \rightarrow 2$, $1 \leftarrow 1$, $2 \rightarrow 1$, $2 \leftarrow 2$

They have identical excitations

$|\psi, IJ\rangle$ $I, J = 1, 2$, so we get 4 copies of massless spectrum. Each open string excitation becomes a 2×2 matrix. e.g. $(A_\alpha)^I{}_J, (\phi^a)^I{}_J$. It can be generalized immediately to n branes. Strings should interact by joining their ends.



$$\sim T_a^{IK} T_b^{KJ} T_c^{JI}$$

Symmetry Enhancement : $U(1) \times \dots \times U(1) \rightarrow U(N)$
 On the world sheet $U(N)$ is a global symmetry, but in spacetime, it's a gauge symmetry, $(A_\alpha)^2{}_J$ must be the corresponding gauge bosons. And the low energy EFT is Yang-Mills-scalar theory.

$$S_{\text{YMS}} = -\frac{1}{g_{\text{YM}}^2} \int d^p x \text{Tr} \left\{ \frac{1}{4} F_{\alpha\beta} F^{\alpha\beta} - \frac{1}{2} (D_\alpha \phi^a)^2 + [\phi^a, \phi^b]^2 \right\}$$

$$F_{\alpha\beta} = \partial_\alpha A_\beta - \partial_\beta A_\alpha - [A_\alpha, A_\beta], \quad D_\alpha \phi^a = \partial_\alpha \phi^a - i[A_\alpha, \phi^a]$$

$$g_{\text{YM}}^2 = g_s \cdot \alpha'^{\frac{p-3}{2}} \cdot c_p, \quad \text{where } c_p \text{ is a numerical coefficient}$$

Recall that when we consider excitation between two separately D-branes, A_a and ϕ^a will not be massless

$$M \sim \frac{d}{2\pi\alpha'} \sim d T_{DP}$$

d is the distance between D-branes. Massless to massive modes means that gauge symmetry S.B. by separating D-branes

Details about the Higgs mechanism of separating D-branes:

We will only consider $U(2) \rightarrow U(1) \times U(1)$ here, any further details can be found in Zwiebach §14.3 or Polchinski Vol. I §8.

The effective low energy YMS Lagrangian is

$$\mathcal{L} = \text{Tr} \left(-\frac{1}{4} F_{\alpha\beta} F^{\alpha\beta} - \frac{1}{2} D_\mu \phi D^\mu \phi \right)$$

where $A_\mu = \frac{1}{2} (A_\mu^0 \mathbb{1} + A_\mu^i \sigma_i)$, $D_\mu \phi = \partial_\mu \phi - i[A_\mu, \phi]$

Now study the spectrum in the Higgs phase where the scalar ϕ has expectation value

$$\phi_0 = \begin{pmatrix} v & 0 \\ 0 & 0 \end{pmatrix}, \quad v = \frac{d}{2\pi\alpha'} \quad \leftarrow \begin{array}{l} \text{mass} \\ \text{calculated} \\ \text{by string} \\ \text{theory.} \end{array}$$

$$\Rightarrow \frac{1}{2} (D_\mu \phi_0) (D^\mu \phi_0) = \frac{v^2}{2} (A^1)^2 + (A^2)^2.$$

Thus two of the gauge fields have received mass v .

Then $U(2)$ breaks down to $U(1) \times U(1)$.

D-brane in Superstring

SUSY excludes tachyon from spectrum and D-brane will carry R-R charges, so they are stable object.

type IIA : $C_\mu^{(1)}$, $C_{\mu\nu\rho}^{(3)}$

type IIB : χ , $C_{\mu\nu}^{(2)}$, $C_{\mu\nu\rho\lambda}^{(4)}$ ← self-dual

p-form can couple with p-dim world volume which can be swept by D_{p-1} branes. So Dp brane can couple with $C^{(p+1)}$ and the gauge symmetry on $C^{(p+1)}$ tells us Dp branes carry conserved R-R charge. Additionally, you can generalize magnetic charge in EM to R-R fields. So each R-R "electrical" charge corresponds to its magnetical dual magnetic charge.

$$d\tilde{C}^{(D-n-2)} = *dC^{(n)}$$

So $C^{(n)}$ can also magnetically couple with $D-n-3$ brane

electric magnetic

IIA $C_\mu^{(1)}$ D0 D6

$C_{\mu\nu\rho}^{(3)}$ D2 D4

IIB $C_{\mu\nu}^{(2)}$ D1 (0-string) D5

$C_{\mu\nu\rho\lambda}^{(4)}$ D3 D3

self-dual

so χ will not be considered

D-instanton



χ D-1 D7

give rise to a deficit angle in the geometry.

On these stable branes, STM theory lives on
 particularly, $N=4$, $D=4$ STM lives on D3 brane

D-branes as spacetime geometries

Consider e.g. a charged particle sitting at $\vec{r}=0$ of 4d spacetime, the Lagrangian,

$$\mathcal{L} = \frac{1}{16\pi G_4} R - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

EOM: $\partial_\mu F^{\mu\nu} = j^\nu$, $R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = 8\pi G_4 T_{\mu\nu}$

$$j^\nu = q \delta(\vec{r}) \delta^\nu_0, T^{\mu\nu} = T^{\mu\nu}_p + T^{\mu\nu}_{em}$$

where the particle Energy-Momentum tensor is $T_p^{\mu\nu} = m \delta(\vec{r}) \delta^\mu_0 \delta^\nu_0$
 other components are 0.

These formulas tell you how a charged particle deforms the spacetime background.

$$\Rightarrow A_0 = \frac{q}{4\pi r} \text{ (i.e. } \int_{S^2} *F = q), ds^2 = -\Delta dt^2 + \Delta^{-1} dr^2 + r^2 d\Omega^2$$

Where, $\Delta = 1 - \frac{2MG_4}{r} + \frac{q^2 G_4}{r^2}$, it's **Reissner-Nordström solution**

Similarly, for magnetic charged particle. $\int_{S^2} F = g$. Dirac

Quantization tells us $q \cdot g = 2\pi \mathbb{Z}$. The solution of Einstein Field Eq is RN solution again.

Now, generalize from this D0 example to Dp branes

e.g. D3 brane in type IIB, but we will consider the low energy EFT — type IIB Supergravity

$$16\pi G_N = (2\pi)^7 g_s^2 \alpha'^4$$

regime of validity:

① $g_s \ll 1$. quantum corrections for classical gravity is small

② energy scale $^2 < \frac{1}{\alpha'}$, Massive modes can be ignored

③ Curvature $< \frac{1}{\alpha'}$, string can be approximated by point particle

$$\alpha' \rightarrow 0, g_s \rightarrow 0$$

D3 branes carry both electric and magnetic charges.

$$Q_3 = \int_{S^5} * F^{(5)} \stackrel{\text{self-dual}}{=} \int_{S^5} F^{(5)} = Q_3$$

$$Q_3 Q_3 = 2\pi \mathbb{Z} \Rightarrow Q_3^2 = Q_3^2 = 2\pi \mathbb{Z}$$

For N D3-branes. $Q_1 = Q_3 = \sqrt{2\pi} N$ and the tension of D3 is

$$T_3 = \frac{N}{(2\pi)^3 g_s \alpha'^2} = \frac{Q_3}{\sqrt{16\pi G_N}}$$

mass-charge equivalence, so D3-brane considered here is BPS state, which is supersymmetric object.

Solve the EOMs as before:

$$ds_{D3}^2 = \underbrace{f(r) (-dt^2 + \sum_{i=1}^3 dx_i^2)}_{SO(1,3) \times \mathbb{R}^4} + \underbrace{h(r) (dr^2 + r^2 d\Omega_5^2)}_{SO(6)}$$

There is an event horizon at $r=0$

$$f(r) = \frac{1}{h(r)} = H^{-\frac{1}{2}}(r), \quad H(r) = 1 + \frac{R^4}{r^4}, \quad R^4 = N \cdot \frac{4}{2^2} G_N T_3 = 4\pi N g_s \alpha'$$

This spacetime is Asymptotically Flat. As $r \gg R$, $f \sim 1 + \mathcal{O}(\frac{R^4}{r^4})$ this is long-range Coulomb potential in $\mathbb{R}^6$. As $r \sim R$, deformation of spacetime metric from D3-branes become significant. D3-branes are located at $r=0$, near this origin:

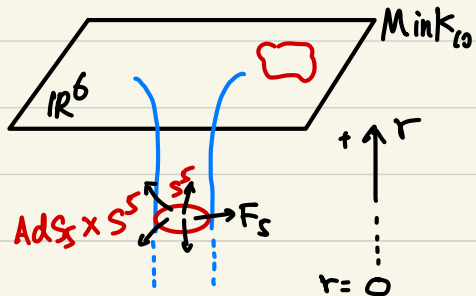
$$\begin{aligned} ds^2 &\approx \frac{r^2}{R^2} (dt^2 + \vec{x}^2) + \frac{R^2}{r^2} (dr^2 + r^2 d\Omega_5^2) \\ &= \underbrace{\frac{r^2}{R^2} (-dt^2 + \vec{x}^2)}_{AdS_5} + \underbrace{\frac{R^2}{r^2} dr^2 + R^2 d\Omega_5^2}_{S^5} \end{aligned}$$

Therefore we have two descriptions of D3-branes

(A) From Dirichlet B.C. of open strings, sourced by D3

(B) Spacetime metric ds^2_{D3} and F₅ Flux on S^5 . In

this description we don't need open string, only closed strings live on.



These two descriptions should be equivalent. In 1997, Maldacena considered a special limit of this equivalent the low energy limit and it's known nowadays as the AdS/CFT Duality.

↙ Energy scale

Low Energy Limit: Fix E , take $d' \rightarrow 0$ or vice versa
 Whatever you think the key is $E^2 d' \rightarrow 0$.

(A) open string $\Rightarrow N=4$ SYM $U(N)$ gauge symmetry
 $g_{YM}^2 = 4\pi g_s$ ↖ # of branes

closed string $\Rightarrow$ graviton, dilaton, ...

At low energy, open strings decouple from closed string even closed string themselves (gravity is so weak). So the effective theory is $N=4$ SYM and free gravitons

(B) In curved spacetime, "energy" depends on the coordinate time we use. E in (A) defined w.r.t. $\underline{t}$ i.e. time at $r = \infty$, AFS observer. At r : local proper time $d\tau = H^{-\frac{1}{4}} dt$

$$E_\tau = H^{\frac{1}{4}} E_t$$

For $r \gg R = H^{-1} \sim 1$, again only free graviton remain.

$$\text{For } r \ll R: H \sim \frac{R^{\frac{1}{4}}}{r^{\frac{1}{4}}}, \quad E^2 d' \rightarrow 0 \Rightarrow E_\tau^2 \frac{r^2}{R^2} d' \rightarrow 0$$

$$\Rightarrow E_\tau^2 r^2 (4\pi g_s N)^{-\frac{1}{2}} \rightarrow 0$$

This means, for any E_τ , the low energy limit means $r \rightarrow 0$. which means for small r (close to D3 branes) any energy scale (i.e. massive modes) are allowed.

In conclusion: This (B) description at low energy limit = free gravitons at $r = \infty$ + full string theory in $AdS_5 \times S^5$ at $r = 0$ (with flux)

These two sectors should be decoupled, but become coupled as E increases

$N \gg 1$ in SUGRA region.

$$N=4 \text{ SYM with } U(N) = \text{IIB string in } \text{AdS}_5 \times S^5$$

open string gauge vector boson spectrum live on the D3-brane volume (and ϕ^a massless scalar live on the transverse space) so SYM lives on $\mathbb{R}^{3,1}$ which is also the boundary of AdS_5

AdS/CFT duality

AdS_{d+1} space time is a solution of Einstein equation with negative cosmology constant, it has constant curvature

$$ds^2 = \frac{r^2}{R^2} (-dt^2 + d\vec{x}^2) + \frac{R^2}{r^2} dr^2 \quad \underline{\underline{z := R/r}} \quad \frac{R^2}{z^2} (-dt^2 + d\vec{x}^2 + dz^2)$$
$$R = -2(d+1) R^2, \quad R_{\mu\nu\rho\lambda} = -R^2 (g_{\mu\rho} g_{\nu\lambda} - g_{\mu\lambda} g_{\nu\rho})$$

Remark: we take low energy limit before to decouple some d.o.f. such as graviton, massive modes. But once we claim the AdS/CFT conjecture, these modes have been decoupled, so we don't need to keep low energy limit, i.e. $r \rightarrow 0$

But any one of these two coordinates only covers a part of AdS spacetime. The global AdS_{d+1} spacetime can be described as a hyperboloid in a flat Lorenz space-time of signature $(2, d)$:

$$ds^2 = -dX_{-1}^2 - dX_0^2 + d\vec{X}^2, \quad X_{-1}^2 + X_0^2 - \vec{X}^2 = R^2$$

(1) Poincaré coordinates

$$r = X_{-1} + X_0, \quad X^\mu = R \frac{X^\mu}{r}, \quad \text{corresponds to } r > 0 \text{ patch}$$

the metric agrees before.

(2) Global coordinate

$$X_0 = R \sqrt{1+r^2} \cos \tau, \quad X_{-1} = R \sqrt{1+r^2} \sin \tau, \quad r \in (0, \infty)$$

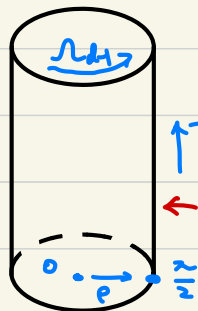
$$X_0^2 + X_{-1}^2 = R^2 (1+r^2), \quad \vec{X}^2 = R^2 r^2, \quad \tau \in (-\infty, +\infty)$$

$$ds^2 = R^2 \left[-(1+r^2) d\tau^2 + \frac{dr^2}{1+r^2} + r^2 d\Omega_{d-1}^2 \right]$$

Take $r = \tan \rho$, $\rho \in [0, \frac{\pi}{2})$

$$ds^2 = \frac{R^2}{\cos^2 \rho} (-d\tau^2 + d\rho^2 + \sin^2 \rho d\Omega_{d-1}^2)$$

AdS is conformal equivalent to cylinder.



$\rho = \frac{\pi}{2}$
← boundary $\mathbb{R} \times S^{d-1}$

Boundary of AdS_{d+1} is $\mathbb{R} \times S^{d-1}$ in global coordinate and $\mathbb{R}^{1, d-1}$ in Poincaré coordinate

Symmetries of AdS_{d+1}

isometry: $SO(2, d)$, translation is broken

Actually, this is $D = (d-1) + 1$ conformal symmetry. Consider its realization in Poincaré coordinate.

- Translation: $x^\mu \mapsto x^\mu + a^\mu$
- Rotation: $x^\mu \mapsto \Lambda^\mu_\nu x^\nu$
- scaling: $x^\mu \mapsto \lambda x^\mu, z \mapsto \lambda z$
- special transformation: $x^\mu \mapsto \frac{x^\mu + b^\mu A}{1 + 2b_\mu x^\mu + b^2 A}$

$$z \mapsto \frac{z}{1 + 2b_\mu x^\mu + b^2 A}, \text{ where } b_\mu \text{ is const, } A = z^2 + X^2$$

String theory in $AdS_5 \times S^5$.

$AdS_5 \times S^5$ is a homogeneous spacetime which has position independent curvature R . There are only two dimensionless

parameter in string theory: $\frac{\alpha'}{R^2} = g_s$, or equivalently

$$16\pi G_N = (2\pi)^7 g_s^2 \alpha'^4 \Rightarrow g_s \sim \frac{g_N}{R^3}$$

- classical gravity limit: $g_s \rightarrow 0, \frac{\alpha'}{R^2} \rightarrow 0 \Rightarrow \text{SUGRA}$
- classical string limit: $\frac{\alpha'}{R^2}$ fixed, $g_s \rightarrow 0$

S^5 is compact, so it is convenient to expand 10 dimensional fields in terms of harmonics on S^5 .

$$\Phi(x^\mu, z, \Omega_5) = \sum_{\ell} \phi_{\ell}(x^\mu, z) Y_{\ell}(\Omega_5)$$

fields in AdS_5

Gravity is essentially on 5D AdS_5 , do dimensional reduction on S^1 the graviton 0-mode on S^1 is Volume of S^1 , V_5 .

$$\frac{1}{16G_N} \int d^5x d^5\Omega \sqrt{-G_{10}} R_{10} = \frac{V_5}{16G_N} \int d^5x \sqrt{-G_5} R_5$$

$\Rightarrow$ effective 5d Newton Constant:

$$G_5 = \frac{G_N}{V_5} = \frac{G_N}{\pi^3 R^5}$$

$N=4$ SYM theory

Field content: A_μ , ϕ^i ($i=1, \dots, 6$), χ_a^A ($A=1, \dots, 4$)

Weyl fermions
↓

$$\mathcal{L} = -\frac{1}{g_{YM}^2} \text{Tr} \left(\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} (D_\mu \phi^i)^2 + [\phi^i, \phi^j] \right) + \text{fermions}$$

$U(N) = SU(N) \times U(1)$, As photon decoupling formulae in QFT, $U(1)$ part always decouples in $U(N)$, so we only need to focus on $SU(N)$ part.

The most important property of $N=4$ SYM is its β -function vanishes, which comes from g_{YM}^2 is dimensionless. This property tells us $N=4$ SYM is a CFT! The conformal group is exactly $SO(d, 2)$, it also has $SO(6)$ symmetry for rotating ϕ^i 's indices. Including SUSY, $SO(d, 2) \times SO(6)$ bosonic

Symmetries are lifted to super conformal symmetry
 $PSU(2,2|4)$

Now we can summarize :

$N=4$ SYM with $SU(N)$ on $\mathbb{R}^{1,3}$ = IIB string in $AdS_5 \times S^5$
(Poincaré patch)

LHS is 4d CFT, $\mathbb{R}^{1,3} = \partial AdS_5$

RHS is 5d gravity theory

so this relation (conjecture) can be considered as realization of Holographic Principle.

But, one more thing, in quantum gravity spacetime fluctuates, so what do we really mean by $AdS_5 \times S^5$.

Actually, we should interpret $AdS_5 \times S^5$ as specifying the asymptotic structure of the bulk spacetime.

In AdS/CFT conjecture we always consider semi-classical quantum gravity in the bulk (AdS_5)

Duality Toolbox

IR/UV connection.

Where does the extra dimension come from? As we see before, as we approach the center of D^3 brane, namely $r \rightarrow 0$, we get the low energy limit of boundary theory.

In other words, the extra dimension r can be considered as representing the energy scale of the boundary theory

Let us look more closely by using AdS metric:

$$ds^2 = \frac{R^2}{z^2} (-dt^2 + dx^2 + dz^2)$$

local proper time and proper length:

$$d\tau = \frac{R}{z} dt, \quad dl = \frac{R}{z} dx$$

This tells you:

$$E \sim \frac{1}{r}$$

$$E_{YM} = \frac{R}{z} E_{loc}, \quad d_{YM} = \frac{z}{R} d_{loc}$$

For the bulk process at different z , E_{loc} , d_{loc} remain the same but for viewer on boundary:

$$E_{YM} \propto \frac{1}{z}, \quad d_{YM} \propto z$$

In particular, as $z \rightarrow 0$, $E_{YM} \rightarrow \infty$, $d_{YM} \rightarrow 0$.

$$z \rightarrow \infty, \quad E_{YM} \rightarrow 0, \quad d_{YM} \rightarrow \infty$$

AdS

IR $z=0$

∂ AdS

$N=4$ YM

UV

IR/UV connection.

E_{YM}

Renormalization Group Flow

UV $z=\infty$

IR

"Put IR cutoff in AdS at $z=\Sigma$ " equals to "in the boundary introduce a UV cutoff at $\Delta x \sim \Sigma$, $E_{YM} \sim \frac{1}{\Sigma}$ ".

Remark: Holography in global AdS patch.

We described AdS/CFT correspond in Poincaré patch where $\partial \text{AdS}_5 = \mathbb{R}^{3,1}$. We can also do this in the global patch, the only changed thing is $\partial \text{AdS}_5 = S^3 \times \mathbb{R}$. This is a non-trivial prediction from holographic principle conjecture, because in D3 brane (open string view) we can only tackle $\mathbb{R}^{3,1}$, but now we argue we can construct the same duality for $S^3 \times \mathbb{R}$ topology. There are some clear differences between these two patches

Poincaré patch

$$r \rightarrow 0 \Rightarrow g_{tt} \rightarrow 0$$

$$E_{YM} \rightarrow 0$$

Spectrum is continuous

property of CFT on non-compact mfd

global patch

$$r \rightarrow 0 \Rightarrow g_{tt} \rightarrow 1$$

$$E_{YM} \rightarrow \frac{1}{L_s} \quad \text{radius of } S^3$$

Has a lowest non-vanish energy

property of CFT on compact mfd

Matching of Symmetries.

$N=4$ SYM

Spacetime: Conformal $SO(4,2)$

Global: $SO(6)$ from $\{\phi_i\}$

SUSY: $\{Q_a^A\}$ 4 Weyl spinor in 4d. And Conformal

symmetry give you another 4

$\Rightarrow 2^2 \times (4+4) = 32$ real components

IIB string in $AdS_5 \times S^5$

isometry of AdS^5 : $so(4,2)$

isometry of S^5 : $SO(6)$

one Weyl spinor in 10d

$2^5 = 32$ real components

(but local in SUGRA)

In some extend, isometry of $AdS_5 \times S^5$, $SO(4,2) \times SO(6)$, is a subgroup of $Diff^+$ group which is a local symmetry so we match **global symmetry in CFT** and **local symmetry in quantum gravity on AdS**. But why only isometry, not other subgroup of $Diff^+$? Recall that in quantum gravity, geometry is fluctuating, so we can only consider $AdS_5 \times S^5$ as an asymptotic background

This subgroup $so(4,2) \times so(6)$ is the subgroup which leaves the asymptotic form of the metric invariant, called

Large Gauge Transformation (don't become identity at $r=\infty$) this transformation can be consider as "global part" of $Diff^+$.

The story is more general !

Symmetries in the Field side can be considered as global part (Large gauge symmetry) of the Local symmetries on the AdS side

CFT in $Mink_d$	$\longleftrightarrow$	AdS_{d+1} quantum Gravity.
Conformal $so(d,2)$		AdS isometry $SO(d,2)$
$U(1)$ global		$U(1)$ gauge symmetry
global SUSY		local SUSY.

Matching of parameters.

$$\begin{array}{lll}
 N=4 \text{ SYM} & & \text{IB on } AdS_5 \times S^5 \\
 g_{YM}^2 & = & 4\pi g_s \\
 \lambda \equiv g_{YM}^2 N & = & \frac{R^4}{\alpha'^2} \\
 SU(N) & \sim & N \text{ coincident D3 (flux charges)}
 \end{array}$$

Using $16\pi G_N = (2\alpha')^7 g_s^{-2} \alpha'^4$, we obtain:

$$\frac{\pi^4}{2N^2} = \frac{G_N}{R^8} \xrightarrow{\text{Dimension Reduction on } S^5} \frac{1}{G_5} = \frac{V_{S^5}}{G_N}, V_{S^5} = \pi^3 R^5 \quad \boxed{\frac{G_5}{R^3} = \frac{\pi}{2N^2}}$$

(semi-) Consider classical gravity limit. $G_N, \alpha' \rightarrow 0$

IIB in $AdS_5 \times S^5 \Rightarrow$ QFT in curve spacetime.

On the SYM side, this means:

$$N \rightarrow \infty$$

Large N Limit

$$\lambda \rightarrow \infty$$

Strong coupling Limit

strong coupling limit is described by classical GR!

YM expansion in $\frac{1}{N^2} \longleftrightarrow$ QG corrections expansion in G_N

YM expansion in $\frac{1}{\sqrt{\lambda}} \longleftrightarrow$ QG corrections expansion in $\frac{d'}{R^2}$

Next, let's consider classical string limit

$$N \rightarrow \infty$$

$$\longleftrightarrow \frac{G_N}{R^3} \rightarrow 0$$

$$\lambda \text{ fixed}$$

$$\longleftrightarrow \alpha' \text{ fixed}$$



t'Hooft Limit.

Again, we find Gauge/String duality.

Matching of the spectrum.

Boundary

Bulk

representation of Conformal Group $so(2,4)$

..... of isometric group

Conformal local operators $\mathcal{O}$

bulk fields

Scalar operators J_μ

vector fields A_μ

tensor operators $T_{\mu\nu}$

Tensor fields $T_{\mu\nu}$

If there are other symmetries, quantum numbers and representations under them, they should also match.

Actually, we can find this dictionary exactly for $AdS_5 \times S^5$ case

$N=4$ SYM

IB in $AdS_5 \times S^5$

$\mathcal{L}_{SYM}$ (regard as an operator) dilaton ϕ

SO(6) current J_μ^a SO(6) gauge field reduced from S^5 A_μ^a

energy momentum tensor $T_{\mu\nu}$ Metric perturbations: $h_{\mu\nu}$

Consider deformation of the original boundary CFT

$$\mathcal{L} \rightarrow \mathcal{L} + \int d^d x \phi_0(x) \mathcal{O}(x)$$

$\mathcal{O}(x)$ is a local field in CFT and we call the $\phi_0(x)$ as source of $\mathcal{O}(x)$. The simplest case is $\phi_0(x) \sim \text{const}$ than on the boundary, only coupling of $\mathcal{O}(x)$ is changed.

What does this deformation mean in the bulk?

In a heuristic way, consider

$$\Delta \mathcal{L} = \int d^d x \Delta g \mathcal{L}_{SYM}$$

$\mathcal{L} \mapsto \mathcal{L} + \Delta \mathcal{L}$ equals to $g_{YM}^2 \mapsto g_{YM}^2$, we know that $g_{YM}^2 \leftrightarrow g_s = e^{\langle \Phi \rangle}$, $\langle \Phi \rangle = \Phi|_{AdS}$

where we assume there is no fluctuation of Φ approaching ∂AdS . We also know $\Phi \leftrightarrow \mathcal{L}_{SYM}$, g_{YM}^2 is the source of $\mathcal{L}_{SYM}$ and corresponding to $\langle \Phi \rangle = \Phi|_{AdS}$, so in the bulk. what we see is the change of $\Phi|_{AdS}$ as we deforming $\mathcal{L}_{SYM}$

$\int d^d x \phi_0(x) \mathcal{O}(x)$ in boundary theory

$\iff$ bulk field $\phi(x)$ dual to $\mathcal{O}(x)$ with boundary value $\phi_0(x)$

up to some renormalization factor. This relation is powerful

$$\int d^d x a_\mu(x) J^\mu(x) \longleftrightarrow A_\mu(x, z)|_{z=0} = a_\mu(x)$$

Since $J^\mu(x)$ is conserved current, so this relation is invariant under $a_\mu \mapsto a_\mu + \partial_\mu \lambda \Rightarrow$ dynamics of $A_\mu(x, z)$ should also be invariant. This tells you $a_\mu \mapsto a_\mu + \partial_\mu \lambda$ must be a subset of some gauge symmetries in bulk.

$A_\mu \sim A_\mu + \partial_\mu \tilde{\lambda}$, A_μ is a gauge field in bulk as we said before

$$\int d^d x h_{\mu\nu}(x) T^{\mu\nu}(x) \longleftrightarrow \text{deform boundary spacetime}$$

$$ds^2 = \frac{R^2}{z^2} (-dt^2 + dx^2 + dz^2) = \frac{R^2}{z^2} dz^2 + g_{\mu\nu} dx^\mu dx^\nu$$

$$\text{where } g_{\mu\nu}(z, x^\mu)|_{z \rightarrow 0} = \frac{R^2}{z^2} \eta_{\mu\nu}$$

So we expect after the deformation: renormalisation factor.

$$g_{\mu\nu}(z, x^\mu)|_{z \rightarrow 0} = \frac{R^2}{z^2} (\eta_{\mu\nu} + h_{\mu\nu})$$

If the boundary theory has $T^{\mu\nu} \neq 0$, then the bulk theory must involve gravity. Because $T^{\mu\nu}$ encodes the fluctuation of the bulk spacetime.

Remark: In partition function view $\mathcal{Z} \mapsto \mathcal{Z} + \int d^d x \phi(x) \mathcal{O}(x)$ equals the partition function now depends on $\phi(x)$:

$$\mathcal{Z}_{\text{CFT}}[\phi(x)] = \langle e^{\int d^d x \phi(x) \mathcal{O}(x)} \rangle_{\mathcal{E}}$$

Mass - dimension relation

On gravity side

$$S = \frac{1}{2k^2} \int d^{d+1}x \sqrt{-g} [R - 2\Lambda + 2\mathcal{L}_{\text{matter}}]$$

come from $\frac{1}{2k^2}$

Where $2k^2 = 16\pi G_{d+1}$, consider scalar field

$$\mathcal{L}_{\text{matter}} = -\frac{1}{2}(\partial\Phi)^2 - \frac{1}{2}m^2\Phi^2 + \text{nonlinear term}$$

Consider small perturbations around AdS, convenient to canonically normalize the kinetic term of small perturbations:

$$\Phi \mapsto K\Phi, \quad g_{\mu\nu} \mapsto g_{\mu\nu}^{(0)} + K h_{\mu\nu}$$

AdS metric

Recall that $k^2 \sim G_N \sim \frac{1}{N^2}$, so in the large N limit, Φ and $h_{\mu\nu} \sim \mathcal{O}(1)$, then their perturbations are small.

$\Rightarrow$ nonlinear terms are $\mathcal{O}(K)$ or higher, such as cubic term

$$\frac{1}{k^2} \Phi^3 \mapsto \frac{1}{k^2} (K\Phi)^3 \sim K\Phi^3 \sim \mathcal{O}\left(\frac{1}{N}\right)$$

This means in leading order, we only need to consider free theory in AdS.

Remark: why $N \rightarrow \infty$? Because we want to use $\mathcal{RFT}$ on the AdS side, not type IIB string theory

By our normalization, scalar field's action under small perturbation of AdS is:

$$S = -\frac{1}{2} \int d^{d+1}x \sqrt{-g} (g^{\mu\nu} \partial_\mu \Phi \partial_\nu \Phi + m^2 \Phi^2) + \mathcal{O}(k)$$

where, $g^{\mu\nu}$ is the AdS_{d+1} metric. Now you can quantize this theory by techniques of Curve spacetime QFT.

$$\text{E.O.M.: } \frac{1}{\sqrt{-g}} \partial_\mu (\sqrt{-g} g^{\mu\nu} \partial_\nu \Phi) - m^2 \Phi = 0$$

$x^\mu = (x^\mu, z)$, for the translation symmetries in x^μ

$$\Phi(z, x^\mu) = \int \frac{d^d k}{(2\pi)^d} e^{ik \cdot x} \tilde{\Phi}(z, k), \quad k \cdot x := \eta_{\mu\nu} k^\mu x^\nu$$

$$\Rightarrow \text{E.O.M.: } z^{d+1} \partial_z (z^{1-d} \partial_z \Phi) - k^2 z^2 \Phi - m^2 R^2 \Phi = 0$$

This equation can be solved exactly, and then you can do canonical quantization as we do in flat spacetime QFT. Consider behavior of Φ as $z \rightarrow 0$ (near the boundary)

$$\Rightarrow z^2 \partial_z^2 \Phi + (1-d) z \partial_z \Phi - m^2 R^2 \Phi = 0$$

By the ansatz $\Phi \sim z^\Delta$:

$$\Delta(\Delta-1) + (1-d)\Delta - m^2 R^2 = 0$$

$$\Rightarrow \Delta = \frac{d}{2} \pm \sqrt{\frac{d^2}{4} + m^2 R^2} := \frac{d}{2} \pm \nu$$

now we define: $\Delta_+ := \frac{d}{2} + \nu$, $\Delta_- := \frac{d}{2} - \nu = d - \Delta_+$

As $z \rightarrow 0$:

$$\Phi(k, z) \sim A(k) z^{d-\Delta_+} + B(k) z^{\Delta_-}$$

$$\text{FT} \rightarrow \Phi(x, z) \sim A(x) z^{d-\Delta_+} + B(x) z^{\Delta_-}$$

The exponents are real if $m^2 R^2 \geq -\frac{d^2}{4}$. In flat
called BF bound $\uparrow$

Space time we often argue negative m^2 means instability such as tachyon. But in AdS, one can show as far as $m^2 R^2 \geq -\frac{1}{4}d$, even though $m^2 < 0$, the theory is well-defined. To do canonical quantization, we need to expand Φ in terms of a complete set of normalizable modes. The normalizability is defined by finiteness of the following Klein-Gordon inner product:

$$(\Phi_1, \Phi_2) = -i \int_{\Sigma} dz d\vec{x} \sqrt{-\gamma} n^{\mu} (\Phi_1^* \partial_{\mu} \Phi_2 - \Phi_2 \partial_{\mu} \Phi_1^*)$$

Where Σ is the Cauchy hypersurface with induced metric γ_{ij} and unit normal vector n^{μ} . This inner product is independent of choice of Σ if flux leaking through boundary is zero. ↑ roughly speaking, independent on time.

$$\sqrt{\gamma_z} n_z^{\mu} (\Phi_1^* \partial_{\mu} \Phi_2 - \Phi_2 \partial_{\mu} \Phi_1^*) \rightarrow 0$$

As $z \rightarrow 0$, $n_z^{\mu} \partial_{\mu} = z \partial_z$, γ_z is induced boundary metric. Actually, this boundary condition is also important for a well-defined notion of energy in AdS. because it implies the energy conservation in AdS.

Generally, we can expand a scalar field Φ as:

$$\Phi(x, z) = \sum_n p_n(x, z) a_n + \phi_n^*(x, z) a_n^{\dagger}$$

But there are many different vacuas in curved spacetime so $(a_n, a_n^\dagger)$ are not uniquely. We can do Bogoliubov transformations to get a new set of annihilation-creation operators that corresponds to different vacua.

For $0 \in \mathbb{R}$, $\Delta \geq \frac{d}{2}$:

z^Δ mode: always normalizable

$z^{d-\Delta}$ mode: $\begin{cases} \text{normalizable, } 0 \leq \nu < 1 \\ \text{non-normalizable, } \nu \geq 1 \end{cases}$

From now on, we will use "normalizable" to a specific quantization, such as when $0 < \nu < 1$, we can choose $B=0$ to quantize the theory w.r.t. choose $\{z^{d-\Delta}\}$ as the complete set. At this time, we will think z^Δ non-renormalizable, even though it's always normalizable mathematically. We will talk about "standard quantization", $A=0$.

Normalizable modes are used to build up Hilbert space in the bulk, and in AdS/CFT correspondence, they have their boundary counterparts

But non-normalizable modes are not part of Hilbert space. They can only be considered as **background**. They

determine the boundary theory itself. Remember $\Delta \geq \frac{d}{2}$ so z^Δ is faster than $z^{d/2}$ to be zero. So the leading term near the boundary is $A(x) z^{d-\Delta}$ so $A(x)$ is the boundary value of the scalar field, and it will modify the boundary action by $\int d^d x A(x) \mathcal{O}(x)$:

$$\int d^d x \phi_0(x) \mathcal{O}(x) \iff \phi_0(x) = \lim_{z \rightarrow 0} z^{\Delta-d} \Phi(z, x)$$

Recall that in standard quantization, the non-renormalizable term $A(x) z^{d-\Delta}$ is zero. So if non-renormalizable modes present, they are boundary backgrounds, which means modifying the boundary action by source $A(x)$.

This relation implies that Δ is the scaling dimensions of $\mathcal{O}(x)$. Because under $x^\mu \mapsto \lambda x^\mu$, $z \mapsto \lambda z$, $\mathcal{O}(x)$ and $\phi_0(x)$ will becomes:

$$\begin{aligned} \phi'_0(x') &= \lim_{z \rightarrow 0} z^{\Delta-d} \Phi(z', x') = \lim_{z \rightarrow 0} z^{\Delta-d} \Phi(z, x) \cdot \lambda^{\Delta-d} \\ &= \lambda^{\Delta-d} \phi_0(x) \end{aligned}$$

$$\begin{aligned} \int d^d x' \phi'_0(x') \mathcal{O}'(x') &= \int d^d x \phi_0(x) \mathcal{O}'(x') \cdot \lambda^d \\ &= \int d^d x \phi_0(x) \mathcal{O}(x) \Rightarrow \mathcal{O}'(x') = \lambda^{-\Delta} \mathcal{O}(x) \end{aligned}$$

Remark: You can also chose "alternative quantization" chose B.C. even "mixed quantization".

$$\Delta = \frac{d}{2} + \sqrt{\frac{d^2}{4} + m^2 R^2}$$

① $m=0$, $\Delta = d$, marginal operator

② $m^2 < 0$, $\Delta < d$, relevant operator

③ $m^2 > 0$, $\Delta > d$, irrelevant operator

IR $\rightarrow$ UV in boundary means $z \rightarrow 0$ in bulk.

$$\Phi(z, x) \sim \phi(x) z^{d-\Delta} + \dots$$

if $\Delta < d$, as $z \rightarrow 0$ the leading term is more and more important in bulk theory. so it is relevant operator.

Summarize:

$$\Phi(z, x) \sim A(x) z^{d-\Delta} + B(x) z^{\Delta} + \dots$$

$$\Delta = \frac{d}{2} + U, \quad U = \sqrt{\frac{d^2}{4} + m^2 R^2}$$

$$\Phi(x, z) \longleftrightarrow \mathcal{O}(x)$$

normalizable modes $\longleftrightarrow$ states

non-normalizable modes $\longleftrightarrow$ action

Such as in standard Quantization:

$$A(x) \longleftrightarrow \int d^d x \phi_0(x) \mathcal{O}(x), \quad A(x) = \phi_0(x)$$

$$B(x) \longleftrightarrow \langle \mathcal{O}(x) \rangle$$

$$m \longleftrightarrow \Delta$$

In alternative quantization:

$$\beta(x) \longleftrightarrow \int d^d x \phi_0(x) \mathcal{O}(x) \quad , \quad \phi_0(x) = \beta(x)$$

$$A(x) \longleftrightarrow \langle \mathcal{O}(x) \rangle$$

$$m \longleftrightarrow d - \Delta$$

This procedure can be also done for vector field and tensor field. The scaling dimension of (massive) vector field is

$$\Delta_v = \frac{d}{2} + \sqrt{\frac{(d-2)^2}{4} + m^2 R^2} \quad , \quad A_\mu \xrightarrow{z \rightarrow 0} \overset{\text{source term}}{\downarrow} a_\mu + b_\mu z^{d-2}$$

and the scaling dimension of tensor field is

$$\Delta_t = d \quad , \quad h_{\mu\nu} \xrightarrow{z \rightarrow 0} \frac{1}{z^2} (\eta_{\mu\nu} + \delta g_{\mu\nu}) \quad \leftarrow \text{source term}$$

Euclidean correlation functions.

Generating Function:

$$Z_{\text{CFT}}[\phi(x)] = \langle e^{\int d^d x \phi \mathcal{O}} \rangle_E$$

If AdS/CFT correspondence is right we expect:

$$Z_{\text{CFT}}[\phi(x)] = Z_{\text{grav}}[\Phi|_{\partial\text{AdS}} = \phi]$$

Because $\mathcal{O}(x) \longleftrightarrow \phi(x)$, $\phi(x) \longleftrightarrow \Phi|_{\partial\text{AdS}}$.

this is an informal, heuristic form, should understand

$$\text{as } \phi(x) = \lim_{z \rightarrow 0} \Phi(x, z) z^{\Delta-d}$$

We don't know how to calculate the RHS, but we know how to do this in semi-classical limit region. ($g_s \rightarrow 0, \alpha' \rightarrow 0$). Recall this limit is strong coupling limit in SYM ($\lambda \rightarrow \infty, N \rightarrow \infty$).

$$Z_{\text{grav}} [\Phi |_{\partial \text{AdS}} = \phi(x)] = \int_{\Phi |_{\partial \text{AdS}} = \phi(x)} \mathcal{D}\Phi e^{S_E[\Phi]}$$

non-normalizable mode

Φ_c is the classical solution of E.o.M. with the B.C. $\Phi_c \xrightarrow{z \rightarrow 0} z^\delta \phi(x)$ (more precisely form of $\Phi |_{\partial \text{AdS}} = \phi(x)$) By AdS/CFT Correspondence we know the leading term of Z_{CFT} as $N \rightarrow \infty, \lambda \rightarrow \infty$ is

$$\log Z_{\text{CFT}}[\phi] \xrightarrow[\lambda \rightarrow \infty]{N \rightarrow \infty} S_E[\Phi_c], \quad \Phi_c \mapsto z^\delta \phi(x)$$

So we can use AdS/CFT Correspondence to calculate strongly coupling SYM theory.

$\phi(x)$ is the non-normalizable mode on AdS side, so it should also be considered as infinitesimal on CFT side.

$Z_{\text{CFT}} = Z_{\text{grav}}$, but they are both divergent:

LHS: UV divergence

IR/UV connection

RHS: $z \rightarrow 0, g_{\mu\nu} \rightarrow \infty$, "Volume" divergence near ∂AdS

So we need to renormalize them by add counter term

$$S_E^{(R)}[\Phi_c] = S_E[\Phi_c] \big|_{Z=Z_c} + S_{ct}[\Phi_c(Z)]$$

must be local functional of Z_c

After this regularization we can calculate the n-point correlation functions:

$$\begin{aligned} \langle O_1(x_1) \dots O_n(x_n) \rangle_c &= \frac{\delta^n \log Z_{CT}^{(R)}}{\delta \phi_1(x_1) \dots \delta \phi_n(x_n)} \\ &= \frac{\delta^n S_E^{(R)}[\Phi_c]}{\delta \phi_1(x_1) \dots \delta \phi_n(x_n)} \bigg|_{\psi=0} \end{aligned}$$

Recall Z_{CT} is the generate function for connected and non-connected Feynman Diagram. But $Z_{CT} = e^W$, W is the generate function only for connected ones which are our need for on-shell reasons.

Consider 1-point function with source:

$$\langle O(x) \rangle_\phi = \frac{\delta S_E^{(R)}[\Phi_c]}{\delta \phi(x)} = \lim_{Z \rightarrow 0} Z^{d-1} \frac{\delta S_E^{(R)}[\Phi_c]}{\delta \Phi_c(Z, x)}$$

H-J eq. $\lim_{Z \rightarrow 0} Z^{d-1} \Pi_c(Z, x)$

Π_c is the canonical momentum conjugate to Φ_c treating Z as "time"

$$\Phi(x, z) \xrightarrow{z \rightarrow 0} A(x) z^{d-\Delta} + B(x) z^{\Delta}, \quad A(x) \leftrightarrow \phi(x)$$

$$\Rightarrow \langle \mathcal{O}(x) \rangle_{\phi} = 2\nu B(x)$$

Now we will prove it for scalar field.

$$S_E = -\frac{1}{2} \int d^{d+1}x \sqrt{g} (g^{MN} \partial_M \Phi \partial_N \Phi + m^2 \Phi^2)$$

$$\Rightarrow \Pi = -\sqrt{g} g^{z\bar{z}} \partial_{\bar{z}} \Phi$$

$$\Rightarrow \text{E.o.M.} \quad -\partial_M (\sqrt{g} g^{MN} \partial_N \Phi) + m^2 \Phi = 0$$

$$\Phi(x, z) \sim \Phi(k, z) e^{ik \cdot x} \Rightarrow z^{d+1} \partial_z (z^{1-d} \partial_z \Phi) - k^2 z^2 \Phi = m^2 z^2 \Phi$$

Do IBP for S_E :

$$S_E[\Phi_c] = -\frac{1}{2} \int d^{d+1}x \Phi_c [m^2 \Phi_c - \partial_M (\sqrt{g} g^{MN} \partial_N \Phi_c)] \\ - \frac{1}{2} \int_0^{+\infty} dz d^d x \partial_M [\sqrt{g} g^{MN} \Phi_c \partial_N \Phi_c]$$

Φ_c is on-shell so the first term vanishes:

$$S_E[\Phi_c] = \frac{1}{2} \int d^d x \pi_c \Phi_c \Big|_0^{+\infty} = \frac{1}{2} \int \frac{d^d k}{(2\pi)^d} \Phi_c(k, z) \pi_c(-k, z) \Big|_0^{+\infty}$$

Where we used the fact there is only boundary along z .

$$\underline{z \rightarrow 0} : \Phi_c \rightarrow A(x) z^{d-\Delta} + B(x) z^{\Delta} + \dots$$

$$\pi_c \propto \partial_z \Phi_c \propto -A(d-\Delta) z^{-\Delta} - \Delta B z^{\Delta-1} + \dots$$

$$\Rightarrow \Phi_c \pi_c \propto z^{d-2\Delta} + \dots \rightarrow \infty \quad (\text{for } \nu > 0)$$

So $S_E[\Phi_c]$ is divergent. We need to add a counter term as we said before:

$$S_{ct}[\Phi_c(z)] = \frac{1}{2} \int \frac{d^d k}{(2\pi)^d} f(k^2) \Phi_c(k, z) \Phi_c(-k, z)$$

this is the general ansatz we can write satisfying locality on Φ_c . Consider basis of solutions Φ_1, Φ_2
 $\Phi_1 \rightarrow z^{d-1}, \Phi_2 \rightarrow z^0$

and their corrections in momentum space:

$$\Phi_1(z, k) = z^{d-1} (1 + a_1 k^2 z^2 + \mathcal{O}(k^4 z^4))$$

$$\Phi_2(z, k) = z^0 (1 + b_1 k^2 z^2 + \mathcal{O}(k^4 z^4))$$

Only k^{2n} terms for $k \leftrightarrow -k$ symmetry. Similarly

$$\pi_1 \rightarrow -(d-1) z^{-1}, \pi_2 \rightarrow -\Delta z^{d-1}$$

Now expand π_c and Φ_c by these basis:

$$\Phi_c = A(k) \Phi_1 + B(k) \Phi_2$$

$$\pi_c = A(k) \pi_1 + B(k) \pi_2$$

$$\Rightarrow S_E[\Phi_c] \Big|_{z=\varepsilon} = -\frac{1}{2} \int \frac{d^d k}{(2\pi)^d} [A^2 \Phi_1 \pi_1 + B^2 \pi_2 \Phi_2 + AB(\pi_1 \Phi_2 + \Phi_1 \pi_2)]$$

the first term divergents as $z \rightarrow 0$, but we can't counter it directly, because it's not covariant. But we can choose:

$$S_{ct} = \frac{1}{2} \int_{z=\varepsilon} \frac{d^d k}{(2\pi)^d} \frac{\pi_1}{\Phi_1} \Phi^2$$

$$= \frac{1}{2} \int_{z=\varepsilon} \frac{d^d k}{(2\pi)^d} (A^2 \Phi_1 \pi_1 + 2AB \pi_1 \Phi_2 + B^2 \frac{\pi_1}{\Phi_1} \Phi_2^2)$$

$$A^2 := A(k) A(-k)$$

only Φ^2 is
covariant not Φ_1^2

Add S_E and S_{ct} together, and we should require the regularity of Φ in the bulk, so Φ must be finite when $z \rightarrow \infty$, so is $\partial_z \Phi$. However, we know $\Pi = -\sqrt{g} g^{zz} \partial_z \Phi \sim z^{1-d} \partial_z \Phi \rightarrow 0$ when $z \rightarrow \infty$. Then the term in infinity vanishes, so only $z \rightarrow 0$ contributes to the boundary term. Finally, we obtain:

$$S_E^{(2)}[\Phi_c] = \frac{1}{2} \int_{z=\epsilon} \frac{d^d k}{(2\pi)^d} 2\nu A(-k) B(k)$$

Impose the boundary condition: $A(k) = \phi(k)$ we can solve the E.O.M. of Φ_c , for specific k , introduce $r = kz$, the equation equals to:

$$r^{d+1} \frac{d}{dr} \left(r^{1-d} \frac{d}{dr} \Phi(r) \right) - (r^2 + m^2 R^2) \Phi = 0$$

so $\Phi(k, z)$ depends on the combination $k \cdot z$. This is Bessel equation, the solution is:

$$\Phi \propto z^{d/2} K_\nu(kz)$$

As $z \rightarrow 0$

$$K_\nu(kz) = \frac{\Gamma(\nu)}{2} \left(\frac{kz}{2} \right)^{-\nu} + \frac{\Gamma(-\nu)}{2} \left(\frac{kz}{2} \right)^{\nu} + \dots$$

so $\chi(k) := \frac{B}{A} = \frac{\Gamma(-\nu)}{\Gamma(\nu)} \left(\frac{k}{2} \right)^{2\nu}$ is independent on $\phi(k)$

which implies:

$$S_E^{(2)}[\Phi_c] = \frac{1}{2} \int \frac{d^d k}{(2\pi)^d} 2\nu \chi(k) \phi(k) \phi(-k)$$

$$\Rightarrow \langle \mathcal{O}(k) \rangle_\phi = 2\nu \chi(k) \phi(k) = 2\nu \frac{B}{A} \cdot A = 2\nu B(k)$$

Now we entirely know the asymptotic behavior of Φ_c near the boundary. (in standard quantization)

★ $\Phi_c(x, z) \sim \underbrace{\phi(x)}_{\text{source} \uparrow} z^{d-\Delta} + \frac{\langle \mathcal{O}(x) \rangle_\phi}{2\nu} z^\Delta + \dots$
↖ operator

And also the two point function (Green function)

$$G_E(k) := \langle \mathcal{O}(k) \mathcal{O}(-k) \rangle_{p_{20}} = 2\nu \chi(k) = \frac{\langle \mathcal{O}(x) \rangle_\phi}{\phi(k)}$$

this is exactly the **Linear response theory** tell us

Fourier transform to the coordinate space:

$$G_E(x) \propto \frac{1}{|x|^{2\Delta}}$$

which is exactly 2-point CFT correlation function, Δ is the conformal dimension.

Higher point functions.

Because $S_E^{(2)} \sim \phi^2$, you may expect $\langle \mathcal{O}^{n \geq 3} \rangle = 0$. This is obviously not correct, because we naively neglect $\mathcal{O}(\phi^3)$ in $S_E[\Phi_c]$. For $\langle \mathcal{O}^{n \geq 2} \rangle$ it's rational, but

$\langle \partial^{\mu} z^{\mu} \rangle \sim \partial(k)$ is infinitesimal, so we need add higher order correction in $\mathcal{L}_{\text{matter}}$. For example:

$$S = - \int d^4x \sqrt{g} \left[\frac{1}{2} (\partial \Phi)^2 + \frac{1}{2} m^2 \Phi^2 + \frac{\lambda}{3} \Phi^3 \right]$$

where $\lambda \sim \kappa \sim \mathcal{O}(\frac{1}{N})$, E.O.M. becomes:

$$\square \Phi - m^2 \Phi - \lambda \Phi^2 = 0$$

with b.c. $\lim_{z \rightarrow 0} z^{\Delta-d} \Phi(x, z) = \phi(x)$.

One can solve this equation perturbatively in $\phi(x)$

$$\Phi_c = \Phi_1 + \Phi_2 + \dots$$

$$\Rightarrow S[\Phi_c] = S_2[\phi] + S_3[\phi] + \dots$$

for 1-pt function

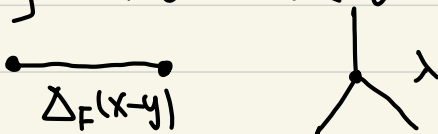
$$\langle \partial \rangle_{\phi=0} = 0$$

$$\langle \partial \rangle_{\phi} \sim \phi(x) + \dots$$

$S_n[\phi]$ gives you the n -pt functions. But we can

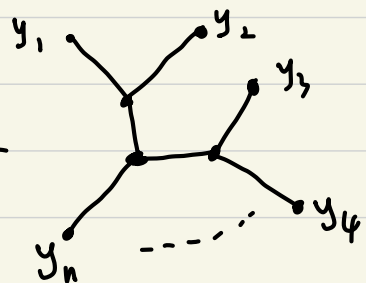
use Feynman diagrams to simplify the calculation

Recall in flat spacetime ϕ^3 -theory. we treat $\lambda \phi^3$ as perturbative term and contribute a 3-vertex, and the free theory will contribute a free propagator:



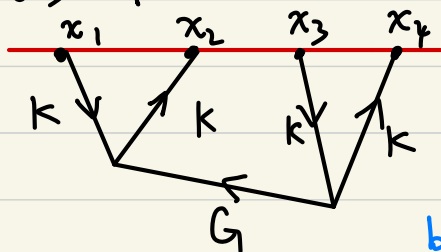
In flat spacetime, because the translation symmetry we always use the Feynman rule in momentum space. Now we need to use the Feynman rule in coordinate

Space

$$\langle \Phi_1(y_1) \dots \Phi_n(y_n) \rangle =$$


$$+ \dots$$

Now back to AdS, one major difference is that the sources $\phi(x)$ lie at the boundary:



There are three different types of propagators in AdS

bulk-to-bulk G

boundary-to-bulk, bulk-to-boundary k

- Bulk-to-bulk propagator.

$$(\Box^2 - m^2) G(z, x; z', x') = \frac{1}{\sqrt{g}} \delta(z - z') \delta^{(d)}(x - x')$$

which is the counterpart of standard flat space prop. And G should also satisfy the B.C.:

$$G(z, x; z', x') \sim z^\Delta \quad \text{as } z \rightarrow 0$$

$$G(z, x; z', x') \sim z'^\Delta \quad \text{as } z' \rightarrow 0$$

$$G(z, x; z', x') \sim \text{regular} \quad \text{as } z, z' \rightarrow \infty$$

- Bulk-to-boundary (or bulk-to-boundary) propagator:

$$(\square - m^2) K(z, x; x') = 0$$

and the B.C.

$$K(z, x; x') \sim z^{d-\sigma} \delta^{(d)}(x-x') \text{ as } z \rightarrow 0$$

because then

$$\Phi(x, z) = \int d^d x' K(z, x; x') \phi(x') \xrightarrow{z \rightarrow 0} z^{d-\sigma} \phi(x)$$

will have right B.C. The Feynman rule is we calculate the bulk correlation function but all the sources on the boundary. And we need to distinguish K and G

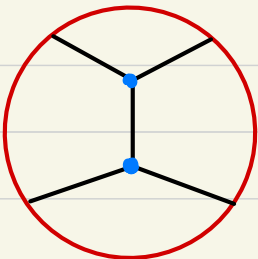
$$\langle \mathcal{O}(x_1) \dots \mathcal{O}(x_n) \rangle = \langle \Phi_1(x_1) \dots \Phi_n(x_n) \rangle$$

By Feynman diagrams, actually we can capture information beyond semi-classical saddle point by loop diagrams:

$$Z_{\text{CFT}} = \int_{\Phi|_{\text{AdS}=\phi}} \mathcal{D}\Phi e^{S_E[\Phi]} = e^{S_E[\Phi]} \int \mathcal{D}\delta e^{S_E[\Phi+\delta] - S_E[\Phi]}$$

expand around saddle-point tree-level loop-level

You maybe see in other
like this:



the boundary has S^n topology, not $\mathbb{R}^n$.

Because one can show in EAdS

$z \rightarrow \infty$ corresponds to a single boundary point. $\{z=0\} \cup \{z=\infty\} \simeq S^n$.

Feynman diagrams

So, actually, in EAdS/CFT, CFT on the boundary is defined on S^4 not $\mathbb{R}^4$.

The explicit form of K and G for massive scalar field

$$G(z, x; z', x') = G_0 \eta^\Delta F_1\left(\frac{\Delta}{2}, \frac{x+1}{2}; \frac{\Delta}{2} + 1, \frac{1}{\eta^2}\right)$$

$$\eta := \frac{z^2 + z'^2 + (x-x')^2}{2z z'}, \quad G_0 := \frac{2^{-\Delta} \Gamma(\Delta)}{(2\Delta - d) \pi^{d/2} \Gamma(\Delta - d/2)}$$

and you can find expressions of K^Δ in [hep-th/9804058](#)

$$K_\Delta(z_0, \vec{x}; \vec{x}') = \frac{\Gamma(\Delta)}{\pi^{d/2} \Gamma(\Delta - \frac{d}{2})} \left(\frac{z_0}{z_0^2 + |\vec{x} - \vec{x}'|^2} \right)$$

there is a relation between K and G :

$$\lim_{z' \rightarrow 0} G_B(z, x; z', x') = \frac{z'^\Delta}{2\Delta} K(z, x; x')$$

This relation will also cause:

$$\langle \mathcal{O}_1(x_1) \dots \mathcal{O}_n(x_n) \rangle = \lim_{\{z_i \rightarrow 0\}} \prod_{i=1}^n (2\Delta_i z_i^{-\Delta_i}) \times \langle \phi_1(z_1, x_1) \dots \phi_n(z_n, x_n) \rangle$$

This formula doesn't surprise us too much, because it's natural to expect:

$$\langle \Phi(x_1) \dots \Phi(x_n) \rangle \propto \lim_{z_1 \rightarrow 0} \dots \lim_{z_n \rightarrow 0} \langle \Phi(z_1, x_1) \dots \Phi(z_n, x_n) \rangle$$

$\uparrow$
all sources on the boundary
 $\uparrow$
all sources in the bulk.

Let's calculate some explicit examples.

Actually we can consider a more general ϕ^3 theory:

$$S = \frac{1}{2} \int d^{d+1}x \sqrt{-g} \left[\sum_{i=1}^3 ((\partial \Phi_i)^2 + m_i^2 \Phi_i^2) + b \Phi_1 \Phi_2 \Phi_3 \right]$$

The Feynman rules in the bulk (i.e. Witten diagrams) come from the perturbative solution of Φ_i :

$$\Phi_i(z, x) = \phi_i(x_1) \text{ (circle)} + \phi_i(x_1) \phi_i(x_2) \text{ (circle)} + \dots$$

Which means:

$$\Phi_i(z, x) = \int d^d x' K_{\Delta_i}(z, x; x') \phi_i(x') + b \int d^d x' d^d z' \sqrt{-g} \times G^{\Delta_i}(z, x; z', x') \times \int d^d x_1 \int d^d x_2 K_{\Delta_j}(z, x; x_1) K_{\Delta_k}(z, x; x_2) \phi_j(x_1) \phi_k(x_2)$$

← integral on the boundary
integral in the bulk ↓

Φ_i is the bulk field and ϕ_i is its boundary field.

Then you can put this perturbative expansion into $S[\Phi]$, and take fractional derivative, what you get are Feynman rules of these Witten diagrams.

$$\langle \mathcal{O}_1(x_1) \mathcal{O}_2(x_2) \mathcal{O}_3(x_3) \rangle_{\phi=0} = b \int d^d x d^d z \sqrt{-g} \prod_{i=1}^3 K_{\Delta_i}(z, x; x_i)$$

Until now, everything goes well, but in fact for K-K modes from S^5 compactification, we have hundreds

Of Feynman rules to use and too many Witten diagrams to add. The entire correlation function is very hard to calculate, even for tree-level. We will only be satisfied with this simple toy model.

Similarly, you can also construct Feynman rules for gauge fields.

$$S_{\text{bulk}} = \int d^{d+1}x \sqrt{-g} \left[-\frac{1}{4} F_{AB} F^{AB} + \frac{1}{2} g^{AB} (\partial_A + iA_A) \phi^* (\partial_B - iA_B) \phi + m^2 |\phi|^2 \right] \quad (\text{SQED in bulk})$$

for example:

$$(*) \quad \langle \partial_\Delta(x_1) \partial_\Delta^*(x_2) J^\mu(x_3) \rangle = A_\mu \quad \text{[Diagram: A circle with a blue wavy line labeled (w_0, w) and two red lines labeled $\phi^*(x_2)$ and $\phi(x_1)$]} + \dots$$

$$= -i \int \frac{d^d w d w_0}{w_0^{d+1}} g^{AB}(w) \left[K_\Delta(w, x_1) \frac{\partial}{\partial w^B} K_\Delta(w, x_2) \right] K_A^\mu(w, x_3)$$

Where K_A^μ solves the bulk Maxwell equation is the bulk-to-boundary propagator for the gauge boson:

$$K_A^\mu(w, \vec{x}) = C_D \frac{w_0^{d+1}}{|w-x|^{2(d+1)}} J_A^\mu(w-x)$$

$$J_A^\mu(x) := \delta_A^\mu - 2 \frac{x_A x^\mu}{x^2} = x'^2 \frac{\partial x_A}{\partial x'_\mu}$$

$x_A := \frac{x'_A}{x'^2}$ so J_A^μ is the Jacobian for the inversion transformation. More complicated examples can be found in [hep-th/9804058](https://arxiv.org/abs/hep-th/9804058). $SU(N)$ gauge anomaly was analyzed in this paper, too.

We use the definition in (*):

$$A \overleftrightarrow{\partial} B := A \overrightarrow{\partial} B - A \overleftarrow{\partial} B$$

Skipping over the technical details, the answer is:

$$\langle \mathcal{O}_\Delta(x_1) \mathcal{O}_\Delta^*(x_2) J^\mu(x_3) \rangle$$

$$= S^\mu(x_1, x_2, x_3) \eta \frac{(\Delta - \frac{d}{2}) \Gamma(\frac{d}{2}) \Gamma(d)}{\pi^{d/2} \Gamma(\Delta - \frac{d}{2})}$$

(**)

$$S^\mu(x_1, x_2, x_3) := \frac{1}{x_{12}^{2\Delta-d+2}} \left(\frac{x_{13}^\mu}{x_{13}^2} - \frac{x_{23}^\mu}{x_{23}^2} \right) \frac{1}{x_{13}^{d-2} x_{23}^{d-2}}$$

Under $U(1)$ transformation:

$$\delta_\lambda \mathcal{O} = i\lambda \mathcal{O}, \quad \delta_\lambda \mathcal{O}^* = -i\lambda \mathcal{O}^*, \quad \delta_\lambda S = \int \partial_\mu J^\mu \wedge$$

Then we have Ward-Takahashi equation

$$0 = \delta_\lambda \langle \mathcal{O} \mathcal{O}^* \rangle = \delta_\lambda \left[\int d\phi \bar{e}^\phi \mathcal{O}_\Delta(x_1) \mathcal{O}_\Delta^*(x_2) \right]$$

$$= - \langle \left(\int d^d x_3 \partial_\mu J^\mu(x_3) \wedge(x_3) \right) \mathcal{O}_\Delta(x_1) \mathcal{O}_\Delta^*(x_2) \rangle$$

$$+ \langle i\lambda(x_1) \mathcal{O}(x_1) \mathcal{O}^*(x_2) \rangle + \langle \mathcal{O}_\Delta(x_1) (-i\lambda(x_2) \mathcal{O}_\Delta^*(x_2)) \rangle$$

$$\Rightarrow \frac{\partial}{\partial x_3^\mu} \langle \mathcal{O}_\Delta(x_1) \mathcal{O}_\Delta^*(x_2) J^\mu(x_3) \rangle = i(\delta(x_{13}) - \delta(x_{23})) \langle \mathcal{O}_\Delta(x_1) \mathcal{O}_\Delta^*(x_2) \rangle$$

Using (**), we get

$$\langle \mathcal{O}(x_1) \mathcal{O}^*(x_2) \rangle = \eta \frac{2\Delta-d}{\pi^{d/2}} \frac{\Gamma(\Delta)}{\Gamma(\Delta-\frac{d}{2})} \frac{1}{x_{12}^{2\Delta}}$$

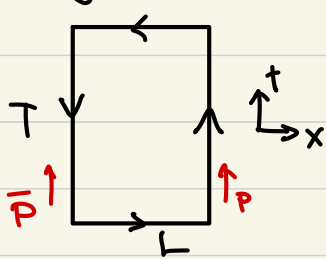
We can use conformal symmetry to obtain $\langle \mathcal{O} \mathcal{O}^* \rangle \sim \frac{1}{x_{12}^{2\Delta}}$. By AdS/CFT, we calculate the coefficient exactly.

Wilson Loop = ∂ (Minimal surface)

Wilson Loops: (in Poincare patch of AdS, not EAdS)

$$W_R[C] = \text{Tr} P \exp \left[i \oint_C A_\mu dx^\mu \right], \quad A_\mu = A_\mu^a T_R^a$$

The physical meaning is phase factor associated with transporting an "external" particle in a R-rep along C (A-B effect) We will work in fundamental Rep.



$$T \gg L$$

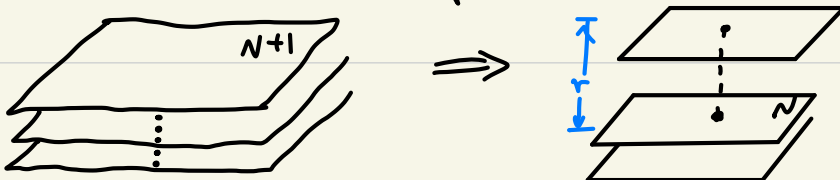
$$\langle W(c) \rangle \sim e^{-iET} \quad (E = 2M + V(L))$$

$V(L)$ can be interpreted as potential between an external particle and anti-particle

Now we will show how to calculate $\langle W(c) \rangle$ in

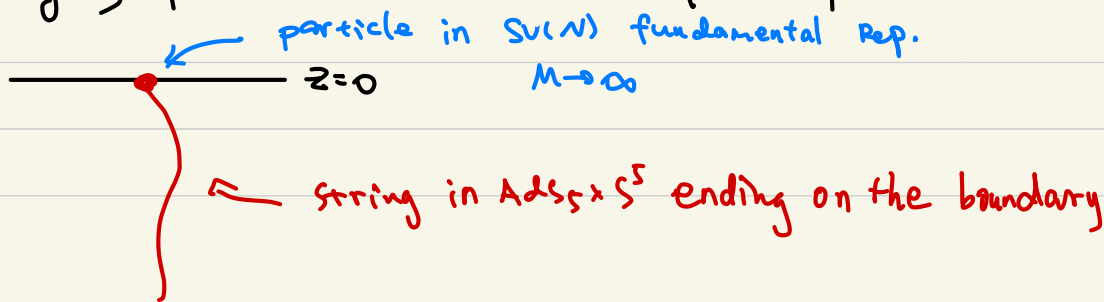
$N=4$ SYM using AdS/CFT correspondence. But all things in $N=4$ SYM are living in the adj. rep. so how to introduce fundamental rep.? And we also need the gravity description of such an external particle.

For the first question, suppose we have $N+1$ stacking $D3$ branes. If we separate one of them along one perpendicular direction for distance $|\vec{r}|$:



The gauge symmetry will break from $SU(N+1)$ to $SU(N) \times U(1)$. Consider a string with two end points located on the separated D3 brane and the rest N ones respectively. This string corresponds to a fluctuation field living on those D3 branes in $SU(N)$ fundamental representation. Its mass is $M = \frac{|\vec{r}|}{2\alpha'} \neq 0$ for symmetry breaking.

Now consider low energy limit $\alpha' \rightarrow 0$, $R \rightarrow \infty$ but keeping $\frac{R}{\alpha'}$ finite. In the resulted gravity side, one finds only one D3 brane in $AdS_5 \times S^5$ which located at $\vec{r}$ and the other N D3 branes disappeared at $r=0$. Take $r \rightarrow \infty$ (i.e. to the boundary) limit. In this case the external particle in fundamental representations with $M \rightarrow \infty$. This particle in $N=4$ SYM can be interpreted in bulk as a "string" hanging from the AdS boundary to deep interior and the hanging point is the location of the particle.



So parallel transport of such a particle in SYM is something like pulling an open string in AdS. But SYM also has scalar fields so the Wilson loop should be corrected:

$$W(C) = \text{Tr} \mathcal{P} \exp \left[i \oint_C ds \left(A_m \frac{dx^m}{ds} + \vec{n} \cdot \vec{\Phi} \sqrt{\dot{X}^2} \right) \right]$$

$\vec{n}$ is a unit vector on S^5 . This formula indicates the string ending on a D3 brane with collective coordinate $\vec{\Phi}$, so it must be coupled with scalar fields. In AdS picture the Wilson loop on the boundary C should be the boundary of string's worldsheet: $C = \partial \Sigma$

We will expect this from AdS/CFT conjecture:

$$\langle W(C) \rangle = Z_{\text{string}} [\partial \Sigma = C]$$

Consider $g_s \rightarrow 0$, $\alpha' \rightarrow 0$ limit. Then RHS is tractable by saddle-point approximate.

$$\langle W(C) \rangle \sim \exp [i S_{cl}(\partial \Sigma = C)]$$

Examples:

1. a static particle.

On the gauge side : $W = e^{-iMT}$

On the gravity side : $X^i(t, r) = \text{const}$, $\gamma = 0$

Remark: In any condition, the classical solution of fermion is always zero.

Using reparametrization freedom on the worldsheet, one can choose the coordinate on worldsheet $\sigma^\alpha = (t, r)$

then $\partial_t X^t = 1$, $\partial_r X^r = 1$, $\partial_\alpha X^i = 0$

$$ds_{ws}^2 = h_{\alpha\beta} d\sigma^\alpha d\sigma^\beta \stackrel{X^i=0}{=} -\frac{r^2}{R^2} dt^2 + \frac{R^2}{r^2} dr^2$$

$$\Rightarrow S_{NG}^{\text{cl}} = -\frac{1}{2\pi\alpha'} \int d\sigma^2 \sqrt{-h} = -\frac{1}{2\pi\alpha'} \int_0^T dt \int_0^\infty dr = -\frac{1}{2\pi\alpha'} T\Lambda$$

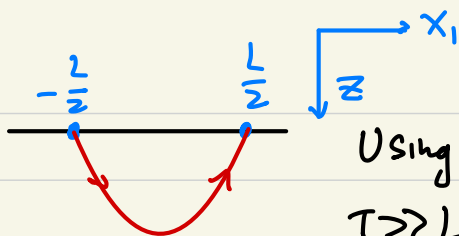
Where Λ is the cutoff of r , recall $M = \frac{|T|}{2\pi\alpha'} = \frac{\Lambda}{2\pi\alpha'}$

Which agrees AdS/CFT correspondence. In terms z
 $z = \frac{R^2}{r} \Rightarrow M = \frac{1}{2\pi\alpha'} \frac{R^2}{z} = \frac{\sqrt{\lambda}}{2\pi z}$, λ is t'Hooft coupling.

This corresponds to self energy in strong coupling of CFT on the boundary.

2. rectangular loop $T \gg L$

The beginning of this section we calculate this in gauge side perturbatively. But as $\lambda \rightarrow \infty$ we don't know how to calculate. We can calculate it by AdS/CFT.



Using reparametrization, choose $\tau=t, \sigma=X_1$

$T \gg L$, translation sym. in time requires

$$X^{i \neq 1}(\tau, \sigma) = \text{const}, \quad Z(\tau, \sigma) = Z(\sigma), \quad Z(\pm \frac{L}{2}) = 0$$

$$dS_{NG}^2 = \frac{R^2}{Z^2} (-d\tau^2 + (1+Z'^2) d\sigma^2)$$

$$\Rightarrow S_{NG} = -\frac{R^2}{2\alpha'} T \int_{-\frac{L}{2}}^{\frac{L}{2}} \frac{d\sigma}{Z^2} \sqrt{1+Z'^2} = -\frac{R^2}{2\alpha'} T \int_0^{\frac{L}{2}} \frac{d\sigma}{Z^2} \sqrt{1+Z'^2}$$

Now we need to extremize it to find $Z(\sigma)$ with

b.c. $Z(\pm \frac{L}{2}) = 0$. E-L equation:

$$\frac{d}{d\sigma} \frac{\partial \mathcal{L}}{\partial Z'} - \frac{\partial \mathcal{L}}{\partial Z} = 0 \Rightarrow \frac{2+2Z'^2+ZZ''}{Z^3(1+Z'^2)^{3/2}} = 0$$

$$\Leftrightarrow -\frac{1}{Z'} \frac{d}{d\sigma} \frac{1}{Z^2 \sqrt{1+Z'^2}} = 0 \Rightarrow \frac{1}{Z^2 \sqrt{1+Z'^2}} = \text{const}$$

We expect parity symmetry $Z(\sigma) = Z(-\sigma) \Rightarrow Z(0) = Z_0$

$Z'(0) = 0 \Rightarrow Z'^2 = \frac{1}{Z^4 Z_0^4} - 1$, it's also very hard to solve, but we can obtain $Z(\sigma)$'s inversed function:

$$\sigma = \pm \int_{Z_0}^Z Z_0 d\tilde{Z} \frac{\tilde{Z}^2}{\sqrt{1-Z_0^2 \tilde{Z}^4}}$$

The solution for $\sigma > 0$ can be written as:

$$\sigma = Z_0 \int_{Z_0}^1 dy \frac{y^2}{\sqrt{1-y^4}}$$

Using the fact $Z(\frac{L}{2}) = 0$, we can solve Z_0

$$\frac{L}{2} = Z_0 \int_{\frac{L}{2Z_0}}^1 dy \frac{y^2}{\sqrt{1-y^4}} \stackrel{L \rightarrow \infty}{\approx} Z_0 \frac{\beta(\frac{1}{2}, \frac{3}{4})}{4}$$

$\Rightarrow$

$$Z_0 = L \frac{\sqrt{\pi}}{2} \frac{\Gamma(\frac{1}{4})}{\Gamma(\frac{3}{4})}$$

For Calculate S_{NG} , We don't need the explicit solution of $z(\sigma)$:

$$S_{NG} = -\frac{R^2}{2\alpha'} T \int_0^{\frac{1}{2}} \frac{d\sigma}{z^2} \sqrt{1+z'^2} = -\frac{\sqrt{\lambda}}{2} T \int_0^{\frac{1}{2}} \frac{d\sigma}{z^2} \sqrt{1+z'^2}$$

$$= -\frac{\sqrt{\lambda}}{2} T \int_0^{z_0} \frac{z_0^2}{z^2 \sqrt{z_0^4 - z^4}} dz = -\frac{\sqrt{\lambda}}{2z_0} \int_0^1 \frac{dy}{y^2 \sqrt{1-y^4}}$$

This integral divergent, but we can introduce cutoff:

$$S_{NG}/T = -\frac{\sqrt{\lambda}}{2z_0} \int_{\epsilon/z_0}^1 \frac{dy}{y^2 \sqrt{1-y^4}}$$

$e^{iS_{NG}} \sim e^{-iET} \sim e^{-i(V+2M)T}$ the M of particle and anti particle are both $\frac{\sqrt{\lambda}}{2\pi\epsilon}$

$$\Rightarrow V(L) = \frac{\sqrt{\lambda}}{2z_0} \int_{\epsilon/z_0}^1 \frac{dy}{y^2 \sqrt{1-y^4}} - \frac{\sqrt{\lambda}}{2\epsilon}$$

$$= \frac{\sqrt{\lambda}}{2z_0} \left(\int_{\epsilon/z_0}^1 \frac{dy}{y^2 \sqrt{1-y^4}} - \int_{\epsilon/z_0}^1 \frac{dy}{y^2} - 1 \right)$$

$$= \frac{\sqrt{\lambda}}{2z_0} (K(i) - E(i))$$

K, E is the complete elliptic integral of the first and second kind respectively. $K(i) = \frac{\sqrt{2}}{8\pi} \Gamma^2(\frac{1}{4}) = E(i) - \frac{\pi\sqrt{2}}{\Gamma^2(\frac{1}{4})}$

$$V(L) = -\frac{\sqrt{\lambda}}{L} \frac{4\pi^2}{\Gamma^4(\frac{1}{4})}$$

As we have seen, the calculation of wilson loop is translated to a minimal (worldsheet) surface problem in bulk view.

Finite T on $\mathbb{R}^d = \text{Black Brane}$

Finite temperature generalization

Thermal gas in AdS

It Can be described by Euclidean AdS metric

$$ds^2 = \frac{R^2}{z^2} (dt^2 + dz^2 + d\vec{x}^2), \quad \tau \sim \tau + \beta$$

Bosons are periodic in τ , fermions are anti-periodic

But this metric has singularity at $z=0$, and there is instability comes from stringy calculation.

so thermal gas in AdS can not correspond to thermal states in SYM. Black Hole maybe a reasonable choice. But now we work in AdS not EAdS, the topology of boundary is $\mathbb{R}^d$, BH have Horizon with S^d topology, so we need to consider

Black p-brane:

$$ds^2 = \frac{R^2}{z^2} \left(-f(z) dt^2 + d\vec{x}^2 + \frac{1}{f(z)} dz^2 \right), \quad f(z) = 1 - \frac{z_0^d}{z^d}$$

With temperature and entropy

$$T = \frac{1}{4\pi z_0}, \quad S_{\text{BH}} = \frac{A_3}{4G_5}, \quad A_3 = \frac{R^3}{z_0^3} \int dx_1 dx_2 dx_3$$

define the entropy density as:

$$s = S / \int dx_1 dx_2 dx_3 = \frac{R^3}{z_0^3} \frac{1}{4G_5} = \frac{\pi^2}{2} N^2 T^2 \quad \left(\frac{G_5}{R^3} = \frac{\pi}{2N^2} \right)$$

This is the temperature on the boundary, so this

Entropy corresponds to entropy of SYM as $\lambda \rightarrow \infty$. As $\lambda \rightarrow 0$, the calculation of entropy parallels to calculation for free bosonic / fermionic massless gas. Each bosonic d.o.f contributes $\frac{2\pi^2}{45} T^3$, and $S_F = \frac{7}{8} S_B$ for fermionic d.o.f (You need to consult statical physics book about Black Body Radiation). In SYM there are one A_μ with two possible helicity and six ϕ^i with spin-0. They also have $N^2 - 1$ color d.o.f. so there are totally $8(N^2 - 1)$ bosonic d.o.f in SYM. Fermionic d.o.f is the same as bosonic d.o.f for $\text{su}(N)$.

$$S_{\lambda=0} = (8 + 8 \times \frac{7}{8}) \times \frac{2\pi^2}{45} T^3 (N^2 - 1) \stackrel{N \rightarrow \infty}{\sim} \frac{2}{3} \pi^2 N^2 T^3$$

$$\Rightarrow \boxed{\frac{S_{\lambda=\infty}}{S_{\lambda=0}} = \frac{3}{4}}$$

many examples of CFT duals are known in $d=4$ which have

$$\frac{S_{\text{strong}}}{S_{\text{weak}}} = \frac{3}{4} h, \quad \frac{8}{9} \leq h \leq 1.09$$

This agreement can be also considered as a check of AdS/CFT conjecture.

Finite T on $S^d = BH + TAdS$

Now, consider CFT on the sphere. (such as $EAdS/CFT$)

Finite temperature CFT on the sphere is very different from the case on $\mathbb{R}^d$. For CFT on $\mathbb{R}^d$, T is the only scale, scaling invariance provides this theory independent on temperature, But for CFT on S^d radius of the sphere is also a scale, which implies this theory depends on RT .

For theory on the sphere, thermal states should correspond to Black Hole with metric:

$$ds^2 = -f(r)dt^2 + \frac{1}{f(r)}dr^2 + r^2 d\Omega_{d-1}^2$$

Where

$f(r) = 1 + \frac{r^2}{R^2} - \frac{\mu}{r^{d-2}}$, μ is a const. related to BH mass
notice this solution describe BH in AdS, not Mink.

As $R \rightarrow \infty$, the solution degenerate to d -dimensional Schwarzschild BH. The horizon is located at $r=r_0$, where $f(r_0)=0$. The temperature is given by:

$$\beta = \frac{4\pi}{f'(r_0)} = \frac{4\pi r_0 R}{dr_0^2 + (d-2)R^2}$$

Further more, thermal states on the sphere can also correspond to thermal gas in AdS. Because

For S^d boundary topology, we need to describe bulk AdS by global coordinates:

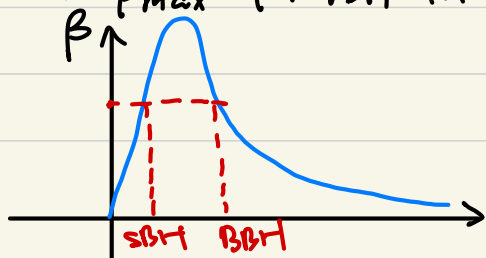
$$ds^2 = -\left(1 + \frac{r^2}{k^2}\right) dt^2 + \frac{dr^2}{1 + r^2/k^2} + r^2 d\Omega_{d-1}^2$$

Then we can Wick rotate t to $-it$ to obtain thermal gas in AdS. This will introduce singularity in Poincaré patch, but we can safely require a periodicity, $\tau \sim \tau + \beta$ in global patch. After doing that, the local proper size of τ -circle is

$$\sqrt{1 + r^2/k^2} \beta \geq \beta$$

Unlike in Poincaré patch, where the local proper size of τ -circle goes to 0 when $z \rightarrow \infty$. Here, as long as the temperature is not very high (i.e. β isn't very small), thermal gas is perfectly defined. So thermal state on S^d can be mapped to thermal gas. (at least at low temperature)

Let's talk more about the BH (in AdS). Here is a $\beta_{\max}$ for BH in AdS.



① Only when $T \geq T_{\min}$, there exist a BH solution

② $T \neq T_{\min}$, It gives you two different BH solutions

As we can see, there are three possible thermal objects in AdS, thermal gas, SBH and BBH. When $T < T_{min}$, only thermal gas survive. It implies **thermal states on the boundary have 3 phases, we should find the one with lowest free energy**

$$e^{-\beta F} = Z_{CT} = Z_{grav} = \int D\Phi e^{S_E[\Phi]} \sim e^{S_E[\Phi_c]}$$

$$\Rightarrow \boxed{F = -\frac{1}{\beta} S_E[\Phi_c]}$$

so, we should find the solution with largest S_E . This is a conclusion from the boundary side and it can also be analysed on gravity side

$$Z_{grav} = e^{S_E|_{TAdS}} + e^{S_E|_{SBH}} + e^{S_E|_{BBH}}$$

Where clearly the solution with largest S_E dominates. One can show that:

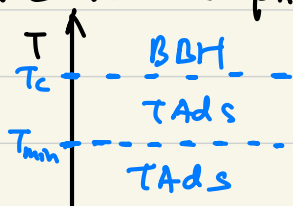
$$S_E(TAdS) = O(N^2) + O(N^0)$$

$$S_E(BBH) > S_E(SBH) \sim O(N^2)$$

so SBH will never dominate. In fact, there exist a critical temperature T_c . When $T_{min} < T < T_c$, $S_E(BBH) < 0$, so TAdS dominates in this region; on the other

hand, in $T > T_c$ region, $S_E(BH) > 0$, BH dominates

Here is the phase diagram



There is a phase transition at $T = T_c$, and it's a first order phase transition, because the free

energy jumps from $O(N^0)$ to $O(N^2)$, which means the derivative of F is not continuous. This phase transition is called **Hawking - Page transition**.

IR^{d-1} is the R goes to infinity limit of S^{d-1} . We said finite temperature CFT on sphere is parametrized by $R \cdot T$:

$R \rightarrow \infty$, T fixed

$\xleftrightarrow{RT = \text{const}}$

R fixed, $T \rightarrow \infty$

$\Downarrow$

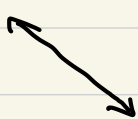
finite temperature CFT

$\Downarrow$

high temperature CFT

on IR^{d-1}

on S^{d-1}



BH



So this tells why thermal states on IR^{d-1} only corresponds to BH, more precisely Black Brane.

Finite μ = charged BH

$N=4$ STM has $SO(6)$ global symmetry, which means it has many charges. For example, we can choose one of the $U(1)$ subgroup and turn a **chemical potential** for that $U(1)$. The grand canonical partition function is defined as

$$\Xi = \text{Tr}(e^{-\beta H - \beta \mu Q})$$

Where Q is the conserved charge for $U(1)$. In field theory on the boundary, this corresponds to deforming the action by

$$\delta S = \int d^d x \mu J^0$$

On gravity side, we should turn on the non-normalizable modes for the gauge field A_μ dual to J^μ with the boundary condition below

$$\lim_{z \rightarrow 0} A_0(z, x) = \mu, \quad \lim_{z \rightarrow 0} A_i(z, x) = 0$$

The bulk geometry with finite chemical potential can then be found by solving Einstein-Maxwell eq. with the boundary condition above. Naturally, you will expect the solution is **charged BH in AdS**, e.g. $d=4$

$$ds^2 = -f dt^2 + \frac{dr^2}{f} + r^2 d\Omega_2^2$$
$$f = 1 - \frac{2GM}{r} + \frac{GQ^2}{r^2} + \frac{r^2}{R^2}$$

Holographic Entanglement Entropy

Entanglement entropy

consider a system Consisted of two sub system A and B with Hilbert space:

$$\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_B.$$

In state $|\Psi\rangle$, A-B are entangle iff $|\Psi\rangle$ can not be written as a simple product. Entanglement entropy provides a measure to quantity entanglement between A and B. The defination is:

$$S_A = -\text{Tr}_A \rho_A \log \rho_A, \quad \rho_A = \text{Tr}_B |\Psi\rangle\langle\Psi|$$

$S_A = 0$ iff ρ_A describes a pure state i.e $|\Psi\rangle$ can be written as a simple product, there is no entanglement between A and B

$$\text{For any pure state } |\Psi\rangle, S_A = S_B.$$

Suppose A, B, C are three parts of the system without any intersection between any two of them, we hav.

Subadditivity:

$$|S(A) - S(B)| \leq S(A \cup B) \leq S(A) + S(B)$$

Strong subadditivity:

$$S(A \cup C) + S(B \cup C) \geq S(A \cup B \cup C) + S(C)$$

$$S(A \cup C) + S(B \cup C) \geq S(A) + S(B)$$

Many body or field systems can also be formalised by Hamiltonian, they also have quantum. So we can talk about entanglement entropy in these systems too.



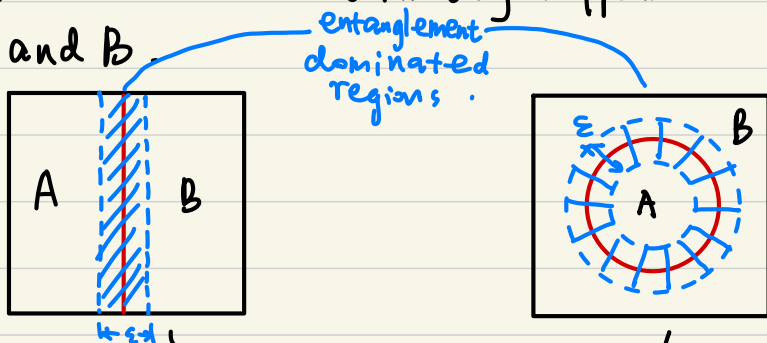
$$|\Psi\rangle_{AUB} = \sum |\Psi\rangle_A \otimes |\Psi\rangle_B$$

We are interested in **ground states** of AUB .

If there is no interaction between A and B , i.e. the total Hamiltonian is $H_{AUB} = H_A + H_B$. Then the ground state is unentangled at any time in evolution.

If we add interactions between A and B $H_{AUB} = H_A + H_B + H_{AB}$, then the ground state is generally entangled.

But in all realistic QFT, H_{AB} is local, which implies that the interaction only happens near the interface of A and B .



H_{AB} only involves
d.o.f near ∂A

One finds, in general, the entanglement entropy of ground states have area law:

$$S_A = \gamma \frac{\text{Are}(\partial A)}{\varepsilon^{D-2}} + \text{subleading terms}$$

Where γ is a constant coefficient, ε is the lattice spacing or short-distance cutoff of QFT. Which characterizes the geometric width of interface. This formula shows entanglement between A and B are dominated by short-range entanglement near ∂A . Subleading terms coming from long-range entanglement can provide important characterization of systems.

① Topological Order in 2+1 dimension.

This system contains long-range correlations not accessible via standard observables such as correlation functions of local operators. But it can be captured by entanglement entropy:

$$S_A = \gamma \frac{L(\partial A)}{\varepsilon} - \delta$$

Subleading terms are characterized by a single constant δ , and it doesn't depend on shape or size of A.

② For 1+1 dimensional CFT, the Area of ∂A is Zero. There is no leading contribution, and one finds

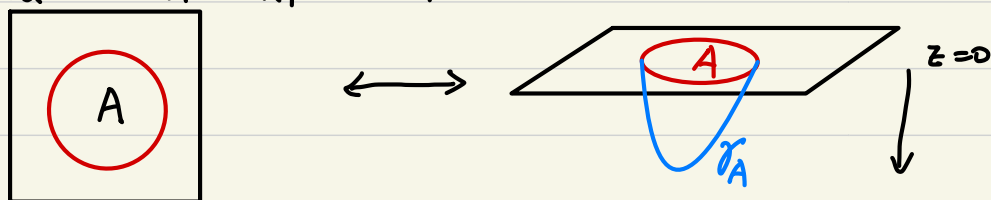
$$S_A = \frac{c}{3} \log \frac{L(A)}{\epsilon}$$

Where c is the central charge.

Remark : The definitions of cutoff ϵ and constant γ depends on the system. And entanglement entropy is calculated in a time slice.

Holographic Entropy.

The question is how to calculate entanglement entropy of CFTd on AdS_{d+1} side.



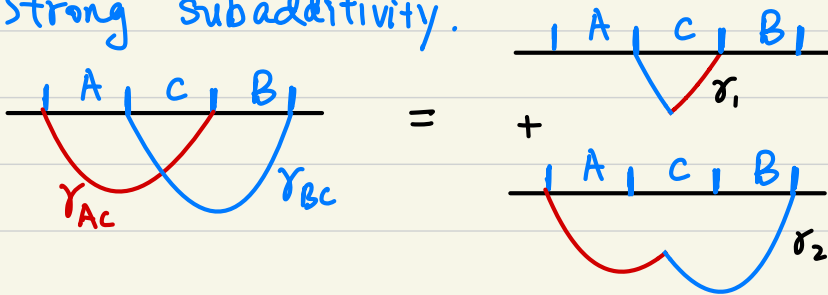
Ryu and Takayanagi guessed the entropy is given by

$$S_A = \frac{\text{Area}(\gamma_A)}{4 G_N}$$

Where γ_A is the minimal surface with boundary A .

Some supports for this formula :

① Strong subadditivity.

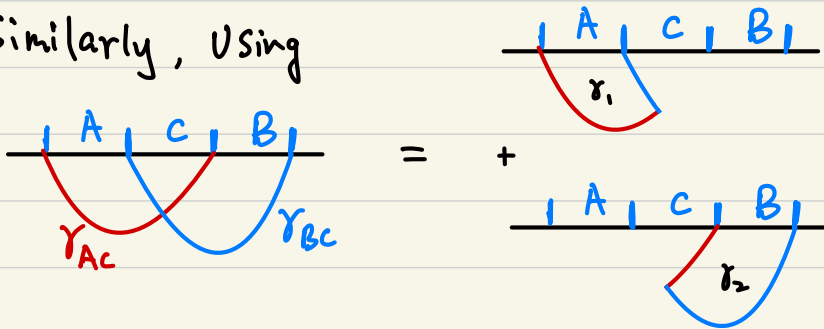


$\gamma_{Ac} + \gamma_{Bc} = \gamma_1 + \gamma_2$. By definition of minimal surface.

$\gamma_1 \geq \gamma_c$, $\gamma_2 \geq \gamma_{ABc}$, which implies

$$S(AUC) + S(BUC) \geq S(AUBUC) + S(C)$$

Similarly, Using



$\gamma_{Ac} + \gamma_{Bc} = \gamma_1 + \gamma_2$, $\gamma_1 \geq \gamma_A$, $\gamma_2 \geq \gamma_B$. Which implies

$$S(AUC) + S(BUC) \geq S(A) + S(B)$$

This proof is very elegant contrast to highly non-trivial proof by von Neumann's formula.

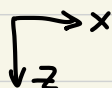
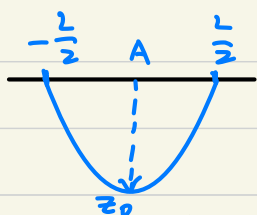
② reproduce known result.

Apply this formula to 1+1 dimensional CFT. For holographic CFT, the central charge is given by

$$C = \frac{3R}{2G_N}$$

On the gravity side, consider constant time slice:

$$ds^2 \Big|_{t=0} = \frac{R^2}{z^2} (dx^2 + dz^2)$$



$$dl^2 = \frac{R^2}{z^2} (1 + x'^2) dz^2$$

$$\text{B.C. } x(0) = \pm \frac{L}{2}$$

$S(A) = \frac{1}{4G_N} \times 2 \int_{\epsilon}^{z_0} dz \frac{R}{z} \sqrt{1 + x'^2}$, Extremizing it leads to the answer $x = \pm \sqrt{\frac{L^2}{4} - z^2}$, a semi-circle. $z_0 = \frac{L}{2}$

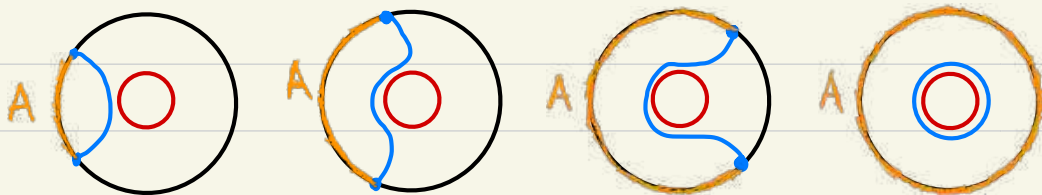
$$\Rightarrow S(A) = \frac{1}{4G_N} \times 2R \times \frac{1}{2} \int_{\epsilon}^{\frac{L}{2}} \frac{dz}{z} \frac{1}{\sqrt{\frac{L^2}{4} - z^2}}$$

$$\stackrel{\epsilon \rightarrow 0}{\simeq} \frac{2R}{4G_N} \log \frac{L}{\epsilon} = \frac{C}{3} \log \frac{L}{\epsilon}$$

It is exactly what we concluded for 1+1 CFT before.

① relations to BH entropy.

Consider finite temperature CFT (i.e. on S^1) which dual to BH in AdS. If we take A to be the whole boundary space, the minimal surface is just BH horizon, and BH entropy is recovered.



Graphically, as you make A larger and larger, its minimal surface more and more tends to BH horizon

— The End —