

An Introduction to Pure Spinor Formalism with application to Tree-Level String Amplitudes

based on my bachelor thesis

Bufan Zheng

Department of Physics, University of Tokyo

2025 年 9 月 24 日



- ① Why String Amplitudes?
- ② RNS Formalism is not Enough
- ③ Pure Spinor Formalism
- ④ Tree-Level Scattering Amplitudes
- ⑤ Construct BCJ Numerator of 10d SYM Theory*
- ⑥ Comments
- ⑦ Appendix

- 1 Why String Amplitudes?
- 2 RNS Formalism is not Enough
- 3 Pure Spinor Formalism
- 4 Tree-Level Scattering Amplitudes
- 5 Construct BCJ Numerator of 10d SYM Theory*
- 6 Comments
- 7 Appendix

It's difficult to directly detect strings in the LHC, but ...

- For phenomenology:

That's all we know — string theory only has a perturbative definition.

$$S_{j_1 \dots j_n}(k_1, \dots, k_n) = \sum_{\text{topology}} \int \frac{[dX dg]}{V_{\text{diff} \times \text{Weyl}}} \exp(-S_X - \lambda \chi) \prod_{i=1}^n \int d^2 \sigma_i g(\sigma_i)^{1/2} V_{j_i}(k_i, \sigma_i)$$

- For historians:

The first formula in string theory was the Veneziano amplitude, which describes the scattering of four tachyons.

$$S_{D_2}(k_1; k_2; k_3; k_4) = \frac{2ig_0^2}{\alpha'} (2\pi)^{26} \delta^{26} \left(\sum_i k_i \right) \times [B(-\alpha_o(s), -\alpha_o(t)) + B(-\alpha_o(s), -\alpha_o(u)) + B(-\alpha_o(t), -\alpha_o(u))]$$

Where, $\alpha_o(x) := \alpha' x + 1$

- For QFT experts:

As α' goes to 0, string theory reduces to QFT, making it a powerful tool for understanding QFT amplitudes. Such as KLT relation [KLT86]:

$$\mathcal{M}_n \sim \sum_{\rho, \tau \in S_{n-3}} A_n \left(1, \rho, n-1, n \middle| \frac{\alpha'}{4} \right) S_{\alpha'}(\rho|\tau) \tilde{A}_n \left(1, \tau, n, n-1 \middle| \frac{\alpha'}{4} \right)$$

This relation implies a squaring structure, $\mathcal{A}_{\text{grav}} \sim \mathcal{A}_{\text{YM}}^2$. Furthermore, the prescription for calculating string amplitudes by integration over Riemann surfaces inspired the development of the CHY formalism in QFT [CHY14b; CHY14a]:

$$\mathcal{A}_n = \int_{\mathcal{M}_{0,n}} d\mu_n \mathcal{I}_{\text{CHY}}, \quad d\mu_n := \frac{d^n \sigma}{\text{volSL}(2, \mathbb{C})} \prod'_a \delta \left(\sum_{b \neq a} \frac{s_{ab}}{\sigma_{ab}} \right)$$

This formulation expresses QFT amplitudes in a universal language, where the dynamics of different theories are encoded solely in the integrand \mathcal{I}_{CHY} .

- For mathematician:

Recent research has revealed that string theory amplitudes can be regarded as generating functions for number-theoretic functions, such as Multiple Zeta Values, thereby establishing a connection to number theory [Bro+14].

For example, the tree-level 4-point gauge boson amplitude:

$$\begin{aligned}
 \mathcal{A}(1234) &= A_{\text{YM}}(1234) \frac{\Gamma(1 - 2\alpha' s_{12}) \Gamma(1 - 2\alpha' s_{23})}{\Gamma(1 - 2\alpha' s_{12} - 2\alpha' s_{23})} \\
 &= \exp \left(\sum_{n=2}^{\infty} \frac{\zeta_n}{n} (2\alpha')^n [s_{12}^n + s_{23}^n - (s_{12} + s_{23})^n] \right) \\
 &= 1 - (2\alpha')^2 \zeta_2 s_{12} s_{23} + (2\alpha')^3 \zeta_3 s_{12} s_{23} s_{13} \\
 &\quad - (2\alpha')^4 \zeta_4 s_{12} s_{23} \left(s_{12}^2 + \frac{1}{4} s_{12} s_{23} + s_{23}^2 \right) \\
 &\quad - (2\alpha')^5 \zeta_2 \zeta_3 s_{12}^2 s_{23}^2 s_{13} + \frac{1}{2} (2\alpha')^5 \zeta_5 s_{12} s_{23} s_{13} (s_{12}^2 + s_{23}^2 + s_{13}^2) + O(\alpha'^6)
 \end{aligned}$$

- ① Why String Amplitudes?
- ② RNS Formalism is not Enough
- ③ Pure Spinor Formalism
- ④ Tree-Level Scattering Amplitudes
- ⑤ Construct BCJ Numerator of 10d SYM Theory*
- ⑥ Comments
- ⑦ Appendix

To incorporate SUSY into string theory, it can be introduced either on the worldsheet or in the target spacetime.

- On the worldsheet: **RNS formalism**

$$S_{\text{RNS}} = \frac{1}{2\pi} \int d^2z \left(\frac{2}{\alpha'} \partial X^\mu \bar{\partial} X_\mu + \psi^\mu \bar{\partial} \psi_\mu + \bar{\psi}^\mu \partial \bar{\psi}_\mu \right) + S_{\text{gh}}$$

What is truly desired is target spacetime supersymmetry, which remains hidden in the RNS formalism. This can be made manifest through the **GSO projection**.

It's easy to construct string spectrum by canonical quantization:

	Type IIA	Type IIB
$m^2 = 0$	$ \alpha; -\rangle_{\text{R}} \otimes \alpha; +\rangle_{\text{R}}$	$ \alpha; +\rangle_{\text{R}} \otimes \alpha; +\rangle_{\text{R}}$
	$\tilde{\psi}_{-1/2}^i 0\rangle_{\text{NS}} \otimes \psi_{-1/2}^j 0\rangle_{\text{NS}}$	$\tilde{\psi}_{-1/2}^i 0\rangle_{\text{NS}} \otimes \psi_{-1/2}^j 0\rangle_{\text{NS}}$
	$\tilde{\psi}_{-1/2}^i 0\rangle_{\text{NS}} \otimes \alpha; +\rangle_{\text{R}}$	$\tilde{\psi}_{-1/2}^i 0\rangle_{\text{NS}} \otimes \alpha; +\rangle_{\text{R}}$
	$ \dot{\alpha}; -\rangle_{\text{R}} \otimes \psi_{-1/2}^i 0\rangle_{\text{NS}}$	$ \alpha; +\rangle_{\text{R}} \otimes \psi_{-1/2}^i 0\rangle_{\text{NS}}$

It is also straightforward to construct vertex operators via the state operator correspondence. For example, considering the bosonic part.

$$\alpha_{-m}^{\mu} \rightarrow i \left(\frac{2}{\alpha'} \right)^{1/2} \frac{1}{(m-1)!} \partial^m X^{\mu}(0), \quad |0; k\rangle \rightarrow e^{ik \cdot X(0,0)}$$

The vertex operators relevant for tree-level amplitudes are listed below:

$$U^{(-1)}(z) = \epsilon_{\mu} \psi^{\mu}(z) e^{-\phi(z)} e^{ik \cdot X(z)}, \quad U^{(-\frac{1}{2})}(z) = u^{\alpha} S_{\alpha}(z) e^{-\frac{1}{2}\phi(z)} e^{ik \cdot X(z)}$$

Other two vertex operators with different picture number can be constructed via **Picture Changing Operator (PCO)**. You can find them in the [appendix](#). Moreover, the relationship between unintegrated and integrated vertex operators is very simple in the RNS formalism:

$$V(z) = c(z) U(z) \tag{1}$$

- On the target Spacetime: GS formalism

$$S_{\text{GS}} = \frac{1}{\pi} \int d^2z \left[\frac{1}{2} \Pi^m \bar{\Pi}_m + \frac{1}{4} \Pi_m (\theta \gamma^m \bar{\partial} \theta) - \frac{1}{4} \bar{\Pi}_m (\theta \gamma^m \partial \theta) \right]$$

Comparing to RNS formalism, we know how to couple GS superstring with R-R flux. Actually, we can write down more general D brane action in GS formalism:

$$S_{D_p} = -T_{D_p} \int d^{p+1} \sigma \sqrt{-\det(G_{\alpha\beta} + k \mathcal{F}_{\alpha\beta})} + S_{\text{CS}}$$

However, it is very difficult to covariantly quantize the GS superstring, we can **only** quantize it in the light-cone gauge. The reasons for this are explained using a simple toy model in the [appendix](#). Since our spacetime is required to be SR covariant, it can be anticipated that performing calculations within the GS formalism is rather challenging.

- ① Why String Amplitudes?
- ② RNS Formalism is not Enough
- ③ Pure Spinor Formalism
- ④ Tree-Level Scattering Amplitudes
- ⑤ Construct BCJ Numerator of 10d SYM Theory*
- ⑥ Comments
- ⑦ Appendix

Siegle's idea

To solve the problem related to GS superstring, Siegle introduced a new independent Grassmann odd variable p , proposed a modified action: [Sie86]

$$S_{\text{Siegel}} = \frac{1}{\pi} \int d^2z \left[\frac{1}{2} \partial X^m \bar{\partial} X_m + p_\alpha \bar{\partial} \theta^\alpha \right] + \text{c.c.}$$

However, this formalism is **not** equivalent to the RNS formalism. The key point lies in the fact that their OPEs of the $SO(9,1)$ Noether currents differ:

$$\begin{aligned} \Sigma_{\text{RNS}}^{mn} &= -\psi^m \psi^n, \quad \Sigma_{\text{Siegel}}^{mn} = -\frac{1}{2}(p\gamma^{mn}\theta) \\ \Sigma_{\text{RNS}}^{mn}(z)\Sigma_{\text{RNS}}^{pq}(w) &\sim \frac{\delta p^{[m}\Sigma^{n]}q(w) - \delta q^{[m}\Sigma^{n]}p(w)}{z-w} + \frac{\delta m^{[q}\delta p^{n]}}{(z-w)^2} \\ \Sigma_{\text{Siegel}}^{mn}(z)\Sigma_{\text{Siegel}}^{pq}(w) &\sim \frac{\delta p^{[m}\Sigma^{n]}q(w) - \delta q^{[m}\Sigma^{n]}p(w)}{z-w} + 4\frac{\delta m^{[q}\delta p^{n]}}{(z-w)^2} \end{aligned} \quad (2)$$

Moreover, $c_{\text{Siegle}} = -22 \neq 0$. This is **not** a consistent string theory!

Berkovits' idea I

Referring to [equation \(2\)](#), although they are different, they exhibit very similar forms. Suppose we introduce Grassmann even ghost fields $\{\lambda_\alpha, w^\alpha\}$ conjugate to $\{p_\alpha, \theta^\alpha\}$. The Lorentz current is then corrected to:

$$M_{\text{PS}}^{\mu\nu} = \Sigma_{\text{Siegle}}^{\mu\nu} + N_{\text{gh}}^{\mu\nu}$$

If $N_{\text{gh}}^{\mu\nu}$ satisfy the OPE below, and $c_\lambda = 22$, the problem is resolved: [Ber00]

$$N^{\mu\nu}(z)N^{\rho\sigma}(w) \sim \frac{\delta^{\rho[\mu}N^{\nu]\sigma}(w) - \delta^{\sigma[\mu}N^{\nu]\rho}(w)}{z-w} - 3\frac{\delta^m[\sigma\delta^{\rho]\nu}}{(z-w)^2}$$

$$\Sigma^{\mu\nu}(z)N^{\rho\sigma}(w) \sim \text{regular}$$

After tedious calculation, Berkovits proposed pure spinor superstring action:

$$S_{\text{PS}} = \frac{1}{\pi} \int d^2z \left(\frac{1}{2} \partial X^m \bar{\partial} X_m + p_\alpha \bar{\partial} \theta^\alpha - w_\alpha \bar{\partial} \lambda^\alpha \right) + \text{c.c.}, \quad \lambda \gamma^\mu \lambda = 0$$

Berkovits' idea II

With following OPE relations: (because PS constraint, w, λ ghost is not a free CFT.¹ i.e. $w_\alpha(z)\lambda^\beta(w) \sim \frac{\delta_\alpha^\beta}{z-w}$)

$$\begin{aligned}
 X^\mu(z, \bar{z})X^\nu(w, \bar{w}) &\sim -\eta^{\mu\nu} \ln|z-w|^2, & d_\alpha(z)\theta^\beta(w) &\sim \frac{\delta_\alpha^\beta}{z-w}, \\
 d_\alpha(z)d_\beta(w) &\sim -\frac{\gamma_{\alpha\beta}^\mu \Pi_\mu(w)}{z-w}, & d_\alpha(z)\Pi^\mu(w) &\sim \frac{(\gamma^\mu \partial\theta(w))_\alpha}{z-w}, \\
 \Pi^\mu(z)\Pi^\nu(w) &\sim -\frac{\eta^{\mu\nu}}{(z-w)^2}, & d_\alpha(z)K &\sim \frac{D_\alpha K}{z-w}, & \Pi^m(z)K &\sim -\frac{\partial^m K}{z-w} \\
 D_\alpha &= \frac{\partial}{\partial\theta^\alpha} + \frac{1}{2}(\gamma^\mu\theta)_\alpha\partial_\mu, & \Pi^m &= \partial X^m + \frac{1}{2}(\theta\gamma^m\partial\theta) \\
 d_\alpha &= p_\alpha - \frac{1}{2}\left(\partial X^m + \frac{1}{4}(\theta\gamma^m\partial\theta)\right)(\gamma_m\theta)_\alpha
 \end{aligned}$$

¹We can use $U(5)$ decomposition of $SO(10)$ to obtain a free CFT, but it's too technical to include here, even in the appendix ...

The vertex operators

To obtain the vertex operators, BRST quantization is the best approach:

$$Q_B := \oint dz (\lambda^\alpha d_\alpha) \xrightarrow{Q_B^2=0} \lambda \gamma^\mu \lambda = 0 \text{ (PS constraint)}$$

The vertex operator for massless (open string) excitations can be straightforwardly constructed by computing the BRST cohomology:

$$U(z) = \partial \theta^\alpha A_\alpha(X, \theta) + A_m(X, \theta) \Pi^m + d_\alpha W^\alpha(X, \theta) + \frac{1}{2} N_{mn} F^{mn}(X, \theta)$$

$$V(z) = \lambda^\alpha A_\alpha(X, \theta), \quad \{A_{\alpha/m}, W^\alpha, F^{mn}\} \text{ (a.k.a. } K) \in 10\text{D SYM}$$

Details on the 10D SYM superfields are provided in the [appendix](#). These operators can then be used to compute disk amplitudes:

$$\mathcal{A}(P) = \int_{D(P)} dz \langle \langle V_1(\hat{z}_1) U_2(z_2) \dots U_{n-2}(z_{n-2}) V_{n-1}(\hat{z}_{n-1}) V_n(\hat{z}_n) \rangle \rangle \quad (3)$$

A simple example can be found in the [appendix](#).

Pure spinor formalism vs. RNS formalism (Cons)

- Non-trivial relation between U and V .

$$\text{PS: } \partial V(z) = Q_B U(z), \quad \text{RNS: } V(z) = c(z) U(z)$$

- More complicated vertex operators. Unintegrated vertex operator for the first massive level $\alpha' m^2 = 1$ was found in 2002 [BC02]

$$\begin{aligned} V = & \partial \lambda^\alpha A_\alpha(x, \theta) + : \partial \theta^\beta \lambda^\alpha B_{\alpha\beta}(x, \theta) : + : d_\beta \lambda^\alpha C_\alpha^\beta(x, \theta) : \\ & + : \Pi^m \lambda^\alpha H_{m\alpha}(x, \theta) : + : J \lambda^\alpha E_\alpha(x, \theta) : + : N^{mn} \lambda^\alpha F_{\alpha mn}(x, \theta) : \end{aligned}$$

But, it was not until 2018 that the integrated vertex operator was explicitly constructed[CKV18]:

$$\begin{aligned} U = & \Pi^m \Pi^n F_{mn} : + : \Pi^m d_\alpha F_m^\alpha : + : \Pi^m \partial \theta^\alpha G_{m\alpha} : + : \Pi^m N^{pq} F_{mpq} : \\ & + : d_\alpha d_\beta K^{\alpha\beta} : + : d_\alpha \partial \theta^\beta F_\beta^\alpha : + : d_\alpha N^{mn} G_{mn}^\alpha : + : \partial \theta^\alpha \partial \theta^\beta H_{\alpha\beta} : \\ & + : \partial \theta^\alpha N^{mn} H_{mn\alpha} : + : N^{mn} N^{pq} G_{mnpq} : \end{aligned}$$

Pure spinor formalism vs. RNS formalism (Cons)

Where,

$$F_{mn} = -\frac{18}{\alpha'} G_{mn},$$

$$F_{mpq} = \frac{12}{(\alpha')^2} B_{mpq} - \frac{36}{\alpha'} \partial_{[p} G_{q]m},$$

$$F^\alpha{}_\beta = -\frac{4}{\alpha'} (\gamma^{mnpq})^\alpha{}_\beta \partial_m B_{npq},$$

$$H_{\alpha\beta} = \frac{2}{\alpha'} \gamma_{\alpha\beta}^{mnp} B_{mnp},$$

$$G_{mnpq} = \frac{4}{(\alpha')^2} \partial_{[m} B_{n]pq} + \frac{4}{(\alpha')^2} \partial_{[p} B_{q]mn} - \frac{12}{\alpha'} \partial_{[p} \partial_{[m} G_{n]q]}$$

$$F_m{}^\alpha = \frac{288}{\alpha'} (\gamma^r)^\alpha{}_\beta \partial_r \Psi_{m\beta}, \quad G_{m\alpha} = -\frac{432}{\alpha'} \Psi_{m\alpha}$$

$$K^{\alpha\beta} = -\frac{1}{(\alpha')^2} \gamma_{mnp}^{\alpha\beta} B^{mnp},$$

$$G^\alpha{}_{mn} = \frac{48}{(\alpha')^2} \gamma^{\alpha\sigma}{}_{[m} \Psi_{n]\sigma} + \frac{192}{\alpha'} \gamma^{\alpha\sigma}{}_r \partial^r \partial_{[m} \Psi_{n]\sigma},$$

$$H_{mn\alpha} = -\frac{576}{\alpha'} \partial_{[m} \Psi_{n]\alpha} - \frac{144}{\alpha'} \partial^q ((\gamma_{q[m})^\alpha{}_\sigma \Psi_{n]\sigma}),$$

To handle these complex formulae, several new techniques have been developed recently [Kas+25][Maf24].

Pure spinor formalism vs. RNS formalism (Pros)

- **Powerful in amplitude calculations.** Compared to the hundred-page computation of the four-point two-loop amplitude in the RNS formalism [DP05], the pure spinor formalism requires only a ten-page calculation [Ber06]. The results were shown to be equivalent [BM06].
Note: It's still not clear how to deal with subtleties about multiloop ($\ell \geq 3$) amplitudes.
- **The amplitudes are manifestly SUSY and Lorentz invariant.** The pure spinor formalism computes super-amplitudes directly, whereas the RNS formalism only calculates their components.
- **String in R-R backgrounds can be described by PS formalism.** Since the pure spinor formalism manifest spacetime supersymmetry, it can, **in principle**, be used to analyze superstrings in AdS backgrounds[BC01]. For further discussion, see [Comments](#).

- ① Why String Amplitudes?
- ② RNS Formalism is not Enough
- ③ Pure Spinor Formalism
- ④ Tree-Level Scattering Amplitudes
- ⑤ Construct BCJ Numerator of 10d SYM Theory*
- ⑥ Comments
- ⑦ Appendix

Compute OPEs ($n = 2$) I

The challenge involves computing OPEs between vertex operators, e.g. for 2 points:

$$\begin{aligned}
 V_1(z_1)U_2(z_2) &\sim z_{12}^{-k_1 \cdot k_2} \frac{L_{12}(z_2)}{z_{12}}, \quad L_{12} := -A_2^m(\lambda \gamma_m W_1) - V_2(k_2 \cdot A_1) + Q_B(A_2 W_1) \\
 U_1(z_1)U_2(z_2) &\sim z_{12}^{-k_1 \cdot k_2 - 1} \left(\partial \theta^\alpha \left[(k_1 \cdot A_2) A_\alpha^1 - (k_2 \cdot A_1) A_\alpha^2 + D_\alpha A_\beta^2 W_1^\beta - D_\alpha A_\beta^1 W_2^\beta \right] \right. \\
 &\quad + \Pi^m \left[(k_1 \cdot A_2) A_m^1 - (k_2 \cdot A_1) A_m^2 + k_m^2 (A_2 W_1) - k_m^1 (A_1 W_2) - (W_1 \gamma_m W_2) \right. \\
 &\quad + d_\alpha \left[(k_1 \cdot A_2) W_1^\alpha - (k_2 \cdot A_1) W_2^\alpha + \frac{1}{4} (\gamma^{mn} W_1)^\alpha F_{mn}^2 - \frac{1}{4} (\gamma^{mn} W_2)^\alpha F_{mn}^1 \right] \\
 &\quad + \frac{1}{2} N^{mn} \left[(k_1 \cdot A_2) F_{mn}^1 - (k_2 \cdot A_1) F_{mn}^2 - 2k_m^{12} (W_1 \gamma_n W_2) + 2F_{ma}^1 F_{na}^2 \right] \Big) \\
 &\quad + (1 + k_1 \cdot k_2) z_{12}^{-k_1 \cdot k_2 - 2} [(A_1 W_2) + (A_2 W_1) - (A_1 \cdot A_2)]
 \end{aligned}$$

The plane wave factors : $e^{ik \cdot X}$: are dropped. Their contribution is restored via the Koba-Nielsen factors in the final amplitudes.

Compute OPEs ($n = 2$) II

Surprisingly, if we define:

$$A_{\alpha}^{12} = \frac{1}{2} [A_{\alpha}^2(k_2 \cdot A_1) + A_2^m (\gamma_m W_1)_{\alpha} - (1 \leftrightarrow 2)]$$

$$A_{12}^m = \frac{1}{2} [A_2^m(k_2 \cdot A_1) + A_p^1 F_2^{pm} + (W_1 \gamma^m W_2) - (1 \leftrightarrow 2)]$$

$$W_{12}^{\alpha} = \frac{1}{4} (\gamma_{mn} W_2)^{\alpha} F_1^{mn} + W_2^{\alpha} (k_2 \cdot A_1) - (1 \leftrightarrow 2)$$

$$F_{12}^{mn} = F_2^{mn}(k_2 \cdot A_1) + \frac{1}{2} F_2^{[m} F_1^{n]p} + k_1^{[m} (W_1 \gamma^{n]} W_2) - (1 \leftrightarrow 2)$$

After dropping some BRST-exact or total derivative terms:

$$U_1(z_1) U_2(z_2) \sim \frac{U_{12}(z_2)}{z_{12}}, \quad V_1(z_1) U_2(z_2) \sim \frac{V_{12}(z_2)}{z_{12}}$$

Here U_{12} and V_{12} is defined by replacing superfields K_{α} with **multi-particle superfields** K_{α}^{12} .

Compute OPEs ($n \geq 2$)

This rule generalizes to higher points using Lie polynomial notation:

$$U_{[[1,2],3]} \leftrightarrow ((U_1 U_2) U_3), \quad U_{[[1,2],[3,4]]} \leftrightarrow ((U_1 U_2)(U_3 U_4))$$

The game continues:

$$V_A(z_a) U_B(z_b) \sim \frac{V_{[A,B]}(z_b)}{z_{ab}}, \quad U_A(z_a) U_B(z_b) \sim \frac{U_{[A,B]}(z_b)}{z_{ab}}$$

In Lorentz gauge $\partial \cdot A^P = 0$: [LMS16]

$$\hat{A}_\alpha^{[P,Q]} = \frac{1}{2} \left[\hat{A}_\alpha^Q (k_Q \cdot \hat{A}_P) + \hat{A}^Q{}^m (\gamma_m \hat{W}_P)^\alpha - (P \leftrightarrow Q) \right]$$

$$\hat{A}_{[P,Q]}^m = \frac{1}{2} \left[\hat{A}_Q^m (k_Q \cdot \hat{A}_P) + \hat{A}_P^n \hat{F}_Q^{nm} + (\hat{W}_P \gamma^m \hat{W}_Q) - (P \leftrightarrow Q) \right]$$

$$\hat{W}_{[P,Q]}^\alpha = \frac{1}{4} \hat{F}_P^{rs} (\gamma_{rs} \hat{W}_Q)^\alpha + \frac{1}{2} (k_Q \cdot \hat{A}_P) \hat{W}_Q^\alpha + \frac{1}{2} \hat{W}_Q^{m\alpha} \hat{A}_m^P - (P \leftrightarrow Q)$$

$$\hat{F}_{[P,Q]}^{mn} = \frac{1}{2} \left[\hat{F}_Q^{mn} (k_Q \cdot \hat{A}_P) + \hat{F}_Q^p{}^{mn} \hat{A}_p^P + \hat{F}_Q^{[m} \hat{F}_P^{n]r} - 2\gamma_{\alpha\beta}^{[m} \hat{W}_P^{n]\alpha} \hat{W}_Q^\beta - (P \leftrightarrow Q) \right]$$

Compute OPEs ($n \geq 2$)

This rule generalizes to higher points using Lie polynomial notation:

$$U_{[[1,2],3]} \leftrightarrow ((U_1 U_2) U_3), \quad U_{[[1,2],[3,4]]} \leftrightarrow ((U_1 U_2)(U_3 U_4))$$

The game continues:

$$V_A(z_a) U_B(z_b) \sim \frac{V_{[A,B]}(z_b)}{z_{ab}}, \quad U_A(z_a) U_B(z_b) \sim \frac{U_{[A,B]}(z_b)}{z_{ab}}$$

These rules allow reducing OPEs to three-point functions like $\langle V^3 \rangle$ e.g.:

$$\begin{aligned} V_1(z_1) U_2(z_2) V_3(z_3) V_4(\infty) &= \overline{V_1 U_2} V_3 V_4 + V_1 \overline{U_2 V_3} V_4 + V_1 \overline{U_2 V_3} V_4 \\ &\cong \frac{V_{[1,2]}(z_1)}{z_{12}} V_3(z_3) V_4(\infty) + V_1(z_1) \frac{V_{[2,3]}(z_3)}{z_{23}} V_4(\infty) \\ &\cong \frac{V_{12} V_3 V_4}{z_{12}} + \frac{V_1 V_{32} V_4}{z_{32}} \end{aligned}$$

Final result

Using wick contraction to reduce n-pt function:²[MSS13a][MSS13b]

$$\mathcal{A}_n(P) = (2\alpha')^{n-3} \int d\mu_P^n \sum_{AB=23\dots n-2} \langle (V_{1A} \mathcal{Z}_{1A})(V_{(n-1)\tilde{B}} \mathcal{Z}_{n-1,\tilde{B}}) V_n \rangle + \text{perm} \quad (4)$$

$$\mathcal{Z}_{123\dots p} := \frac{1}{z_{12} z_{23} \cdots z_{p-1,p}}, \quad \int d\mu_P^n := \int_{D(P)} dz_2 dz_3 \cdots dz_{n-2} \prod_{1 \leq i < j}^{n-1} |z_{ij}|^{-2\alpha' s_{ij}}$$

We can use $SL(2, \mathbb{C})$ invariance to fix $\{z_1, z_{n-1}, z_n\}$ to $\{0, 1, \infty\}$ or $\{0, \infty, 1\}$, depending on the ordering P . Here, $\langle \cdots \rangle$ denotes integration over the ghost fields λ, θ with the measure $\langle \lambda^3 \theta^5 \rangle = 2880$.³ This calculation is feasible, and further details can be found in [MS23]. The connection with the **free Lie algebra** is also discussed.

²We use the Dynkin bracket notation, $V_P := V_{\ell(P)}$, $\ell(123\dots n) := [\ell(123\dots n-1), n]$

³See the [appendix](#) regarding the computation of the three-point amplitude.

The relation between 10D SYM amplitudes

Open string amplitudes $\xrightarrow{\alpha' \rightarrow 0}$ 10D SYM amplitudes:

$$\mathcal{A}_n(P) = (2\alpha')^{n-3} \int d\mu_P^n \left[\prod_{k=2}^{n-2} \sum_{m=1}^{k-1} \frac{s_{mk}}{z_{mk}} A_n^{\text{YM}}(1, 2, \dots, n) + \text{perm}(2, 3, \dots, n-2) \right]$$

$$\xrightarrow{P=\{1, R, n-1, n\}} \sum_{Q \in S_{n-3}} F_R^Q(\alpha') A_n^{\text{YM}}(1, Q, n-1, n)$$

Where, $s_{ij} := p_i \cdot p_j$ and,

$$F_R^Q(\alpha') := (2\alpha')^{n-3} \int d\mu_R^n \frac{s_{1q_2}}{z_{1q_2}} \left(\frac{s_{1q_3}}{z_{1q_3}} + \frac{s_{q_2q_3}}{z_{q_2q_3}} \right) \times \left(\frac{s_{1q_4}}{z_{1q_4}} + \frac{s_{q_2q_4}}{z_{q_2q_4}} + \frac{s_{q_3q_4}}{z_{q_3q_4}} \right)$$

$$\times \left(\frac{s_{1q_{n-2}}}{z_{1q_{n-2}}} + \frac{s_{q_2q_{n-2}}}{z_{q_2q_{n-2}}} + \dots + \frac{s_{q_{n-3}q_{n-2}}}{z_{q_{n-3}q_{n-2}}} \right) \xrightarrow{\alpha' \rightarrow 0} \delta_R^Q$$

Its α' -expansion is related to **Drinfeld associator**, generating series of MZVs, as mentioned in [Sec. 1](#).

SYM tree amplitudes from the cohomology of pure spinor superspace I

SYM tree amplitudes can be rewritten in PS language: [Maf+11]

$$A_n^{\text{YM}}(P, n) = \sum_{XY=P} \langle M_X M_Y M_n \rangle$$

Here, M_P is called the **Berends-Giele current**⁴, its exact definition can be found in [MS23]. After integrating out zero modes:

$$\langle M_X M_Y M_Z \rangle = \frac{1}{2} \mathfrak{e}_X^m \mathfrak{f}_Y^{mn} \mathfrak{e}_Z^n + (\mathfrak{x}_X \gamma_m \mathfrak{x}_Y) e_Z^m + \text{cyc}(XYZ)$$

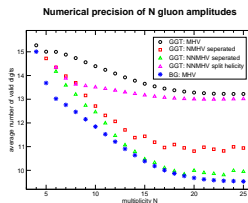
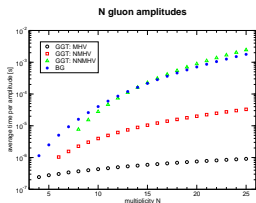
$$\mathfrak{e}_P^m = \frac{1}{s_P} \sum_{XY=P} \mathfrak{e}_{[X,Y]}^m, \quad \mathfrak{e}_{[X,Y]}^m := \frac{1}{2} [\mathfrak{e}_Y^m (k_Y \cdot \mathfrak{e}_X) + \mathfrak{e}_n^X \mathfrak{f}_Y^{nm} + (\mathfrak{x}_X \gamma^m \mathfrak{x}_Y) - (X \leftrightarrow Y)]$$

$$\mathfrak{x}_P^\alpha = \frac{1}{s_P} \sum_{XY=P} \mathfrak{x}_{[X,Y]}^\alpha, \quad \mathfrak{x}_{[X,Y]}^\alpha := \frac{1}{2} (k_X^P + k_Y^P) \gamma_P^{\alpha\beta} [\mathfrak{e}_X^m (\gamma_m \mathfrak{x}_Y)_\beta - \mathfrak{e}_Y^m (\gamma_m \mathfrak{x}_X)_\beta]$$

$$\mathfrak{f}_P^{mn} := k_P^m \mathfrak{e}_P^n - k_P^n \mathfrak{e}_P^m - \sum_{XY=P} (\mathfrak{e}_X^m \mathfrak{e}_Y^n - \mathfrak{e}_X^n \mathfrak{e}_Y^m), \quad \mathfrak{e}_1^n := e_1^n, \quad \mathfrak{x}_1^n := \chi_1^n$$

SYM tree amplitudes from the cohomology of pure spinor superspace II

This gives a new recursive formula for SYM tree amplitudes, fully constructed via a **stringy approach**. Moreover, as discussed in [Bad+13] and [GSW11], Berends-Giele currents lead to fast numerical evaluation of amplitudes.



In this representation of SYM amplitudes, relations among color-ordered partial amplitudes such as Kleiss - Kuijf and BCJ relations can also be easily derived using **Ree's theorem** from free Lie algebra.[FMM23]

⁴The original concept of the BG current comes from off-shell recursion relations of YM amplitudes.[BG88]

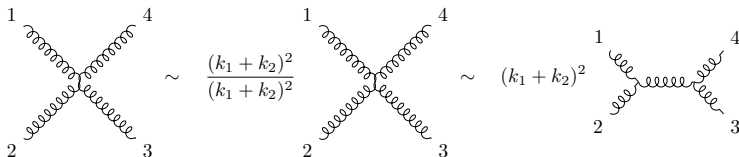
- ① Why String Amplitudes?
- ② RNS Formalism is not Enough
- ③ Pure Spinor Formalism
- ④ Tree-Level Scattering Amplitudes
- ⑤ Construct BCJ Numerator of 10d SYM Theory*
- ⑥ Comments
- ⑦ Appendix

Color-Kinematic duality: trivalent encoding

The full color-dressed n -point tree amplitude of Yang-Mills theory can be conveniently organized in terms of diagrams with only cubic vertices:

$$A_n = \sum_{i \in \Gamma_n} \frac{c_i N_i}{D_i}$$

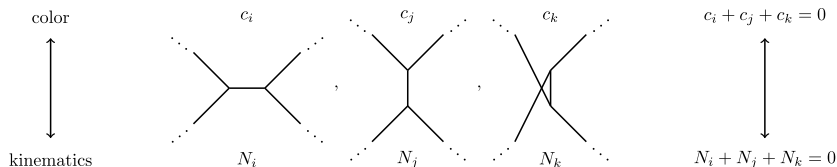
Tips: Why cubic vertices only?



The propagators $\{D_i\}$ and color factors $\{c_i\}$ are straightforward to obtain from the diagrams. However, constructing the kinematic numerators $\{N_i\}$ is non-trivial.

Color-Kinematics duality: BCJ numerators

[BCJ08] showed that there exists a representation in which the kinematic numerators $\{N_i\}$ obey the same algebraic relations as the color factors $\{c_i\}$:



i.e., both satisfy the Jacobi identity, as well as anti-symmetry. These special (but not unique) kinematic numerators are known as **BCJ numerators**.

At tree level, BCJ numerators can be constructed through various methods, you will encounter a stringy approach later. While this duality is **conjectured** to hold at loop level based on substantial evidence, a complete proof remains an open problem.

Double copy relations

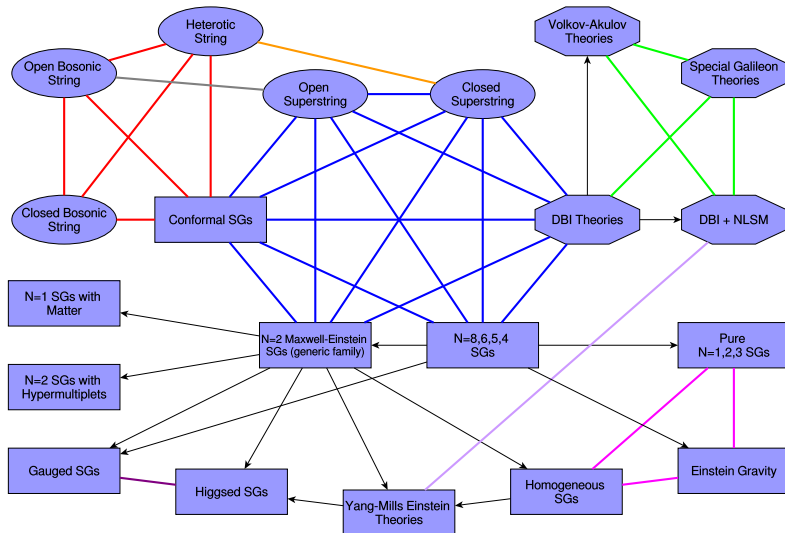
More exciting, once this is imposed, gravity amplitudes are obtained using two copies of gauge theory BCJ numerators: [BCJ10]

$$A_n^{\text{gauge}} = \sum_{i \in \Gamma_n} \frac{c_i N_i}{D_i} \xrightarrow[\text{Loop } \exists \{N_i\}^{\text{BCJ}} ?]{\text{Tree } \exists \{N_i\}^{\text{BCJ}} \checkmark} M_n^{\text{gravity}} = \sum_{i \in \Gamma_n} \frac{N_i \tilde{N}_i}{D_i} = \sum_{i \in \Gamma_n} \frac{N_i \tilde{N}_i}{D_i}$$

Here, $N_i \neq \tilde{N}_i$ indicates that the BCJ numerators for the "left-moving" and "right-moving" gauge theories need not be identical. In fact, the KLT relations serve as a specific realization of the double copy program, enabling the construction of BCJ numerators for tree-level YM theory.[Car15]

However, this remains a conjecture at the loop level and has not been proven until now. By the way, this property is not an accident of few very special theories, but extends to large classes of gravitational and non-gravitational theories. More details can be found in [Ber+24].

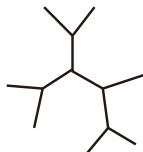
Web of double copy constructible theories



Del-Dixon-Maltoni basis I

Using Jacobi identity, A^{YM} can be expanded in "half-ladder" basis: [DDM00]

$$A_n^{\text{gauge}} = \sum_{\sigma \in S_{n-2}} \overbrace{f^{a_1 a_{\sigma_1} b_1} f^{b_1 a_{\sigma_2} b_2} \dots f^{b_{n-3} a_{\sigma_{n-2}} a_n}}^{c_1 |\sigma_1 \dots \sigma_{n-2}|_n} A_n(1, \sigma_1, \sigma_2, \dots, \sigma_{n-2}, n)$$



$$\text{tr}(\lambda^{a_{\sigma_1}} \lambda^{a_{\sigma_2}} \dots \lambda^{a_{\sigma_{n-1}}} \lambda^{a_n})$$

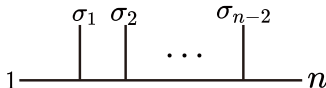
Color Decomposition



$$f^{dac} f^{cbe} - f^{dbc} f^{cae} = f^{abc} f^{dce}$$



$$f^{a_1 a_2 x_1} f^{x_1 a_3 x_2} \dots f^{x_{n-3} a_{n-1} a_n} = (-i)^{n-2} \text{tr}(\lambda^{a_1} [\lambda^{a_2}, [\lambda^{a_3}, \dots, [\lambda^{a_{n-1}}, \lambda^{a_n}] \dots]])$$



$$\sim f^{a_1 a_{\sigma_1} b_1} f^{b_1 a_{\sigma_2} b_2} \dots f^{b_{n-3} a_{\sigma_{n-2}} a_n}$$

DDM Basis

Del-Dixon-Maltoni basis II

As discussed in [appendix](#), A_n^{gauge} can be rewritten by using partial amplitudes of $\text{tr } \phi^3$ theory, $m(P|Q)$, in DDM basis.

$$\begin{aligned}
 A_n^{\text{gauge}} &= \sum_{P, Q \in S_{n-2}} c_{1|P|n} m(1, P, n|1, Q, n) N_{1|Q|n} \\
 \Rightarrow A_n^{\text{gauge}}(P) &= \sum_{Q \in S_{n-2}} N_{1|Q|n-1} m(P|1, Q, n-1)
 \end{aligned} \tag{5}$$

Since in the DDM basis, $N_{1|Q|n}$ are independently of each other, they cannot be related through Jacobi identities. If A_n^{gauge} can be organized in the form above, then the BCJ numerators are naturally constructed. We will see that this is straightforward in the pure spinor formalism.

Construct BCJ numerators I

To factor out all the α' dependence, the n -point open string amplitude (4) can be reorganized in terms of Z -integral:

$$\mathcal{A}_n(P) = \sum_{AB=23\dots n-2} \langle V_{1A} V_{(n-1)\tilde{B}} V_n \rangle (-1)^{|B|-1} Z(P|1, A, n, B, n-1) + \text{perm}$$

$$Z(P|Q) := (2\alpha')^{n-3} \int_{D(P)} \frac{dz_1 dz_2 \cdots dz_n}{\text{vol}(\text{SL}_2(\mathbb{R}))} \prod_{i < j}^n |z_{ij}|^{-2\alpha' s_{ij}} \text{PT}(Q)$$

$$P(1, 2, \dots, n) := \frac{1}{z_{12} z_{23} \cdots z_{n-1, n} z_{n, 1}} = \frac{1}{z_{n, 1}} \mathcal{Z}_{123\dots n}$$

Only one final step remains: taking the $\alpha' \rightarrow 0$ limit, one can show that,

$$\lim_{\alpha' \rightarrow 0} Z(P|Q) = m(P|Q), \quad A_n(P)^{\text{string}} \rightarrow A_n(P)^{\text{gauge}}$$

Construct BCJ numerators II

Thus, the open string amplitude reduces to:

$$\mathcal{A}_n(P)^{\text{string}} \xrightarrow{\alpha' \rightarrow 0} \sum_{AB=23 \cdots n-2} \langle V_{1A} V_{(n-1)\tilde{B}} V_n \rangle (-1)^{|B|-1} m(P|1, A, n, B, n-1) + \text{perm}$$

Recalling the expression in the DDM basis (5):

$$A_n^{\text{gauge}}(P) = \sum_{Q \in S_{n-2}} N_{1|Q|n-1} m(P|1, Q, n-1), \quad \sum_Q \Longleftrightarrow \sum_{AnB} + \text{perm}$$

we finally obtain:

$$N_{1|AnB|n-1} = (-1)^{|B|-1} \langle V_{1A} V_{(n-1)\tilde{B}} V_n \rangle$$

More details can be found in [MSS11].

- ① Why String Amplitudes?
- ② RNS Formalism is not Enough
- ③ Pure Spinor Formalism
- ④ Tree-Level Scattering Amplitudes
- ⑤ Construct BCJ Numerator of 10d SYM Theory*
- ⑥ Comments**
- ⑦ Appendix

Conclusion

- The PS superstring allows covariant quantization with manifest target-space SUSY

$$S_{\text{PS}} = \frac{1}{\pi} \int d^2z \left(\frac{1}{2} \partial X^m \bar{\partial} X_m + p_\alpha \bar{\partial} \theta^\alpha - w_\alpha \bar{\partial} \lambda^\alpha \right) + \text{c.c.}$$

- Tree-level amplitudes admit a compact representation in PS superspace

$$\mathcal{A}_n(P) = (2\alpha')^{n-3} \int d\mu_P^n \sum_{AB=23\dots n-2} \langle (V_{1A} \mathcal{Z}_{1A}) (V_{(n-1)\tilde{B}} \mathcal{Z}_{n-1,\tilde{B}}) V_n \rangle + \text{perm}$$

$$A_n^{\text{YM}}(P, n) = \sum_{XY=P} \langle M_X M_Y M_n \rangle$$

- BCJ numerators can be constructed straightforwardly in the PS formalism*

$$N_{1|AnB|n-1} = (-1)^{|B|-1} \langle V_{1A} V_{(n-1)\tilde{B}} V_n \rangle$$

Some elementary comments on non-trivial target spacetime

PS Supertstring in $\text{AdS}_5 \times S^5$ background can be described by:

$$S_{\text{PS}} = \int d^2z \left[\frac{1}{2} J^{\underline{a}} \bar{J}^{\underline{b}} \eta_{\underline{ab}} + \frac{1}{2} (J^{\alpha} \bar{J}^{\bar{\beta}} - 3 J^{\bar{\beta}} \bar{J}^{\alpha}) \eta_{\alpha \bar{\beta}} \right. \\ \left. + \omega_{\alpha} \bar{\nabla} \lambda^{\alpha} + \bar{\omega}_{\bar{\alpha}} \nabla \bar{\lambda}^{\bar{\alpha}} - \frac{1}{2} (N^{ab} \bar{N}_{ab} - N^{a'b'} \bar{N}_{a'b'}) \right]$$

Covariant vertex operators can also be constructed: [CV17]

$$V = \lambda^{\alpha} \bar{\lambda}^{\bar{\alpha}} A_{\alpha \bar{\alpha}}(g)$$

$$U = 2\eta_{\beta \bar{\gamma}} J^{\alpha} \bar{J}^{\bar{\beta}} W_{\alpha}{}^{\bar{\gamma}} - 2\eta_{\gamma \bar{\alpha}} J^{\bar{\alpha}} \bar{J}^{\bar{\beta}} W^{\gamma}{}_{\bar{\beta}} + J^{\alpha} \bar{J}^{\bar{\beta}} A_{\alpha \bar{\beta}} + J^{\alpha} \bar{J}^{\bar{\alpha}} A_{\alpha \bar{\alpha}} - J^{\underline{a}} \bar{J}^{\bar{\alpha}} A_{\underline{a} \bar{\alpha}} \\ + \frac{1}{2} J^{\alpha} \bar{N}^{ab} F_{\alpha \underline{ab}} - \frac{1}{2} N^{ab} \bar{J}^{\bar{\alpha}} F_{ab \bar{\alpha}} + J^{\bar{\beta}} \bar{J}^{\alpha} \bar{\mathcal{V}}_{\alpha \bar{\beta}} + J^{\underline{a}} \bar{J}^{\alpha} \bar{\mathcal{V}}_{\alpha \underline{a}} + J^{\bar{a}} \bar{J}^{\bar{\alpha}} \bar{\mathcal{V}}_{\bar{a} \bar{\alpha}} + J^{\underline{a}} \bar{J}^{\underline{b}} \mathcal{V}_{\underline{ab}} \\ + \frac{1}{4} N^{ab} \bar{N}^{cd} \mathcal{V}_{\underline{ab} \underline{cd}} + \frac{1}{2} N^{ab} \bar{J}^{\alpha} \bar{\mathcal{V}}_{\underline{ab} \alpha} + \frac{1}{2} J^{\bar{\alpha}} \bar{N}^{ab} \mathcal{V}_{\underline{ab} \bar{\alpha}} + \frac{1}{2} J^{\underline{a}} \bar{N}^{bc} \mathcal{V}_{\underline{a} \underline{bc}} + \frac{1}{2} N^{bc} \bar{J}^{\bar{a}} \bar{\mathcal{V}}_{\bar{a} \underline{bc}}$$

These superfields are constrained by very complicated equations, and further calculation is needed to determine the exact form of U and V .

The best of three worlds: B-RNS-GSS formalism

PS superstring does not possess world-sheet supersymmetry. Recently the B-RNS-GSS formalism, which combines the desirable features of three formalisms, has attracted considerable attention [Ber21].

$$S_{\text{B-RNS-GSS}} = \int d^2z \left(\frac{1}{2} \partial X_m \bar{\partial} X^m + \frac{1}{2} \psi_m \bar{\partial} \psi^m + b \bar{\partial} c + \beta \bar{\partial} \gamma + p_\alpha \bar{\partial} \theta^\alpha + w_\alpha \bar{\partial} \Lambda^\alpha \right)$$

With the following action in $\text{AdS}_5 \times S^5$ spacetime: [CG24]

$$\begin{aligned} S = \int d^2z & \left(\frac{1}{2} J_a \bar{J}^a + \frac{1}{2} \eta_{\alpha\bar{\alpha}} (J^\alpha \bar{J}^{\bar{\alpha}} + J^{\bar{\alpha}} \bar{J}^\alpha) + d_\alpha \bar{J}^\alpha + \bar{d}_{\bar{\alpha}} J^{\bar{\alpha}} - \frac{1}{2} \eta^{\alpha\bar{\alpha}} d_\alpha \bar{d}_{\bar{\alpha}} + w_\alpha \bar{\nabla} \Lambda^\alpha \right. \\ & + \bar{w}_{\bar{\alpha}} \nabla \bar{\Lambda}^{\bar{\alpha}} + \frac{1}{2} \psi_a \bar{\nabla} \psi^a + \frac{1}{2} \bar{\psi}_{\bar{a}} \nabla \bar{\psi}^{\bar{a}} - \frac{1}{2} \psi^a w_\alpha \bar{J}^{\bar{\alpha}} (\eta \gamma_a)^\alpha_{\bar{\alpha}} + \frac{1}{2} \bar{\psi}^{\bar{a}} \bar{w}_{\bar{\alpha}} J^\alpha (\gamma_{\bar{a}} \eta)_\alpha^{\bar{\alpha}} - \frac{1}{8} \bar{J}^a (w \gamma_a w) \\ & \left. - \frac{1}{8} J^a (\bar{w} \gamma_a \bar{w}) + \frac{1}{2} N^{[ab]} \bar{N}_{[ab]} - \frac{1}{8} \psi^a \bar{\psi}^b w_\alpha \bar{w}_{\bar{\alpha}} (\gamma_a \gamma_b \eta)^{\alpha\bar{\alpha}} - \frac{1}{64} (w \gamma_a w) (\bar{w} \gamma^a \bar{w}) \right) + S_{\text{gh}} \end{aligned}$$

Unintegrated and integrated vertex operators for massless states were constructed in [Cha25], but they are too complicated to include here.

Thanks!



With Nathan Berkovits and my friend Chen Huang in String-Math 2025.

ありがとうございます

- ① Why String Amplitudes?
- ② RNS Formalism is not Enough
- ③ Pure Spinor Formalism
- ④ Tree-Level Scattering Amplitudes
- ⑤ Construct BCJ Numerator of 10d SYM Theory*
- ⑥ Comments
- ⑦ Appendix

Vertex operators in RNS formalism I

The missing two vertex operators are:

$$U^{(+\frac{1}{2})} = \frac{1}{\sqrt{\alpha'}} u^\alpha \left\{ e^{\frac{1}{2}\phi} (i\partial X^\mu + \frac{\alpha'}{8} (k \cdot \psi) \psi^\mu) (\Gamma_\mu)_\alpha^{\dot{\beta}} S_{\dot{\beta}} \right\} e^{ik \cdot X}$$

$$U^{(0)} = \sqrt{\frac{2}{\alpha'}} \epsilon_\mu \left(i\partial X^\mu + \frac{\alpha'}{2} (k \cdot \psi) \psi^\mu \right) e^{ik \cdot X}$$

By the way, S^α is called **spin operator**, which represents one of the most challenging aspects to handle in the RNS formalism.

Indeed, vertex operators connected by a Picture-Changing Operator (PCO) are physically equivalent, as shown here $U^{(-1)} \cong U^{(0)}$ and $U^{(-\frac{1}{2})} \cong U^{(+\frac{1}{2})}$. However, when computing tree-level correlation functions of vertex operators, the total picture number must sum to -2 in order to cancel the contribution from the background superghost number [BLT13].

Vertex operators in RNS formalism II

By the way, you may not be fully familiar with unintegrated and integrated vertex operators. Let me briefly review them. To calculate the string S-matrix, we need to compute correlation functions of the following form:

$$S \sim \frac{1}{V_{\text{CKG}}} \int dz_1 \cdots dz_n \langle U_1(z_1) \cdots U_n(z_n) \rangle$$

We call $U_i(z_i)$ the **integrated vertex operator**, since we must integrate over z_i . However, to gauge-fix the conformal Killing group (V_{CKG}) in tree-level, we must also fix three points on the sphere. In this case, $\int dz U(z)$ at marked points should be replaced by $V(z)$, the **unintegrated vertex operator**. In the RNS formalism, there is a simple relation between U and V , as seen in [equation \(1\)](#).

Why can we not quantize GS superstring covariantly? I

To make life easier, let's consider the massless particle limitation of GS superparticle, known as Brink-Schwarz superparticle:

$$S_{\text{BS}} = \int d\tau (\Pi^\mu P_\mu + e P^\mu P_\mu), \quad \Pi^\mu := \dot{X}^\mu - \frac{1}{2} \dot{\theta}^\alpha \gamma_{\alpha\beta}^\mu \theta^\beta$$

This theory has $\mathcal{N} = 1$ supersymmetry:

$$\begin{aligned} \delta\theta^\alpha &= \epsilon^\alpha, \quad \delta X^\mu = \frac{1}{2} \theta^\alpha \gamma_{\alpha\beta}^\mu \epsilon^\beta, \quad \delta P^\mu = \delta e = 0 \\ Q_\alpha &:= p_\alpha - \frac{1}{2} \gamma_{\alpha\beta}^\mu \theta^\beta P_\mu, \quad p_\alpha := \frac{\partial L}{\partial \dot{\theta}^\alpha} = -\frac{1}{2} \gamma_{\alpha\beta}^\mu \theta^\beta P_\mu \end{aligned}$$

String theory is a constrained system, much like gauge theory, the worldsheet energy-momentum tensor must vanish. Similarly, in particle theory, we need

Why can we not quantize GS superstring covariantly? II

on-shell condition, $P^2 = 0$. Moreover, we introduce two pairs of conjugate variables: X, P and p, θ . The latter are not independent. The constraint between p and θ is:

$$d_\alpha := p_\alpha + \frac{1}{2} \gamma_{\alpha\beta}^\mu \theta^\beta P_\mu = 0$$

It is straightforward to compute their Poisson brackets:

$$\{d_\alpha, d_\beta\}_{\text{PB}} = -\gamma_{\alpha\beta}^\mu P_\mu$$

A more careful analysis shows that these constraints consist of 8 first-class and 8 second-class constraints. Only in light-cone coordinates do these two classes decouple:

$$\{d_\alpha, d_\beta\}_{\text{PB}} = -\gamma_{\alpha\beta}^- P^+ \propto \begin{pmatrix} 1_{8 \times 8} & 0_{8 \times 8} \\ 0_{8 \times 8} & 0_{8 \times 8} \end{pmatrix}$$

Why can we not quantize GS superstring covariantly? III

Dirac's classification of constraints is a very old concept [Dir13]:

- **First class:** $\{d_1, d_2\} = 0$

Corresponds to gauge symmetries. Can be eliminated by gauge fixing or via Gupta-Bleuler quantization: $d|\text{phys}\rangle = 0$.

- **Second class:** $\{d_1, d_2\} \neq 0$

Corresponds to redundant degrees of freedom⁵. Before canonical quantization, Poisson brackets must be replaced by **Dirac brackets**. The constraint $d = 0$ is then treated as a strong operator equation: $\hat{d} = 0$.⁶

Furthermore, GS formalism has an additional gauge symmetry, **κ -symmetry**:

$$\delta\theta^\alpha = P^\mu \gamma_\mu^{\alpha\beta} \kappa_\beta, \quad \delta X^\mu = -\frac{1}{2} \theta^\alpha \gamma_{\alpha\beta}^\mu \delta\theta^\beta, \quad \delta P^\mu = 0, \quad \delta e = \dot{\theta}^\alpha \kappa_\alpha$$

This symmetry can be fixed in light-cone coordinates, after which the full theory can be quantized. For further details, see [BG17].

⁵Such as using (x, y, z) to describe a planar motion.

⁶Don't need to act on $|\text{phy}\rangle$

Linearized 10D SYM superfields: equation of motion

In 10D SYM, the physical spectrum contains only gluons $\mathbb{A}_\mu = \mathbb{A}_\mu(X, \theta)$ and gluinos $\mathbb{A}_\alpha = \mathbb{A}_\alpha(X, \theta)$, satisfying the following e.o.m:

$$\{\nabla_\alpha, \nabla_\beta\} = \gamma_{\alpha\beta}^\mu \nabla_\mu, \quad \{\nabla_\alpha, \nabla_\mu\} = -(\gamma_\mu \mathbb{W})_\alpha,$$

$$\{\nabla_\alpha, \mathbb{W}^\beta\} = \frac{1}{4}(\gamma^{\mu\nu})_\alpha{}^\beta \mathbb{F}_{\mu\nu}, \quad [\nabla_\alpha, \mathbb{F}^{\mu\nu}] = (\mathbb{W}^{[\mu} \gamma^{\nu]})_\alpha$$

$$\mathbb{F}_{\mu\nu} := -[\nabla_\mu, \nabla_\nu], \quad \mathbb{W}_\mu^\alpha := [\nabla_\mu, \mathbb{W}^\alpha]$$

$$\nabla_\alpha = D_\alpha - \mathbb{A}_\alpha, \quad \nabla_\mu = \partial_\mu - \mathbb{A}_\mu, \quad D_\alpha = \frac{\partial}{\partial \theta^\alpha} + \frac{1}{2}(\gamma^\mu \theta)_\alpha \partial_\mu$$

In the linearized approximation, superfields $\mathbb{K} \mapsto K$ describe asymptotic states and satisfy:

$$D_\alpha A_\beta^i + D_\beta A_\alpha^i = \gamma_{\alpha\beta}^\mu A_\mu^i, \quad D_\alpha A_\mu^i = (\gamma_\mu W_i)_\alpha + \partial_\mu A_\alpha^i,$$

$$D_\alpha W_i^\beta = \frac{1}{4}(\gamma^{\mu\nu})_\alpha{}^\beta F_{\mu\nu}^i, \quad D_\alpha F_{\mu\nu}^i = \partial_{[\mu}(\gamma_{\nu]} W_i)_\alpha$$

Linearized 10D SYM superfields: θ -expansion I

In the Harnad - Shnider gauge, $\theta^\alpha A_\alpha = 0$, these equations can be solved explicitly. The resulting superfields admit a θ -expansion. For pure spinor computations it suffices to keep terms up to $\mathcal{O}(\theta^4)$:

$$\begin{aligned}
 A_\alpha^i(X, \theta) = & \left\{ \frac{1}{2}(\theta\gamma_m)_\alpha e_i^m + \frac{1}{3}(\theta\gamma_m)_\alpha(\theta\gamma^m\chi_i) - \frac{1}{32}(\theta\gamma^m)^\alpha(\theta\gamma_{mnp}\theta)f_i^{np} \right. \\
 & \left. + \frac{1}{60}(\theta\gamma^m)_\alpha(\theta\gamma_{mnp}\theta)k_i^n(\chi_i\gamma^p\theta) + \frac{1}{1152}(\theta\gamma^m)_\alpha(\theta\gamma_{mnp}\theta)(\theta\gamma^p_{qr}\theta)k_i^n f_j^{qr} + \dots \right\} e^{k_i \cdot X}, \\
 A_i^m(X, \theta) = & \left\{ e_i^m + (\theta\gamma^m\chi_i) - \frac{1}{8}(\theta\gamma^m_{pq}\theta)f_i^{pq} + \frac{1}{12}(\theta\gamma^m_{np}\theta)k_i^n(\chi_i\gamma^p\theta) \right. \\
 & \left. + \frac{1}{192}(\theta\gamma^m_{nr}\theta)(\theta\gamma^r_{pq}\theta)k_i^n f_i^{pq} - \frac{1}{480}(\theta\gamma^m_{nr}\theta)(\theta\gamma^r_{pq}\theta)k_i^n k_i^p(\chi_i\gamma^q\theta) + \dots \right\} e^{k_i \cdot X},
 \end{aligned}$$

Linearized 10D SYM superfields: θ -expansion II

$$\begin{aligned}
 W_i^\alpha(X, \theta) = & \left\{ \chi_i^\alpha + \frac{1}{4}(\theta\gamma_{mn})^\alpha f_i^{mn} - \frac{1}{4}(\theta\gamma_{mn})^\alpha k_i^m (\chi_i \gamma^n \theta) - \frac{1}{48}(\theta\gamma_m{}^q)^\alpha (\theta\gamma_{qnp}\theta) k_i^m f_i^{np} \right. \\
 & \left. + \frac{1}{96}(\theta\gamma_m{}^q)^\alpha (\theta\gamma_{qnp}\theta) k_i^m k_i^n (\chi_i \gamma^p \theta) - \frac{1}{1920}(\theta\gamma_m{}^r)^\alpha (\theta\gamma_{nr}{}^s \theta) (\theta\gamma_{spq}\theta) k_i^m k_i^n f_i^{pq} + \dots \right\} e^{k_i \cdot X}, \\
 F_i^{mn}(X, \theta) = & \left\{ f_i^{mn} - k_i^{[m} (\chi_i \gamma^{n]} \theta) + \frac{1}{8}(\theta\gamma_{pq}{}^{[m} \theta) k_i^{n]} f_i^{pq} - \frac{1}{12}(\theta\gamma_{pq}{}^{[m} \theta) k_i^{n]} k_i^p (\chi_i \gamma^q \theta) \right. \\
 & \left. - \frac{1}{192}(\theta\gamma_{ps}{}^{[m} \theta) k_i^{n]} k_i^p f_i^{qr} (\theta\gamma^s{}_{qr} \theta) + \frac{1}{480}(\theta\gamma^{[m}{}_{ps} \theta) k_i^{n]} k_i^p k_i^q (\chi_i \gamma^r \theta) (\theta\gamma^s{}_{qr} \theta) + \dots \right\} e^{k_i \cdot X}.
 \end{aligned}$$

Here we use the convention $ik \mapsto k$ to get rid of $i = \sqrt{-1}$. Moreover,

$$f_i^{\mu\nu} := k_i^\mu e_i^\nu - k_i^\nu e_i^\mu$$

e^m is the polarization vector for boson and χ^α is the wavefunction of fermion.

3-pt amplitude I

To compute the disk amplitudes (3), we encounter nested correlators. The inner correlator is handled by OPEs, which reduce the double bracket to $\langle V^3 \rangle$. The remaining step is to integrate over zero modes. The 3-point amplitude is chosen here because it receives no OPE contributions and is determined entirely by the zero modes of λ_α and θ^α .

$$\begin{aligned}\mathcal{A}(1, 2, 3) &= \langle V_1 V_2 V_3 \rangle = \langle (\lambda A_1)(\lambda A_2)(\lambda A_3) \rangle \\ &= \mathcal{A}(1_b, 2_b, 3_b) + \mathcal{A}(1_b, 2_f, 3_f) + \mathcal{A}(1_f, 2_b, 3_f) + \mathcal{A}(1_f, 2_f, 3_b)\end{aligned}$$

The second equality shows that in the PS formalism we compute superamplitudes directly, and the components must then be extracted by hand. To evaluate the outer bracket, we use the following PS superspace measure:

$$(\lambda^3 \theta^5) := (\lambda \gamma^m \theta)(\lambda \gamma^n \theta)(\lambda \gamma^p \theta)(\theta \gamma_{mn} \theta), \quad \langle (\lambda^3 \theta^5) \rangle = 2880 \quad (6)$$

3-pt amplitude II

Note: The value 2880 is conventional, it can be absorbed into the definition of the string coupling, so any choice is acceptable.

Next, we use the θ -expansion of the superfields. For our purpose:

$$\lambda^\alpha A_\alpha(X, \theta) \rightarrow \left\{ \frac{1}{2} e_m(\lambda \gamma^m \theta) - \frac{1}{32} f_{mn}(\lambda \gamma_p \theta)(\theta \gamma^{mn} \theta) - \frac{1}{3} (\lambda \gamma_m \theta)(\theta \gamma^m \chi_i) \right\} e^{k \cdot X}$$

For the 3-gluon component amplitude, only the first two bosonic terms contribute. There are three possibilities: $(\theta^3, \theta^1, \theta^1)$, $(\theta^1, \theta^3, \theta^1)$ and $(\theta^1, \theta^1, \theta^3)$:

$$\mathcal{A}(1_b, 2_b, 3_b) = -\frac{1}{128} e_1^m f_2^{pq} e_3^n \langle (\lambda \gamma^m \theta)(\lambda \gamma^r \theta)(\lambda \gamma^n \theta)(\theta \gamma_{pqr} \theta) \rangle + \text{cyc}(1, 2, 3)$$

With some magical γ -matrix identities, one can show:

$$\langle (\lambda \gamma^m \theta)(\lambda \gamma^r \theta)(\lambda \gamma^n \theta)(\theta \gamma_{pqr} \theta) \rangle = 24 \delta_{pqr}^{mrn} \frac{\text{tr } \delta = 10}{} - 64 \delta_{pq}^{mn}$$

3-pt amplitude III

It has been proved that any $(\lambda^3\theta^5)$ can be reduced to the standard form (6). An efficient FORM package is available for this purpose [Maf10].

Thus, the 3-gluon amplitude becomes:

$$\mathcal{A}(1_b, 2_b, 3_b) = \frac{1}{2} e_1^m f_2^{mn} e_3^n + \text{cyc}(1, 2, 3) = (e_1 \cdot k_2)(e_2 \cdot e_3) + \text{cyc}(1, 2, 3)$$

That's what we all learned back in kindergarten. Similarly, one can check that the amplitude for one gluon and two gluinos is:

$$\mathcal{A}(1_b, 2_f, 3_f) = \frac{1}{18} e_1^m \langle (\lambda \gamma_m \theta) (\lambda \gamma_n \theta) (\lambda \gamma_p \theta) (\theta \gamma^n \chi_2) (\theta \gamma^p \chi_3) \rangle = e_1^m (\chi_2 \gamma_m \chi_3)$$

Here the following Fierz identity is useful:

$$\theta^\alpha \theta^\beta = \frac{1}{96} \gamma_{rst}^{\alpha\beta} (\theta \gamma^{rst} \theta)$$

tr ϕ^3 theory

tr ϕ^3 theory is described by the Lagrangian:

$$\mathcal{L}_{\phi^3} = \frac{1}{2} \partial_m \Phi_{i|a} \partial^m \Phi_{i|a} + \frac{1}{3!} f_{ijk} \tilde{f}_{abc} \Phi_{i|a} \Phi_{j|b} \Phi_{k|c}$$

The n -point amplitude takes the form [CHY14b]:

$$A_n^{\phi^3} = \sum_{i \in \Gamma_n} \frac{c_i \tilde{c}_i}{D_i} = \sum_{P, Q \in S_{n-2}} c_{1|P|n} m(1, P, n | 1, Q, n) \tilde{c}_{1|Q|n}$$

The second equality follows purely from Jacobi identities among $\{c_i\}$. Since BCJ numerators $\{N_i\}$ obey the same Jacobi identities as $\{\tilde{c}_i\}$, one expects:

$$A_n^{\text{gauge}} = \sum_{P, Q \in S_{n-2}} c_{1|P|n} m(1, P, n | 1, Q, n) N_{1|Q|n}$$

The partial amplitudes $m(P|Q)$ and their string-theoretic analogues can be computed via graphical rules [Miz17].

- [Bad+13] Simon Badger et al. “Comparing efficient computation methods for massless QCD tree amplitudes: Closed analytic formulas versus Berends-Giele recursion”. In: (2013).
- [BC01] Nathan Berkovits and Osvaldo Chandia. “Superstring vertex operators in an $\text{AdS}(5) \times S^5$ background”. In: (2001).
- [BC02] Nathan Berkovits and Osvaldo Chandia. “Massive superstring vertex operator in $D = 10$ superspace”. In: (2002).
- [BCJ08] Z. Bern, J. J. M. Carrasco, and Henrik Johansson. “New Relations for Gauge-Theory Amplitudes”. In: (2008).
- [BCJ10] Zvi Bern, John Joseph M. Carrasco, and Henrik Johansson. “Perturbative Quantum Gravity as a Double Copy of Gauge Theory”. In: (2010).
- [Ber+24] Zvi Bern et al. “The duality between color and kinematics and its applications”. In: (2024).

- [Ber00] Nathan Berkovits. “Super Poincare covariant quantization of the superstring”. In: (2000).
- [Ber06] Nathan Berkovits. “Super-Poincare covariant two-loop superstring amplitudes”. In: (2006).
- [Ber21] Nathan Berkovits. “Manifest spacetime supersymmetry and the superstring”. In: (2021).
- [BG17] Nathan Berkovits and Humberto Gomez. “An Introduction to Pure Spinor Superstring Theory”. In: *9th Summer School on Geometric, Algebraic and Topological Methods for Quantum Field Theory*. Mathematical Physics Studies. 2017.
- [BG88] Frits A. Berends and W. T. Giele. “Recursive Calculations for Processes with n Gluons”. In: (1988).

- [BLT13] Ralph Blumenhagen, Dieter Lüst, and Stefan Theisen. *Basic concepts of string theory*. Theoretical and Mathematical Physics. 2013.
- [BM06] Nathan Berkovits and Carlos R. Mafra. “Equivalence of two-loop superstring amplitudes in the pure spinor and RNS formalisms”. In: (2006).
- [Bro+14] Johannes Broedel et al. “All order α' -expansion of superstring trees from the Drinfeld associator”. In: (2014).
- [Car15] John Joseph M. Carrasco. “TASI 2014: lectures on gauge and gravity amplitude relations.”. In: *Theoretical Advanced Study Institute in Elementary Particle Physics: Journeys Through the Precision Frontier: Amplitudes for Colliders*. 2015.
- [CG24] Osvaldo Chandia and João Gomide. “B-RNS-GSS type II superstring in Ramond-Ramond backgrounds”. In: (2024).

- [Cha25] Osvaldo Chandia. “A note on the type II superstring vertex operators in the B-RNS-GSS formalism”. In: (July 2025).
- [CHY14a] Freddy Cachazo, Song He, and Ellis Ye Yuan. “Scattering of Massless Particles in Arbitrary Dimensions”. In: (2014).
- [CHY14b] Freddy Cachazo, Song He, and Ellis Ye Yuan. “Scattering of Massless Particles: Scalars, Gluons and Gravitons”. In: (2014).
- [CKV18] Subhrooneel Chakrabarti, Sitender Pratap Kashyap, and Mritunjay Verma. “Integrated Massive Vertex Operator in Pure Spinor Formalism”. In: (2018).
- [CV17] Osvaldo Chandia and Brenno Carlini Vallilo. “A superfield realization of the integrated vertex operator in an $AdS_5 \times S^5$ background”. In: (2017).

- [DDM00] Vittorio Del Duca, Lance J. Dixon, and Fabio Maltoni. “New color decompositions for gauge amplitudes at tree and loop level”. In: (2000).
- [Dir13] Paul AM Dirac. *Lectures on quantum mechanics*. 2013.
- [DP05] Eric D’Hoker and D. H. Phong. “Two loop superstrings. 1-6”. In: (2002-2005).
- [FMM23] Hadleigh Frost, Carlos R. Mafra, and Lionel Mason. “A Lie Bracket for the Momentum Kernel”. In: (2023).
- [GSW11] Walter Giele, Gerben Stavenga, and Jan-Christopher Winter. “Thread-Scalable Evaluation of Multi-Jet Observables”. In: (2011).
- [Kas+25] Sitender Pratap Kashyap et al. “Massless representation of massive superfields and tree amplitudes with the pure spinor formalism”. In: (2025).

- [KLT86] H. Kawai, D. C. Lewellen, and S. H. H. Tye. “A Relation Between Tree Amplitudes of Closed and Open Strings”. In: (1986).
- [LMS16] Seungjin Lee, Carlos R. Mafra, and Oliver Schlotterer. “Non-linear gauge transformations in $D = 10$ SYM theory and the BCJ duality”. In: (2016).
- [Maf+11] Carlos R. Mafra et al. “A recursive method for SYM n-point tree amplitudes”. In: (2011).
- [Maf10] Carlos R. Mafra. “PSS: A FORM Program to Evaluate Pure Spinor Superspace Expressions”. In: (July 2010).
- [Maf24] Carlos R. Mafra. “Towards massive field-theory amplitudes from the cohomology of pure spinor superspace”. In: (2024).
- [Miz17] Sebastian Mizera. “Inverse of the String Theory KLT Kernel”. In: (2017).

- [MS23] Carlos R. Mafra and Oliver Schlotterer. “Tree-level amplitudes from the pure spinor superstring”. In: (2023).
- [MSS11] Carlos R. Mafra, Oliver Schlotterer, and Stephan Stieberger. “Explicit BCJ Numerators from Pure Spinors”. In: (2011).
- [MSS13a] Carlos R. Mafra, Oliver Schlotterer, and Stephan Stieberger. “Complete N-Point Superstring Disk Amplitude I. Pure Spinor Computation”. In: (2013).
- [MSS13b] Carlos R. Mafra, Oliver Schlotterer, and Stephan Stieberger. “Complete N-Point Superstring Disk Amplitude II. Amplitude and Hypergeometric Function Structure”. In: (2013).
- [Sie86] Warren Siegel. “Classical superstring mechanics”. In: (1986).